

# SECA Yearbook 2014

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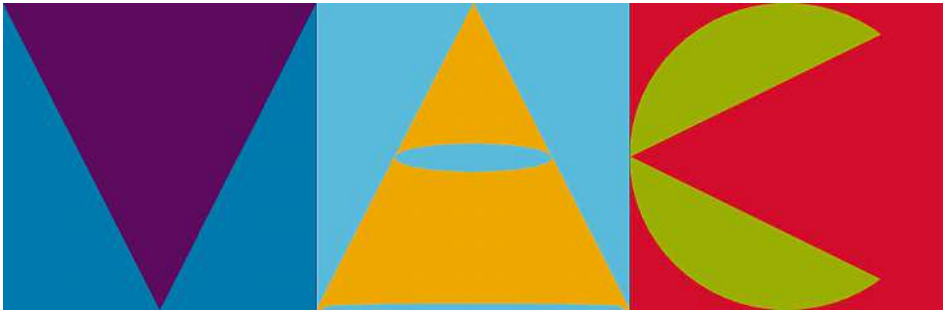
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Coverholder at **LLOYDS**



## **MSM** investorenvereinigung

The MSM Investors Association is an organization for investors (a "Business Angels Club"), based in Winterthur.

Its aim is to inform and support members in the investment of their funds in unlisted companies, especially in those which create new jobs in Switzerland. The organization promotes joint investments by members and third party companies, and provides legal support, as required.

Are you interested in sharing your experience as a Business Angel and investor with a new generation of entrepreneurs? Contact us at [iv@msgroup.ch](mailto:iv@msgroup.ch) or +41 (0)52 269 21 00

Information and the membership form is available at [www.msgroup.ch/investorenvereinigung](http://www.msgroup.ch/investorenvereinigung)

The yearly membership fee currently is CHF 100. It increases by CHF 50 annually if no investment is made. With each investment your annual fee falls away for a period of 3 years. There are no further commitments.

Verschiedene Familienunternehmen.

Unterschiedliche Bedürfnisse.

Ein Ziel.

Erfolgreiche Nachfolgeregelung.



*«Nachfolgeregelung ist Vertrauenssache – Cross kennt die Bedürfnisse von Familienunternehmen und gab mir die Möglichkeit einer Rückbeteiligung. Der ideale Partner für mich und mein Unternehmen.»*

Dr. Luca Bolzani, Alteigentümer Micro-Macinazione SA.  
Heutiger Minderheitsaktionär und Verwaltungsrat.



## Chapter I

# Report from the President



are those where venture finance is found in abundance. This relationship between access to venture finance, the flourishing of technological innovation, and the ability to deliver successful commercialisation is well established.

VCs focus exclusively on companies developing significant innovations – be it a new piece of software, a life-saving cancer drug, or a new model for consumer sales. Unless the company is poised for significant growth, a VC won't invest. Making investments at the earliest stages of a company's development – often before a product or service is more than just an idea – involves significant entrepreneurial risk, which severely limits capital sources for such companies. Yet, venture capitalists assume this risk alongside the company founders by providing capital in exchange for an equity stake in the company.

These elements – the patience, the hands-on guidance, the willingness to take on risk and fail – make venture capital unique as an asset class and enable it to drive Swiss economic growth faster and generate more jobs than other asset classes. Historically risk capital has helped set the Swiss economy apart from our international competitors.

Since the early 1990s, successive Swiss governments have not come to realise the value of venture finance and have in no way sought to redress this gap. Only a few initiatives and agencies (e.g. CTI Invest) were created with the intent of capturing the potential of our capacity for innovation by unleashing a deluge of private capital. Policy debate after policy debate failed to incentivise all potential equity investors and entrepreneurs to come to the party.

It remains to be seen how the latest initiatives like the motion in the Swiss parliament by Konrad Graber to create a "Zukunftsfond Schweiz" develops in the political debate. We promote since some time the idea to support early stage funding and venture capital and find effective ways to translate more scientific research into successful companies.

## SECA - Our key Objectives

As the voice of the Swiss private equity and venture capital community, the Swiss Private Equity and Venture Capital Association (SECA) advocates for public policies that encourage innovation, spur job creation, and reward long-term investment in start-up companies. By working with the private equity and venture capital community to foster a growth environment for emerging businesses, the federal government can help ensure that Switzerland maintains its global economic leadership and competitive advantage into the 21st century and beyond.

The SECA represents the vast majority of all Swiss private equity and venture capital firms and their advisors. While each member will have a different investment or service focus, all members are united by common governance, ownership model and a code of conduct that is focused at lasting entrepreneurial value.

The open architecture of our organization has enabled us to tackle a multitude of projects and locations in 2013. Our platform and member services have improved in quality and reach. Our online platform is now accessible through a mobil application. All of this has been realized on a comparatively very low cost to our growing membership base.



**Chart 2:** Growth in "Quality and Quantity": SECA Membership growth over the last 12 years.

Growing in number is one thing, but achieving our key objectives is another story. By helping to explain the industry's role, adding value to our members' business and intelligently work with policymakers, we can ensure that the SECA

evolves as a forceful opinion leader in Switzerland. To achieve this goal we set five key objectives for the coming year:

1. **Representation and Lobbying:** Maintain dialogue with key stakeholders to effect changes to policy, tax and regulatory environment.
2. **Networking:** Provide opportunities to exchange ideas and increase cooperation and deal flow between SECA members.
3. **Research and Data Analysis:** Conduct and publish research on issues important to the venture capital, M&A and private equity industry.
4. **Professional Standards and Legal Documentation:** Establish and maintain best practice ethical and professional standards and easy to use legal documentations.
5. **Education and Training:** Offer trainings to enhance the professional skills and expertise of practitioners or relevant people like pension fund managers.

### **Industry Representation, Lobbying and Regulation**

On the policy and regulatory front, the SECA represented our interest and those of our industry participants vigorously and with skill. Especially, the SECA legal and tax chapter contributed to the debate over the Swiss finish of the Alternative Investment Fund Managers Directive (AIFMD), its implementation and remuneration rules. They also contributed to the crucial discussion over cost transparency in private equity by Swiss pension funds. Most importantly, we worked with the Swiss government institutions to prepare for the introduction of a lighter and more proportionate regulatory regime for many of our small private equity and venture capital funds. Unfortunately, we were not able to bring all our important issues through the system. There is still a lot to be done.

### **SECA Communication and Networking**

Over the last year, the SECA has focused on informing the general public, policymakers and the press about private equity, describing the very real benefits it generates for the Swiss

economy and dismantling some of the myths surrounding the industry.

By mobilizing our chapter members we ensure the industry's messages are communicated in a very wide number of public engagements, including meeting with the public sector, forums for institutional investors, the Private Equity Congress, our widely read SECA eNewsletter as well as through speaking at conferences, policy hearings or universities.

### **Research and Data Analysis**

We uncover facts. Private Equity investments in large European companies improved their productivity by 7% per year according to a study by Ernst & Young. Furthermore, private equity backed companies are less likely to default than other companies (3% compared to 6% during the 2008-2009 crisis in Europe (*Boucly, Journal of Financial Economics*)). The availability of Venture Capital has a significant positive impact on the likely emergence of new entrepreneurial companies with high growth and high innovation potential.

These facts about private equity and venture capital come from trusted academic research and SECA sponsored statistical programmes. They show how private equity investment contributes to the economy and society.

### **Professional Standards and Education**

In November 2013 SECA conducted the second "Private Equity & Venture Capital Workshop" shedding light on different industry aspects including several private market strategies, legal environment, comprehensive case studies and professional standards. We plan to organize specific events for pension fund and wealth managers in order to improve the understanding of the asset class.

An additional effort is to promote the education programmes on Entrepreneurship and Venture Management from the Stanford Open University. Here all sorts of interested parties can participate online and free of charge in world class tutorial programmes. Embrace the technology and take an online course at Stanford



(<http://online.stanford.edu/courses>). Hopefully we can increase the knowledge level in our industry and bring a piece of Silicon Valley to Switzerland. PE Managers should be encouraged to implement best practices and wealth managers increase allocations to private equity in the future.

### Organizational Development – Our Platforms

In realization of the diverse nature of our industry association, the major governance objective in 2013 was the continuing development of our chapters – Private Equity, Legal & Tax, Seed Money & Venture Capital and Mergers & Acquisition. The chapters have the mandate to set their own set of objectives with the SECA secretariat and chairman, which are responsible for meeting and coordinating those requirements. This year as especially busy in to areas (1) the regulatory front with several new regulations on the table and (2) the venture capital chapter with the setup of a fresh concept to increase funding for early stage ventures. Thank very much for the effort.

In 2013, the board and executive committee were organized as it is shown on the organization chart in the book cover.

Member of the Board of Directors

- **Leonid Baur**  
Leonardo & Cie. Corporate Finance (Schweiz) AG, Partner
- **Björn Böckenförde**  
Zurmont Madison Management AG, CFO
- **Jacques Bonvin**  
Tavernier Tschanz, Partner
- **Dr. Diego Braguglia**  
VI Partners, Partner
- **Cédric Bruix**  
Argos Soditic SA
- **Rolf Friedli**  
Capvis Equity Partners AG
- **Dr. Ulrich W. Geilinger**  
HBM Partners AG, Board Member
- **Dr. Hannes Glau**  
Bratschi Wiederkehr & Buob
- **Caroline Gueissaz**  
Business Angels Suisse

- **Marie-Hélène Hancock**  
Hirzel.Neef.Schmid.Konsulenten
- **Pierre Kladny**  
Valley Road Capital
- **Beat Kühni**  
Lenz & Staehlin
- **Jean-François Lagassé**  
Deloitte SA
- **Rolf Lanz**  
CGS Management giesinger gloor lanz & co.
- **Peter Letter**  
Paprico AG
- **Martin Meier-Pfister**  
IRF Communications AG
- **Christian Mustad**  
Edgar Brand SA
- **Dr. Roberto Paganoni**  
LGT Capital Partners Ltd., Partner
- **Dr. Bernd Pfister**  
Paros Capital AG, Partner
- **Andreas Roetheli**  
Lenz & Staehlin, Partner
- **Florian Schweitzer**  
BrainsToVentures AG, Partner
- **Karl Spielberger**  
Orlando Management Schweiz AG
- **Claudio Steffenoni**  
Deutsche Bank (Suisse) SA
- **Jean-Philippe Tripet**  
Aravis SA
- **Beat Unternährer**  
The Corporate Finance Group, Partner
- **Marc-Antoine Voisard**  
Euro Private Equity SA
- **Dr. Christian Wenger**  
Wenger & Vieli Rechtsanwälte, Partner
- **Dieter Wirth**  
PricewaterhouseCoopers AG

### General Secretary and Office

As the community's preeminent trade association, SECA serves as the definitive resource for private equity and venture capital data and unites nearly 483 members through a full range of professional services.

Our association office is the heart of the operation and runs on the basis of a hard-working and high quality service culture.

*“Our Work in the SECA Office is...*

***Passionate...** about the positive impact of private equity and venture capital, entrepreneurship, and innovation.*

***Credible...** transparent, strategic, and data driven, serving as the trusted voice of the industry.*

***Nimble...** resourceful and effective, delivering value to our ecosystem.*

***Committed to Excellence...** exceeding expectations in all we do.”*

The effective management of these activities is the responsibly of the SECA General Secretary Prof. Dr. Maurice Pedernana and his excellent team (Andrea Villiger, Thomas Heimann and Patrick Bucher). The secretariat organized the media events, published research booklets, industry statistics and our highly regarded eNewsletter.

New political initiatives, the Private Equity Congress and the Private Equity Workshop were a highlight in 2013.

On June 24<sup>th</sup>, 2014 we will have a top tier programme of speakers on the Private Equity Congress and organize our traditional SECA networking party afterwards. Book the date!

Our 29th SECA General Assembly took place on the 8th of May 2013 at the Hotel Widder, Zürich.

**What will the industry look like in 2014?**

Exiting current portfolio investments will be a goal for private equity houses next year given strong demand from fresh capital and high valuations. On the buy side competition from cash-rich corporate acquires will hold a top seat in challenges.

Fund raising will also factor heavily into private equity priorities in 2014. With a good momentum from last year a number of ambitious funds will come to the market.

Regulatory issues pose a challenge especially among larger private equity firms. It is boom time for compliance officers. Last but not least, we should all be aware that this global equity bull

market is entering its six year and has all the late cyclical signs. So watch your steps! In any case, we are excited to see how the year 2014 will unfold. There is plenty of opportunity in investing in Switzerland. Good luck.



**Dr. Bernd Pfister**  
Chairman

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Managing Director  
Paros Capital AG  
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bernd.pfister@paroscapital.ch



## Chapter II

# Events & Trend Luncheons

## Reporting SECA Events – German-speaking Switzerland

### KAG Revision & AIFM D

#### Auswirkungen der Neuregelung auf den Private Equity Standort Schweiz

SECA Evening Event vom Mittwoch, 6. März 2013, Widder Hotel, Zürich

**Referenten**                **Hannes Glaus**, Bratschi Wiederkehr & Buob  
                                      **Hans Markvoort**, LGT Capital Partners  
                                      **Dieter Wirth**, PwC

**Teilnehmende**            50

bratschi  
wiederkehr  
& buob



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### The Future of Global Healthcare

SECA Trend Luncheon of Friday, 10 May 2013, Hotel Baur au Lac, Zurich

**Speakers**                **G. Steven Burrill**, Burrill & Company  
                                      **John C. Cook**, Rock Lake Associates  
                                      **Andre Jaeggi**, Rock Lake Associates  
                                      **Maurice Pedernana**, SECA  
                                      **Sven Rohmann**, Burill & Company

**Participants**            30



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### Private Equity and AIFMD

#### Advantages of Luxembourg as a fund or structure domicile

SECA Evening Event of Monday, 24 June 2013, Au Premier, Zurich

**Speakers**                **Oliver Carré**, PwC Luxembourg  
                                      **Markus Mees**, PwC Luxembourg  
                                      **Valérie Tixier**, PwC Luxembourg  
                                      **Maarten Verjans**, PwC Luxembourg  
                                      **Dieter Wirth**, PwC Switzerland

**Participants**            45



## Emergency in Emerging Markets

### Stolpern Schwellenländer über ihre eigenen Ambitionen?

SECA Evening Event vom Mittwoch, 28. August 2013, Widder Hotel, Zürich

**Referenten**            **Dmitriy Antropov**, Partners Group  
                                 **Bernd Pfister**, SECA  
                                 **Maurice Pedergnana**, SECA (Moderation)

**Teilnehmende**        35



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## Der Private Equity Markt

### Aktuelle Entwicklungen und zentrale Herausforderungen

SECA Evening Event vom Mittwoch, 11. September 2013, Widder Hotel, Zürich

**Referenten**            **Nicolas Berg**, Redalpine Venture Partners AG  
                                 **Rogier Engelsma**, Gilde Buy Out Partners AG  
                                 **Peter Letter**, paprico ag (Moderation)  
                                 **Axel May**, hostettler kramarsch partner

**Teilnehmende**        56



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## Venture Capital als attraktive Anlageklasse

### Ein neuer Ansatz

SECA Evening Event vom Mittwoch, 16. Oktober 2013, Widder Hotel, Zürich

**Paneldiskussion**    **Alan Frei**, Young SECA  
                                 **Urs Häusler**, DealMarket AG  
                                 **Thomas Heimann**, HBM Partners AG (Moderation)  
                                 **Markus Hosang**, BioMed Partners AG  
                                 **Markus Moor**, Emerald Technology Ventures AG  
                                 **Maurice Pedergnana**, SECA (Moderation)  
                                 **Philipp Steinberger**, c-crowd AG  
                                 **Jean-Philippe Tripet**, Aravis SA

**Teilnehmende**        48



## Going Private vs. Staying Public

### Wandel der Rahmenbedingungen für börsennotierte Unternehmen

SECA Evening Event vom Mittwoch, 20. November 2013, Widder Hotel, Zürich

**Referenten**            **Matthias Courvoisier**, Baker & McKenzie Zurich  
                              **Walter Thomas Lutz**, Communicators  
                              **Philipp Lütolf**, Hochschule Luzern – Wirtschaft / IFZ  
                              **Andreas Neumann**, Zürcher Kantonalbank (Moderation)

**Teilnehmende**        63



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## Reporting SECA Events – Romandie

### Outlook 2013

#### Venture Capital & Private Equity Trends

SECA Evening Event de Mardi, 29 janvier 2013, Hôtel Beau-Rivage, Lausanne

**Speakers**            **Jean-Pierre Béguelin**, chroniqueur au Temps (Modération)  
                              **Jacques Bonvin**, Tavernier Tschanz  
                              **Diego Braguglia**, VI Partners  
                              **Cédric Bruix**, Argos Soditic  
                              **Jean-François Lagassé**, Deloitte SA  
                              **Marc-Antoine Voisard**, Euro-Private Equity

**Participants**        43



## Reporting SECA Events – Highlights of the Year 2013

### 1. SECA Andermatt Day

“Dank Unternehmertum mit neuen Perspektiven”

SECA Andermatt Day vom Donnerstag, 13. Juni 2013, Sales & Info Center, Andermatt UR

**Referenten**                 **Robert Fellermeier**, Andermatt Swiss Alps AG  
                                      **Bernhard Russi**, Andermatt Swiss Alps AG

**Teilnehmende**            27



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### 12. Private Equity & Corporate Finance Conference

SECA Conference of Wednesday, 3 July 2014, SIX Convention Point, Zurich

**Speakers**                    several (for further information please visit [www.seca.ch](http://www.seca.ch))

**Participants**               270



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### Top 100 Swiss Startups 2013

Meet the best young entrepreneurs in Switzerland

SECA Evening Event of Wednesday, 18 September 2013, Maag Härterei, Zurich

**Speakers**                    **Beat Schillig**, Institut für Jungunternehmen (Moderation)  
                                      **Maurice Pedernana**, SECA (Moderation)  
                                      several others (for further information please visit [www.seca.ch](http://www.seca.ch))

**Participants**                275



## Reporting SECA Events – SECA Education

### Seminar: Private Equity für Schweizer Pensionskassen

#### Einblick in die Welt von Private Equity

SECA Seminar vom Montag, 23. September 2013, Marriott Hotel, Zürich

**Referenten**                   Diverse (für weitere Informationen besuchen Sie bitte [www.seca.ch](http://www.seca.ch))

**Teilnehmende**           56



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### SECA Private Equity Workshop

SECA Workshop vom Freitag, 8. & 22. November 2013 und vom Samstag, 9. & 23. November 2013, Institut für Finanzdienstleistungen Zug IFZ, Zug

**Referenten**                   Diverse (für weitere Informationen besuchen Sie bitte [www.seca.ch](http://www.seca.ch))

**Teilnehmende**           21

Lucerne University of  
Applied Sciences and Arts  
**HOCHSCHULE  
LUZERN**  
Wirtschaft  
Institut für Finanzdienstleistungen Zug  
IFZ



## Reporting Young SECA Events

### PCU / Young SECA

Young SECA Event of Thursday, 18 April 2013, Zunfthaus zur Schneidern, Zurich



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### Young SECA meet Jean-Claude Biver

Young SECA Event of Thursday, 20 June 2013, Raum Zürich

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### 5. Young SECA Get Together

Young SECA Event of Thursday, 5 September 2013, Tamedia, Zurich

tamedia:

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### 5. Unternehmerball hosted by Pioneers' Club (PCU)

Young SECA Event of Saturday, 16 November 2013, The Dolder Grand, Zurich



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### 6. Young SECA Get Together

Young SECA Event of Thursday, 21 November 2013, Amber Club, Zurich







## Chapter III

# Financial Audit & Report

**SECA - Swiss Private Equity Corporate Finance Association, 6304 Zug**

<b>BALANCE SHEET AS OF</b>	<b>31.12.13</b>	<b>31.12.12</b>
	<b>CHF</b>	<b>CHF</b>

**ASSETS**

Cash	157'055.06	176'739.15
Accounts receivables	<u>4'789.10</u>	<u>2'440.00</u>

<b>TOTAL ASSETS</b>	<b><u>161'844.16</u></b>	<b><u>179'179.15</u></b>
---------------------	--------------------------	--------------------------

**LIABILITIES AND ASSOCIATION'S EQUITY****Liabilities**

Accounts payables	15'456.70	29'367.15
Provisions	<u>15'000.00</u>	<u>22'000.00</u>
	30'456.70	51'367.15

**Association's equity**

Association's equity at the beginning of the year	127'812.00	123'542.10
Profit for the year	<u>3'575.46</u>	<u>4'269.90</u>
Association's equity at the end of the year	131'387.46	127'812.00

<b>TOTAL LIABILITIES AND ASSOCIATION'S EQUITY</b>	<b><u>161'844.16</u></b>	<b><u>179'179.15</u></b>
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**INCOME STATEMENT**

<b>2013</b>	<b>2012</b>
<b>CHF</b>	<b>CHF</b>

**INCOME**

Contributions of associates	375'397.00	390'580.00
Other income (net)	<u>33'454.30</u>	<u>65'460.45</u>
	408'851.30	456'040.45

<b>EXPENSES</b>	<b><u>-405'275.84</u></b>	<b><u>-451'770.55</u></b>
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<b>PROFIT FOR THE YEAR</b>	<b><u>3'575.46</u></b>	<b><u>4'269.90</u></b>
----------------------------	------------------------	------------------------



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 www.abtcham.ch

The general meeting of the members of  
 SECA - Swiss Private Equity & Corporate Finance Association  
 Grafenauweg 10  
 6304 Zug

Cham, April 3<sup>rd</sup>, 2014

Report of the statutory auditors to the general meeting of the members of  
**SECA - Swiss Private Equity & Corporate Finance Association, 6304 Zug**

As statutory auditors, we have audited the accounting records and the financial statements (balance sheet, income statement) of SECA - Swiss Private Equity & Corporate Finance Association for the year ended December 31, 2013.


These financial statements are the responsibility of the board of the association. Our responsibility is to express an opinion on these matters based on our audit. We confirm that we meet the legal requirements concerning professional qualification and independence.

Our audit was conducted in accordance with auditing standards, which require that an audit be planned and performed to obtain reasonable assurance about whether the financial statements are free from material misstatement. We have examined on a test basis evidence supporting the amounts and disclosures in the financial statements. We have also assessed the accounting principles used, significant estimates made and the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the accounting records and the financial statements comply with Swiss law and the articles of the association.

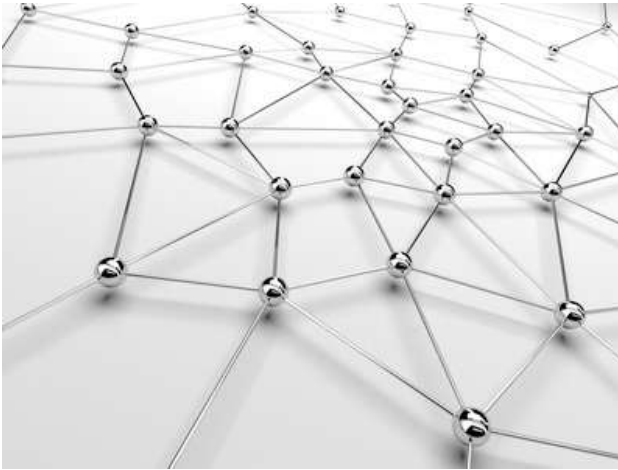
We recommend that the financial statements submitted to you be approved.

**ABT Revisionsgesellschaft AG**

  
 Arthur Exer  
 Swiss Certified Accountant  
 Accredited Audit Expert

 Eidgenössische  
 Eidgenössische Revisionskammer  
 Eidgenössische Revisionskammer  
 Eidgenössische Revisionskammer





## Chapter IV

# Membership Reporting

## **Full Members**



**acano ag**


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**Contact Information**


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Address	Marktgasse 51 3011 Bern
Telephone No	+41 31 311 37 20
Fax No	
E-mail Address for General Enquiries	info@acano.ch
Format of Personal E-mail Addresses	forename.surname@acano.ch
Web Address	www.acano.ch

---

**Company Information**


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Key People	Mr. Markus Ochsner Dr. Roger Trunz
Year of Establishment	2010
Number of Employees	4
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**


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Size of Investment	
Investment Stages	
Industrial Sectors	Construction - Building Products, Consumer Related, Energy, Environment, Food - Beverages, Industrial Products and Services, Internet Technology, Manufacturing, Telecommunications
Geographical Focus	Europe
Type of Financing	

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**Short Company Profile**


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Corporate Finance: advisory services in M&A, valuation and financial modelling as well as fund raising. Offices in Bern and Zurich.

## ACEPS Holding AG



ACEPS HOLDING AG

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### Contact Information

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Address	Ampèrestrasse 3 8037 Zürich
Telephone No	+41 44 735 81 00
Fax No	+41 44 735 81 69
E-mail Address for General Enquiries	info@aceps.com
Format of Personal E-mail Addresses	initials@aceps.com
Web Address	www.aceps.com

### Company Information

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Key People	Dr. Walter Meier
Year of Establishment	1990
Number of Employees	7
Fund Names	ACEPS Group
Capital Under Management	+ CHF 200m
Number of Portfolio Companies	over 20
Examples of Portfolio Companies	US Oil & Gas Company

### Investment Preferences

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Size of Investment	
Investment Stages	
Industrial Sectors	Energy, Technology
Geographical Focus	
Type of Financing	

### Short Company Profile

---

ACEPS is a leading investment group engaging in Energy, Resources, Technologies.

**adbodmer ag**


---

**Contact Information**


---

Address	Seestrasse 227 8810 Horgen
Telephone No	+41 43 344 94 24
Fax No	+41 43 344 94 23
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@adbodmer.ch
Web Address	www.adbodmer.ch

---

**Company Information**


---

Key People	Dr. Adriana Ospel-Bodmer Mr. Jan Kollros
Year of Establishment	2002
Number of Employees	6
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**


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Size of Investment	CHF 5-500m
Investment Stages	Buyout - Buyin, Delisting, Expansion - Development Capital, Turnaround - Restructuring
Industrial Sectors	Computer Related, Construction - Building Products, Consumer Related, Finance - Insurance - Real Estate, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing, Telecommunications, Communications other, Transportation
Geographical Focus	Austria, France, Germany, Switzerland, United Kingdom, United States of America
Type of Financing	Equity Financing, Majority Equity, Minority Equity

---

**Short Company Profile**


---

**Adveq Management AG****Contact Information**

Address	Affolternstrasse 56 8050 Zürich
Telephone No	+41 58 445 55 55
Fax No	+41 58 445 55 56
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	www.adveq.com

**Company Information**

Key People	Mr. Philippe Bucher Mr. Sven Lidén
Year of Establishment	1997
Number of Employees	over 90
Fund Names	Programmes: Adveq Asia; Adveq Europe; Adveq Opportunity; Adveq Real Assets; Adveq Secondaries; Adveq Technology
Capital Under Management	approx. USD 5.4bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Worldwide
Type of Financing	None

**Short Company Profile**

Founded in 1997, Adveq is a leading asset manager investing in private equity and real asset funds globally. It offers specialized investment solutions which allow the firm's clients to access select private market segments globally. To date, Adveq has invested in more than 400 funds on behalf of its clients and generated consistent returns throughout economic cycles. Adveq's client base comprises institutional investors such as pension funds, insurance companies, family offices and other financial institutions located in Europe, North America and the Asia-Pacific region. Many of Adveq's investors are repeat, long-term clients with whom the firm has developed a role as a trusted partner for private market investing. Adveq has offices in Zurich, Frankfurt, London, New York, Beijing, Shanghai and Hong Kong, as well as a representative office in Sydney.

**aeris Capital AG****Contact Information**

Address	Churerstrasse 70 8808 Pfäffikon
Telephone No	+41 55 511 12 22
Fax No	+41 55 511 12 50
E-mail Address for General Enquiries	info@aeris-capital.com
Format of Personal E-mail Addresses	forename.surname@aeris-capital.com
Web Address	www.aeris-capital.com

**Company Information**

Key People	Mr. Ivan Popovic Mr. Uwe Feuersenger
Year of Establishment	2006
Number of Employees	40
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	All
Type of Financing	Equity Financing, Majority Equity, Minority Equity

**Short Company Profile**

Family investment office

## Affentranger Associates SA



### Contact Information

Address	Uraniastrasse 26 8001 Zürich
Telephone No	+41 44 575 28 28
Fax No	
E-mail Address for General Enquiries	info@aasa.com
Format of Personal E-mail Addresses	initials@aasa.com
Web Address	www.aasa.com

### Company Information

Key People	Mr. Lukas André Mr. Anton Affentranger Mr. Markus Decker
Year of Establishment	2002
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	5
Examples of Portfolio Companies	dartfish SA, mikron holding SA (SWX, Ticker: MIKN), forteq, inflaRx, selFrag

### Investment Preferences

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

affentranger associates (aasa), founded 2002, is a business platform focused on the theme of value creation.

As principal investor, aasa has the ambition to achieve sustainable long term returns through a symbiosis of capital and labour investments into turnaround, transitioning and venture companies. We believe that the congruence of leadership, financial commitment and day-to-day management are necessary contributions to the creation of sustainable corporate value. By applying complementary skills in leadership, management, technology, production, marketing paired with hands-on involvement we strive for the stringent implementation of a focused business model.

As trusted advisor, aasa provides tailored entrepreneurial solutions based on unbiased and independent advice. We help our clients analyze and understand major industry trends, evaluate their strategic options and execute value creating corporate finance transactions. Together we shape strategic vision. Highest ethical standards and flawless execution are the cornerstones of our professional advisory work.

**AFINUM Management AG****AFINUM****Contact Information**

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Address	Dufourstrasse 48 8024 Zürich
Telephone No	+41 43 500 33 00
Fax No	+41 43 500 33 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@afinum.ch
Web Address	www.afinum.ch

**Company Information**

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Key People	Dr. Philipp Schülin
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	12
Examples of Portfolio Companies	Wohnprofil, Schaetti, Pinova, Wallenborn, Unitpool

**Investment Preferences**

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Size of Investment	over CHF 10m
Investment Stages	Buyout - Buyin, Replacement Capital
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Majority Equity

**Short Company Profile**

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## Agire Invest SA



### Contact Information

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Address	Via Cantonale 18 6928 Manno
Telephone No	+41 91 610 27 10
Fax No	
E-mail Address for General Enquiries	info@agire.ch
Format of Personal E-mail Addresses	
Web Address	www.agire.ch

### Company Information

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Key People	Dr. Paolo Orsatti Dr. Lorenzo Leoni
Year of Establishment	2011
Number of Employees	2
Fund Names	AGIRE INVEST
Capital Under Management	CHF 7m
Number of Portfolio Companies	14
Examples of Portfolio Companies	IBI SA, Beyounic SA, 99 Technologies SA, Newscron SA

### Investment Preferences

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Size of Investment	up to CHF 0.5m
Investment Stages	Early-Stage
Industrial Sectors	All
Geographical Focus	Switzerland
Type of Financing	Loans, Minority Equity

### Short Company Profile

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Agire Invest, as part of the Innovation Agency of Canton Ticino, is responsible for selecting and financing the creation and development of start-ups and innovative projects within existing companies, encouraging the creation of a high added value industrial tissue and highly qualified jobs.



## AIL Structured Finance AG



### Contact Information

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Address	Schaffhauserstrasse 418 8050 Zürich
Telephone No	+41 43 299 62 00
Fax No	+41 43 299 62 12
E-mail Address for General Enquiries	thomas.enz@ailsf.ch
Format of Personal E-mail Addresses	
Web Address	www.ailsf.ch

### Company Information

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Key People	Mr. Urs Gerspacher Mr. Beat Imwinkelried Mr. Raphael Steiner
Year of Establishment	2002
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	None
Industrial Sectors	Energy, Renewable Energy, Infrastructure, Transportation (Rolling Stock), Waste Water Treatment + Waste Management, Oil + Gas Industry, Textile Industry, Steel Industry
Geographical Focus	Worldwide
Type of Financing	Structured + Project Finance, Export + Trade Finance, Corporate Finance

### Short Company Profile

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AIL Structured Finance AG is a professional partner for customized financial advisory services and investment management in the energy and infrastructure sector. AIL provides extensive financial advisory services for structured- and project related financing transactions as well as financial sales support for exporters. AIL assists investors, exporters, sponsors and project developers in finding the ideal financing structure with an optimal risk profile and provides support in arranging the required financing. In addition, AIL provides investors with specialized advisory services in connection with their long-term investments in infrastructure and energy (including renewable energy) projects.

## Akina Ltd.



### Contact Information

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Address	Sihlstrasse 20 8021 Zürich
Telephone No	+41 44 220 16 00
Fax No	+41 44 220 16 70
E-mail Address for General Enquiries	private.equity@akinapartners.com
Format of Personal E-mail Addresses	forename.surname@akinapartners.com
Web Address	www.akinapartners.com

### Company Information

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Key People	Mr. Mark Zünd Mr. Christopher Böttker Mr. Thomas Frei
Year of Establishment	1998
Number of Employees	30
Fund Names	Euro Choice
Capital Under Management	> EUR 1.8bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Buyout - Buyin, Delisting, Expansion - Development Capital, Privatisation, Replacement Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Central and Eastern Europe, Europe, Western Europe
Type of Financing	Equity Financing

### Short Company Profile

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Akina Ltd. is the Advisor to various Private Equity Funds investing in the mid-market segment - with more than EUR 1.8 billion AuM in the Private Equity field.

## Alpha Associates AG




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### Contact Information

Address	Talstrasse 80 8001 Zürich
Telephone No	+41 43 244 31 00
Fax No	+41 43 244 31 01
E-mail Address for General Enquiries	info@alpha-associates.ch
Format of Personal E-mail Addresses	forename.surname@alpha-associates.ch
Web Address	www.alpha-associates.ch

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### Company Information

Key People	Dr. Peter Derendinger Dr. Petra Salesny Mr. Petr Rojicek
Year of Establishment	2004
Number of Employees	30
Fund Names	Private Equity Holding AG, 5E Holding AG, Alpha 2001 LP, Alpha CEE II LP, Alpha Russia & CIS Secondary LP
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

Size of Investment	at least EUR 3m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance
Industrial Sectors	All
Geographical Focus	Central and Eastern Europe, Western Europe, Russia, United States of America
Type of Financing	Equity Financing

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### Short Company Profile

Alpha Associates is an independent private equity fund-of-funds manager and advisor based in Zurich, Switzerland, with a team of 30 professionals. We invest and manage diversified private equity funds-of-funds and private equity accounts for a global institutional and private client base, providing the full range of private equity management and advisory services. We have made and managed investments in more than 300 private equity funds around the world and across all stages. Our clients appreciate our prudent and disciplined investment approach combined with our ability to take advantage of market opportunities, our flexibility in serving their specific needs and our sophisticated portfolio management and reporting tools.

**ALTIUM CAPITAL AG****Company Information**

Address	Dufourstrasse 60 8702 Zollikon
Telephone No	+41 43 499 43 43
Fax No	+41 43 499 43 44
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@altium.ch
Web Address	www.altiumcapital.com

**Company Information**

Key People	Mr. Christian Wipf Mr. Alexander Grünwald Mr. Thomas Egli Mr. Thierry De Sépibus
Year of Establishment	1986
Number of Employees	19 in Zurich, 120 in Europe, 200+ worldwide
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	
Industrial Sectors	Internet, Technology, Consumer, Healthcare, Energy, Industrials, Business Services
Geographical Focus	Europe, United States of America, Asia
Type of Financing	

**Short Company Profile**

Altium was founded in 1986 to provide tailored M&A, debt and corporate finance advice to mid-market companies, private equity firms and entrepreneurs.

The firm's principals believe that maintaining an independent perspective is crucial, which is why Altium is employee-owned.

The Altium team has strong expertise and wide-ranging experience in the Internet, TMT, Consumer, Energy, Healthcare, Business Services and Industrials sectors.

Altium serves its international clients through a global network across 15 offices in key markets in Europe, the USA and Asia.

**APEN AG**


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**Contact Information**


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Address	Industriestrasse 13c 6304 Zug
Telephone No	+41 41 710 70 60
Fax No	+41 41 710 70 64
E-mail Address for General Enquiries	info@apen.com
Format of Personal E-mail Addresses	forename.surname@apen.com
Web Address	www.apen.com

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**Company Information**


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Key People	Mr. Conradin Schneider Mr. David Salim Mr. Guido Cornella
Year of Establishment	1999
Number of Employees	
Fund Names	
Capital Under Management	CHF 380m
Number of Portfolio Companies	400
Examples of Portfolio Companies	

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**Investment Preferences**


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Size of Investment	USD 5-15m
Investment Stages	Buyout – Buyin, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	Asia, Latin-America, Africa, Central and Eastern Europe, Russia, Middle East
Type of Financing	Equity Financing, Majority Equity, Minority Equity

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**Short Company Profile**


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APEN Ltd. is a Swiss investment company, actively managing a well balanced portfolio of private equity funds and direct investments in privately held operating companies.

APEN Ltd. is listed on the SIX Swiss Exchange under the ticker symbol “APEN” and is traded daily.

**Aravis SA****Contact Information**

Address	Merkurstrasse 70 8032 Zürich
Telephone No	+41 43 499 20 00
Fax No	+41 43 499 20 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename@aravis.ch
Web Address	www.aravis.ch

**Company Information**

Key People	Mr. Jean-Philippe Tripet Dr. Simon Nebel Dr. Oliver Thalmann
Year of Establishment	2001
Number of Employees	12
Fund Names	Aravis Venture I, LP; Aravis Biotech II, LP; Aravis Energy I, LP; Aravis Energy II
Capital Under Management	CHF 279m
Number of Portfolio Companies	18
Examples of Portfolio Companies	Evolva, Telormedix, Symetis, Morewind, Strongwind and Donnadolce

**Investment Preferences**

Size of Investment	CHF 7-10m
Investment Stages	Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors	Biotechnology, Energy, Medical - Life Sciences - Health Related
Geographical Focus	Continental Europe
Type of Financing	Equity Financing

**Short Company Profile**

Aravis is the first independent Swiss on-shore private equity house. With a track record of investment in the renewable energy and life science spaces, the company benefits from an investment expertise that transcends industry sectors. Currently actively managing more than a quarter of a billion Swiss francs, Aravis funds have a track record of success in the service of over 30 leading investors including corporates, pension funds, government funds, insurance companies and family offices. Since 1995, the Aravis partners have a cumulative history of investments in over 100 companies. An established investor in renewable energy, the Aravis Energy team has demonstrated their ability to raise funds, attract syndicate and structure complex projects resulting to date in the divestment of five renewable energy companies producing in excess of 100 gigawatt-hours. With a long track record in life science and two life science investment funds, Aravis has held an early stage stake in some of the more eye-catching Swiss life science companies and has had over the years some notable exits through reverse takeover, IPO or acquisition.

**ARDIAN****ARDIAN****Contact Information**

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Address	Bahnhofstrasse 20 8001 Zürich
Telephone No	+41 44 213 27 27
Fax No	+41 44 213 27 28
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@ardian-investment.com
Web Address	www.ardian-investment.com

**Company Information**

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Key People	Mr. Martin Kessi (Contact for Swiss office)
Year of Establishment	1996
Number of Employees	over 300
Fund Names	
Capital Under Management	USD 36bn
Number of Portfolio Companies	165 portfolio companies & 800 portfolio funds
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance
Industrial Sectors	All
Geographical Focus	All
Type of Financing	Equity Financing, Majority Equity, Minority Equity, Mezzanine

**Short Company Profile**

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With \$36 billion of assets managed or advised, ARDIAN, an AXA Investment Managers company, is a diversified private equity firm with an international reach covering ten offices in Paris, Frankfurt, London, New York, Singapore, Milan, Zurich, Jersey, Beijing and Luxembourg. Since 1996, the firm has supported the development and long-term growth of its portfolio companies while generating sustained and stable returns. ARDIAN provides investors with funds across the full range of private equity offerings: funds of funds; LBO mid cap and small cap; venture capital; co-investment; infrastructure; and mezzanine.

**Argos Soditic S. A.****Contact Information**

Address	118, Rue du Rhône 1204 Genève
Telephone No	+41 22 849 66 33
Fax No	+41 22 849 66 27
E-mail Address for General Enquiries	gsemmens@argos-soditic.com
Format of Personal E-mail Addresses	initial of forenameSurname@argos-soditic.com
Web Address	www.argos-soditic.com

**Company Information**

Key People	Mr. Guy Semmens Mr. Cédric Bruix Mr. Cédric Perlet Mr. Johann Marion
Year of Establishment	1989
Number of Employees	35
Fund Names	Euroknights IV + V + VI + Argos Expansion
Capital Under Management	EUR 750m
Number of Portfolio Companies	18
Examples of Portfolio Companies	Maillefer, Kermel, ORS, Kägi Söhne AG, Misapor, Swibox, Bartholet Maschinenbau Flums, ASC International House

**Investment Preferences**

Size of Investment	EUR 5-50m
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	Europe, France, Italy, Switzerland
Type of Financing	Equity Financing

**Short Company Profile**

Argos Soditic is a leading Swiss-based independent European mid-market private equity firm with offices in Geneva, Paris, Brussels and Milan. The firm advises EUR 750 million of capital focused on small to medium sized enterprises (turnover of CHF 20 to 250 million) investing in buy-outs and expansion capital opportunities. The firm is focused on unusual and special situations where it can generate real value through growth and structural improvements rather than just leverage. Investments which the firm has led from Switzerland include Kermel (the French specialty flame retardant business) and Maillefer (the Swiss cable machinery company), as well as Kagi (the Swiss chocolate wafer brand), Misapor (foam glass aggregates), Axyntis (fine chemicals), Swibox (Swiss engineering solutions for the machinery industry), BMF (cable cars and ropeways) and, in 2012, ASC International House (education). In Addition to the investments activity, the Geneva office runs the administration, back office and investor relations for the Argos Soditic group.



## ATF Group AG



### Contact Information

Address	Seefeldstrasse 124 8008 Zürich
Telephone No	+41 43 243 82 01
Fax No	+41 43 243 82 06
E-mail Address for General Enquiries	info@atf-ltd.com
Format of Personal E-mail Addresses	
Web Address	www.atf-ltd.com

### Company Information

Key People	Mr. Francois Wiget Mrs. Carole Hofmann Dr. Leo Schrutt
Year of Establishment	2007
Number of Employees	6
Fund Names	Direct investments like Aquawell, Mercurius Resources, visaVento, M&A Property over CHF 200m
Capital Under Management	7
Number of Portfolio Companies	
Examples of Portfolio Companies	i.l.team AG (22'000 customers, sold Feb 2014 with profit > 500% p.a.), Aquawell Group AG (NPV CHF 18m, 300m bottles p.a.), visaVento 410 Megawatt (ROI > 25% p.a., NPV EUR 40m, Capex EUR 450m), AVEVA AG (Versicherungs- und Immobilienbroker, Target ROI >100% p.a.)

### Investment Preferences

Size of Investment	over CHF 1m
Investment Stages	Early-Stage, Expansion - Development Capital
Industrial Sectors	Agriculture - Forestry - Fishing, Chemical - Materials, Consumer Related, Energy, Environment, Finance - Insurance - Real Estate, Food - Beverages, Internet Technology
Geographical Focus	Switzerland, Asia, Central and Eastern Europe, Middle East, Africa
Type of Financing	All

### Short Company Profile

ATF is a Multi-client-Family Office and corporate finance and investment advisory firm. We offer our experience and know-how in wealth and value creation to private clients and institutional investors. Advisors for wealth management, real estate, fine art, private equity and M&A.

**Contact Information**

Address	Schweizergasse 10 8001 Zürich
Telephone No	+41 44 285 15 85
Fax No	+41 44 285 15 86
E-mail Address for General Enquiries	info@aventicpartners.ch
Format of Personal E-mail Addresses	forename.surname@aventicpartners.ch
Web Address	www.aventicpartners.ch

**Company Information**

Key People	Mr. Alan Frei Mr. Jean-Claude Rebetez Mr. Peter Balsiger
Year of Establishment	1999
Number of Employees	7
Fund Names	aventic AG, am-tec kredit AG
Capital Under Management	CHF 70m
Number of Portfolio Companies	
Examples of Portfolio Companies	www.aventicpartners.ch (References)

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	Electronics, Industrial Automation, Industrial Products and Services, Manufacturing, Telecommunications
Geographical Focus	Switzerland
Type of Financing	All

**Short Company Profile**

aventic partners has been active in the Swiss SME market since 1999 and provides tailor-made debt, subordinated debt, convertible debt and minority equity financing to SMEs, which have a turnover in the range of 2-40 mio CHF. The financing volume range is 0.5-5 mio CHF.

The team of aventic partners has strong industrial background and hence, the focus is to work closely with small and medium sized Swiss industrial companies. The target customer is an industrial enterprise which wants to accelerate its growth and profitability or which is in a situation of ownership change.

Typical industry sectors include Electronics, Micro-Electronics, Industrial Automation, Industrial Products and Services, Micro-Mechanics, Mechatronics, Optics, Sensorics, Robotics, Precision Mechanics, Surface Technology and similar core areas of the Swiss manufacturing industry.

The partners of aventic partners also have experience in strategic and organizational matters, as well as in restructuring companies. Hence, they are willing to take board responsibilities, as well. To date, more than 50 companies have been financed, resulting in several IPOs and M&As.

**Bank am Bellevue****Contact Information**

Address	Seestrasse 16 8700 Küsnacht
Telephone No	+41 44 267 72 62
Fax No	+41 44 267 67 35
E-mail Address for General Enquiries	corporatefinance@bellevue.ch
Format of Personal E-mail Addresses	initial of forename two initials of surname@bellevue.ch
Web Address	www.bellevue.ch

**Company Information**

Key People	Mr. Friedrich Dietz Ms. Kalina Scott Mr. Marius Zuberbühler
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

Brokerage - Corporate Finance - Equity Capital Markets - Public Takeovers / M+A & Defense - Private M+A - Block Trades

## Bank J. Safra Sarasin AG



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### Contact Information

Address	Löwenstrasse 11 8022 Zürich
Telephone No	+41 44 213 97 16
Fax No	+41 44 213 96 98
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@jsafrasarasin.com
Web Address	www.jsafrasarasin.ch

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### Company Information

Key People	Mr. Matthias Spiess Mr. Alexander Cassani Mr. Niklaus Müller
Year of Establishment	1841
Number of Employees	742
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

Size of Investment	
Investment Stages	Buyout - Buyin
Industrial Sectors	All
Geographical Focus	Europe, Latin America
Type of Financing	Equity Financing, Minority Equity

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### Short Company Profile

M&A advisory, Equity Capital Markets (IPO, Secondary placements, tender offer, etc.) and Corporate Finance

## Wirtschaft

Institut für Finanzdienstleistungen Zug  
IFZ

# Wir führen Sie weiter!

Als Institut der Hochschule Luzern – Wirtschaft sind wir in der Schweiz das führende Fachhochschulinstitut im Finanzbereich. Wir bieten Finanzfachleuten aus Unternehmen sowie Fach- und Führungskräften aus der Finanzbranche Weiterbildungs-, Forschungs- und Beratungsdienstleistungen an.

Auf hohe Qualität legen wir Wert. Als markt-orientierte Weiterbildungsinstitution bieten wir Dienstleistungen in einem attraktiven Preis-Leistungs-Verhältnis an. Unser oberstes Ziel sind zufriedene Kundinnen und Kunden.

## Mehr Informationen

[www.hslu.ch/ifz-weiterbildung](http://www.hslu.ch/ifz-weiterbildung), T +41 41 757 67 67,  
[ifz@hslu.ch](mailto:ifz@hslu.ch)

## Master of Advanced Studies

- MAS Bank Management
- MAS Controlling
- MAS Corporate Finance
- MAS Economic Crime Investigation
- MAS Immobilienmanagement
- MAS Pensionskassen Management
- MAS Private Banking & Wealth Management

## Diploma of Advanced Studies

- DAS Accounting
- DAS Compliance Management
- DAS Controlling
- DAS Corporate Finance
- DAS Pensionskassen Management
- DAS Private Banking & Wealth Management

## Certificate of Advanced Studies

- CAS Commodity Professional
- CAS Finanz- und Rechnungswesen für Juristen
- CAS Finanzmanagement für Nicht-Finanzfachleute
- CAS Finanzmathematik und Statistik
- CAS Führungskompetenz für Finanzfachleute
- CAS Fundamentals in Banking
- CAS Swiss Certified Treasurer (SCT)<sup>®</sup>

## Fachausbildungen

- Brush-up Financial Accounting
- Fachkurs Controlling
- Fachkurs Corporate Riskmanagement
- Fachkurs Finanzen

## Summer School/Seminare und Konferenzen

**Bank Vontobel AG****Contact Information**

Address	Gotthardstrasse 43 8022 Zürich
Telephone No	+41 58 283 63 29
Fax No	+41 58 283 70 75
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@vontobel.ch
Web Address	www.vontobel.com

**Company Information**

Key People	Mr. Marc Klingelfuss Mr. Hanspeter R. Gehrler Mr. Urban Laupper
Year of Establishment	1924
Number of Employees	1400
Fund Names	
Capital Under Management	CHF 163bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment  
Investment Stages  
Industrial Sectors  
Geographical Focus  
Type of Financing

**Short Company Profile**

Bank Vontobel AG is a globally active Swiss Bank with three business units: Private Banking, Investment Banking and Asset Management. The Corporate Finance Team, as part of the IB unit, offers the complete range of services in equity capital markets (IPO, secondary offering, block trade, public tender offer, share buy back, etc.), debt capital markets (equity-linked and straight bonds, capital structure advisory, restructuring, bond buy back, etc.) and mergers & acquisitions (acquisition, divestiture, sale, MBO, succession planning, valuation, etc.). Vontobel has a very strong position in the Swiss Mid & Small Cap market and covers with its brokerage over 130 SIX-listed companies. Swiss shares are marketed by an experienced sales team to institutional investors globally. Financial Research is regularly awarded with top rankings by Thomson Extel.

# Vontobel Corporate Finance

## Mit Schlagkraft und Koordination ans Ziel.

VONTOBEL



### Corporate Finance Transaktionen

Ob Kapitalerhöhungen, Börsengänge oder M&A – Vontobel Corporate Finance bietet effiziente Lösungen und innovative Konzepte, die optimal auf die Bedürfnisse Ihres Unternehmens zugeschnitten sind.

Unser Erfolg beruht auf der qualitativ hochstehenden, unabhängigen Kundenberatung durch ein eingespieltes Team mit langjährigem Erfolgsausweis.

 <b>AEVIS HOLDING SA</b>  <b>Capital Increase</b>  CHF 48m December 2013 Lead Manager	 <b>Walter Fust</b>  <b>Public Tender Offer</b>  Purchase price CHF 62m November 2013 Financial Advisor and Offer Manager	 <b>CEMBRA MONEY BANK AG</b>  <b>Initial Public Offering (incl. 144A)</b>  CHF 1bn October 2013 Co-Lead Manager	<b>SES Holding SA</b>  <b>Public Tender Offer for Società Elettrica Sopracenerina SA</b>  CHF 36m October 2013 Financial Advisor and Offer Manager
 <b>DELTA ENERGY SOLUTION AG</b>  <b>Sale to Powel AS</b>  Purchase price not disclosed October 2013 Financial Advisor	 <b>TEMENOS GROUP AG</b>  <b>Share Buyback</b>  CHF 60m June 2013 – October 2013 Lead Manager	 <b>AZIENDA ELETTRICA TICINISE (AET) &amp; TICINO COMMUNITIES PURCHASED THROUGH PRH</b>  <b>61% SES participation of Alpiq AG</b>  CHF 94m July 2013 Financial Advisor	 <b>FEINTOOL INTERNATIONAL HOLDING AG</b>  <b>Re-IPO via Rights Issue &amp; Secondary Placement</b>  CHF 93m May 2013 Joint Lead Manager
 <b>EBM (GENOSSENSCHAFT ELEKTRA BIRSECK)</b>  <b>Refinancing of Alpiq Holding AG</b>  CHF 70m April 2013 Financial Advisor	 <b>SCHINDLER HOLDING AG</b>  <b>Exchangeable Bonds in registered shares of ALSO Holding AG</b>  CHF 218m May 2013 Co-Manager	 <b>TORNOS HOLDING AG</b>  <b>Capital Increase</b>  CHF 31m May 2013 Lead Manager	 <b>HELVETIA HOLDING AG</b>  <b>Straight Bond</b>  CHF 150m March 2013 Joint Lead Manager

Weitere Informationen finden Sie unter [www.vontobel.com/corporate-finance](http://www.vontobel.com/corporate-finance) oder rufen Sie uns an unter Tel. +41 (0)58 283 77 66

**Banque Bénédict Hentsch & Cie SA**

Banque Bénédict Hentsch

**Contact Information**

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Fax No	+41 22 360 91 91
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@bbh360.ch
Web Address	www.bbh360.ch

**Company Information**

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Key People	Mr. Marc Lüthi
Year of Establishment	2004
Number of Employees	50
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment  
Investment Stages  
Industrial Sectors  
Geographical Focus  
Type of Financing

**Short Company Profile**

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Private Banking and Family Office services.



**Bär & Karrer AG**

**Contact Information**

Address	Brandschenkestrasse 90 8027 Zürich
Telephone No	+41 58 261 50 00
Fax No	+41 58 261 50 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	firstname.surname@baerkarrer.ch
Web Address	www.baerkarrer.ch

**Company Information**

Key People	Dr. Christoph Neeracher Prof. Dr. Rolf Watter
Year of Establishment	1969
Number of Employees	220
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

**BDO AG**



**Contact Information**

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Address	Fabrikstrasse 50 8031 Zürich
Telephone No	+41 44 444 35 55
Fax No	+41 44 444 35 35
E-mail Address for General Enquiries	info@bdo.ch
Format of Personal E-mail Addresses	forename.surname@bdo.ch
Web Address	www.bdo.ch

**Company Information**

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Key People	Mr. Marcel Jans Mr. Christoph Müller
Year of Establishment	1932
Number of Employees	1000
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	
Industrial Sectors	All
Geographical Focus	All
Type of Financing	

**Short Company Profile**

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BDO AG is the preferred partner of middle and small enterprises, public authorities and Non-Profit-Organisations. Products and Services: - Auditing - Financial Services - Fiduciary services and Real estate - Management consulting and Information technology - Tax advice and Legal advice  
BDO Corporate Finance is one of the leading advisors for valuation, due diligence and M&A advice.

**BioMedPartners AG****BioMed  Partners****Contact Information**

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Address	Elisabethenstrasse 23 4051 Basel
Telephone No	+41 61 270 35 35
Fax No	+41 61 270 35 00
E-mail Address for General Enquiries	info@biomedvc.com
Format of Personal E-mail Addresses	surname@biomedvc.com
Web Address	www.biomedvc.com

**Company Information**

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Key People	Dr. Gerhard Ries Mr. Thomas Möller Mr. Markus Hosang
Year of Establishment	2002
Number of Employees	8
Fund Names	BioMedInvest, BioMedCredit
Capital Under Management	CHF 250m
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	CHF 1-10m
Investment Stages	Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors	Biotechnology, Medical - Life Sciences - Health Related
Geographical Focus	Austria, France, Germany, Italy, Switzerland
Type of Financing	All

**Short Company Profile**

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**BlackRock Private Equity Partners AG**



**Contact Information**

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Address	Bahnhofstrasse 39 8001 Zürich
Telephone No	+41 44 297 73 73
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	<a href="http://www.blackrock.com/ch">www.blackrock.com/ch</a>

**Company Information**

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Key People	Mr. Markus Studer Ms. Stephanie Weber
Year of Establishment	2010
Number of Employees	20 (in Switzerland)
Fund Names	
Capital Under Management	USD 17.2bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

**Short Company Profile**

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BlackRock Private Equity Partners AG is the Swiss subsidiary of BlackRock Alternative Investments and is responsible for private market investments in the private equity and infrastructure sectors across all regions and strategies. Overall, the team of 115 specialists manages a portfolio of approximately USD 17.2 billion in various investment programmes, structured secondary transactions and customized mandates. BlackRock is one of the world’s leading investment manager and the largest provider of investment management, consulting and risk management for institutional investors, private investors and financial intermediaries worldwide.

## Brainloop Switzerland AG



### Contact Information

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Address	Gotthardstrasse 52 8800 Thalwil ZH
Telephone No	+41 (0) 44 720 37 37
Fax No	+41 (0) 44 720 37 35
E-mail Address for General Enquiries	gabriel.gabriel@brainloop.com
Format of Personal E-mail Addresses	forename.surname@brainloop.com
Web Address	www.brainloop.com

### Company Information

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Key People	Mr. Gabriel Gabriel
Year of Establishment	2000
Number of Employees	
Fund Names	
Capital Under Management	CHF 1m
Number of Portfolio Companies	approx. 100
Examples of Portfolio Companies	

### Investment Preferences

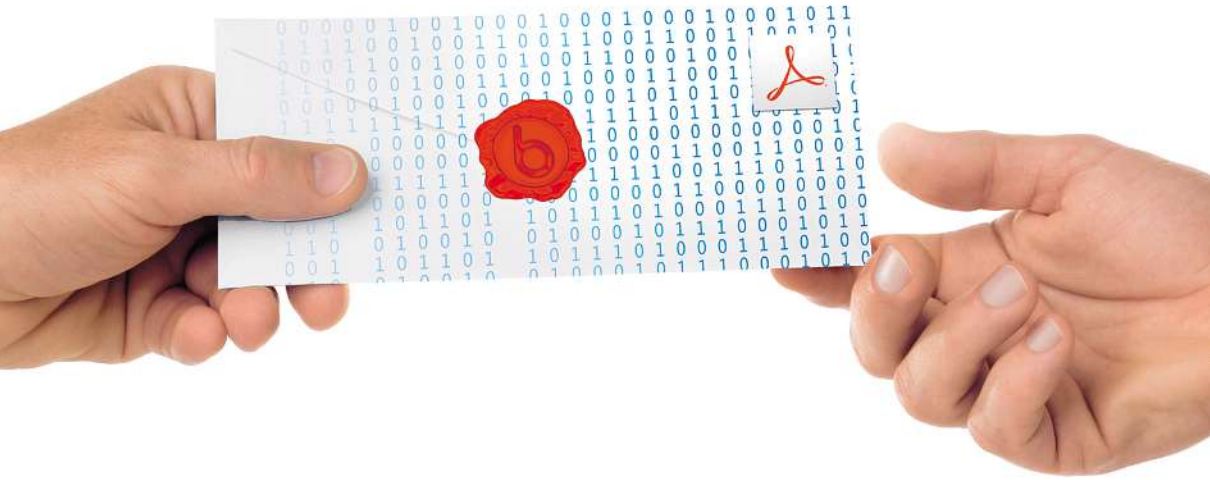
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Size of Investment	
Investment Stages	None
Industrial Sectors	Other
Geographical Focus	All
Type of Financing	None

### Short Company Profile

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Brainloop was founded in 2000, and saw businesses making major gains in productivity and competitiveness by using the Internet to collaborate with external partners. But the same tools that made collaboration so effective also wreaked havoc on the security and control over information distribution that companies relied on. A lot of companies tried to bring security and collaboration together by using virtual data rooms, but fell short on policy compliance. Brainloop saw a need to go beyond that, to create an easy-to-use online platform that companies can use to roll out their confidentiality policies so that users are automatically in compliance, every time they share a document. Thousands of users on six continents rely on Brainloop's Boston, Munich, Vienna and Zurich offices for exceptional service and support. Brainloop helps companies like BMW Group, Deutsche Telekom, RWE, ThyssenKrupp, T-Systems, Eurocopter, Voith, ERGO, Twitter, PWC, EWZ, AXA Winterthur, Helvetia Versicherungen and Zurich Insurance.



## Vertrauliche Dokumente mit Externen austauschen? Sicher und einfach mit Brainloop.

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- › Dediziertes Rechenzentrum in der Schweiz

Kostenlos testen unter: [www.brainloop.ch/testen](http://www.brainloop.ch/testen)



**Bratschi Wiederkehr & Buob****bratschi  
wiederkehr  
& buob****Contact Information**

---

Address	Bahnhofstrasse 70 8021 Zürich
Telephone No	+41 58 258 10 00
Fax No	+41 58 258 10 99
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@bratschi-law.ch
Web Address	www.bratschi-law.ch

**Company Information**

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Key People	Dr. Hannes Glaus Dr. Harald Maag
Year of Establishment	
Number of Employees	approx. 100
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	None
Industrial Sectors	None
Geographical Focus	None
Type of Financing	None

**Short Company Profile**

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**BridgeLink AG****Contact Information**

Address	Centralbahnstrasse 7 4002 Basel
Telephone No	+41 61 206 90 90
Fax No	+41 61 206 90 93
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	initial of forenameSurname@bridgelink.ch
Web Address	www.bridgelink.ch

**Company Information**

Key People	Mr. Paul-André Wenger Mr. Jürg Hatz Ms. Gabriele Krause Mr. Diego Zbar Mr. Stephan Baltisser
Year of Establishment	1996
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	over EUR 5m
Investment Stages	Buyout - Buyin, Delisting, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Replacement Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

**Short Company Profile**

M&A, Corporate Finance Advisors representing Sale-side or Buy-side. Fundraising for business development. Full service for MBO Projects. International operations with offices in USA, Germany and Switzerland.



**BROS PARTNERS AG****Contact Information**

Address	Forchstrasse 84 8032 Zürich
Telephone No	+41 43 488 88 00
Fax No	
E-mail Address for General Enquiries	info@brospartners.ch
Format of Personal E-mail Addresses	initials@brospartners.ch
Web Address	www.brospartners.ch

**Company Information**

Key People	Mr. Roman Croci
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Year of Establishment  
 Number of Employees  
 Fund Names  
 Capital Under Management  
 Number of Portfolio Companies  
 Examples of Portfolio Companies

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Seed Capital, Turnaround - Restructuring
Industrial Sectors	Construction - Building Products, Consumer Related, Energy, Environment, Finance - Insurance - Real Estate, Food - Beverages, Industrial Automation, Industrial Products and Services, Internet Technology, Manufacturing, Services, Transportation
Geographical Focus	All
Type of Financing	All

**Short Company Profile**

We are business enabler with an entrepreneurial finance Focus.

**b-to-v Partners AG****Contact Information**

Address	Blumenastr. 36 9004 St. Gallen
Telephone No	+41 71 242 20 00
Fax No	+41 71 242 20 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@b-to-v.com
Web Address	www.b-to-v.com

**Company Information**

Key People	Mr. Florian Schweitzer
Year of Establishment	2000
Number of Employees	14
Fund Names	b-to-v Private Equity SICAR I + II
Capital Under Management	over EUR 150m
Number of Portfolio Companies	42
Examples of Portfolio Companies	Sum Up, Quanta, URBANARA, Immatic

**Investment Preferences**

Size of Investment	EUR 0.5-15m
Investment Stages	Early-Stage + Growth, Buyout - Buyin
Industrial Sectors	Environment, Internet Technology, Medical - Life Sciences - Health Related
Geographical Focus	Austria, Germany, Switzerland, Worldwide
Type of Financing	Equity Financing

**Short Company Profile**

Through b-to-v Partners AG (b-to-v) an extensive network of committed entrepreneurial private investors with backgrounds from various sectors invests in growth companies with promising and scalable business models. The «vibrant network of investment intelligence» finances first-class ventures with excellent management teams and offers them access to experienced investors from the respective sector. With the institutionalised cooperation between investors and ventures b-to-v has become one of the leading private investor networks in Europe since the year 2000, whose activities regularly exceed this region – today reaching the US and Asia.

## Business Angels Schweiz



### Contact Information

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Address	Anton Higi-Strasse 20 8046 Zürich
Telephone No	+41 79 358 54 70
Fax No	
E-mail Address for General Enquiries	contact@businessangels.ch
Format of Personal E-mail Addresses	forename.surname@businessangels.ch
Web Address	www.businessangels.ch

### Company Information

---

Key People	Mr. Jan Fülcher Ms. Caroline Gueissaz Dr. Carole Ackermann
Year of Establishment	1997
Number of Employees	2
Fund Names	
Capital Under Management	
Number of Portfolio Companies	50-100
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Start-up Capital
Industrial Sectors	All
Geographical Focus	Switzerland, Lake of Constance area
Type of Financing	Equity Financing, Majority Equity, Minority Equity, Mezzanine

### Short Company Profile

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BAS, Business Angels Switzerland, is an association of about 70 affluent individuals who provide capital, know-how and their networks for Swiss business start-ups and early stage companies. BAS members meet three times per month in Zurich, Lausanne and the Lake of Constance Area. The club presents 70 investment opportunities per year to its members and finances five to ten of them every year. Detailed information for startups and investors is available on the homepage, [www.businessangels.ch](http://www.businessangels.ch).

**BV Holding AG****Contact Information**

Address	Hofgut - Vordere Dorfstrasse 12 3073 Gümliigen
Telephone No	+41 31 380 18 50
Fax No	+41 31 380 18 59
E-mail Address for General Enquiries	info@bvgroup.ch
Format of Personal E-mail Addresses	surname@bvgroup.ch
Web Address	www.bvgroup.ch

**Company Information**

Key People	Mr. Patrick Schär
Year of Establishment	1997
Number of Employees	4
Fund Names	
Capital Under Management	over CHF 70m
Number of Portfolio Companies	9
Examples of Portfolio Companies	Skand Holding AG, Lonstroff Holding AG, Finox, AG, Ypsomed, Ziemer Group AG, Sphinx Werkzeuge AG, Piexon AG

**Investment Preferences**

Size of Investment	CHF 3-20m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors	All
Geographical Focus	Switzerland
Type of Financing	Equity Financing

**Short Company Profile**

In the area of replacement financing and management buyouts (buyins) BVgroup focuses primarily on medium size transactions and on long term oriented investment opportunities in companies with a positive cash-flow and a yearly turnover of over CHF 10 million. The turnover can also be lower, if future revenue growth is substantial or if the company fits to one of our existing portfolio investments. The type of financing used depends on the specific situation and can be in equity or a combination of equity and debt.

## Capital Concepts International AG



### Contact Information

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Address	Gessnerallee 28 8001 Zürich
Telephone No	+41 43 266 70 80
Fax No	+41 43 266 70 81
E-mail Address for General Enquiries	info@capitalconcepts.ch
Format of Personal E-mail Addresses	forename.surname@capitalconcepts.ch
Web Address	www.capitalconcepts.ch

### Company Information

---

Key People	Ms. Jennifer Maag-Pelz
Year of Establishment	1999
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

### Short Company Profile

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Capital Concepts International is a mergers & acquisitions advisory boutique, focussing on middle market transactions (between CHF 10-500 million) in German-speaking Europe. Through our international network, we also advise on acquisitions or divestitures around the globe.

**Contact Information**

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Address	Bahnhofstrasse 22 6301 Zug
Telephone No	+41 41 748 84 44
Fax No	+41 41 748 84 40
E-mail Address for General Enquiries	clientrelations@capdyn.com
Format of Personal E-mail Addresses	initial of forenameSurname@capdyn.com
Web Address	www.capdyn.com

**Company Information**

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Key People	Mr. Richard Grauel Ms. Carolin Hirschbiedl
Year of Establishment	1988
Number of Employees	175
Fund Names	
Capital Under Management	over USD 19bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Worldwide
Type of Financing	

**Short Company Profile**

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Capital Dynamics is an independent, global asset manager, investing in private equity and clean energy infrastructure. We are client-focused, tailoring solutions to meet investor requirements. We manage investments through a broad range of products and opportunities including separate account solutions, investment funds and structured private equity products. Capital Dynamics currently has USD 19 billion in assets under management/advisement<sup>1</sup>.

Our investment history dates back to 1988. Our senior investment professionals average over 20 years of investing experience across the private equity spectrum. We believe our experience and culture of innovation give us superior insight and help us deliver returns for our clients. We invest locally while operating globally from our London, New York, Zug, Beijing\*, Tokyo, Hong Kong, Silicon Valley, Sao Paulo, Munich, Birmingham, Seoul, Brisbane, Shanghai\* and Scottsdale offices.

<sup>1</sup>Capital Dynamics comprises Capital Dynamics Holding AG and its affiliates; assets under management/advisement, as of September 30, 2013, include assets under discretionary management, advisement (non-discretionary), and administration across all Capital Dynamics affiliates. Investments are primarily on behalf of funds managed by Capital Dynamics. \*Capital Dynamics China is a legally separate company operating under a strategic cooperation with Capital Dynamics.

## Capital Transmission SA



### Contact Information

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Address	Rue de la Tour de l' Ile 4 1211 Genève 2
Telephone No	+41 22 809 21 46
Fax No	+41 22 809 33 88
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@capitaltransmission
Web Address	

### Company Information

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Key People	Ms. Virginie Fauveau Mr. Renaud Chambolle
Year of Establishment	2008
Number of Employees	
Fund Names	
Capital Under Management	CHF 50m
Number of Portfolio Companies	7
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	CHF 1-5m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance
Industrial Sectors	All
Geographical Focus	France, Switzerland
Type of Financing	Equity Financing, Loans, Minority Equity, Mezzanine

### Short Company Profile

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**Contact Information**

---

Address	Bleibtreustrasse 33 D-10707 Berlin
Telephone No	+49 30 315945-0
Fax No	+49 30 315945-57
E-mail Address for General Enquiries	info@capiton.com
Format of Personal E-mail Addresses	surname@capiton.de
Web Address	www.capiton.com

**Company Information**

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Key People	Mr. Stefan Theis Dr. Andreas Kogler Mr. Manuel Hertweck
Year of Establishment	1999
Number of Employees	20
Fund Names	capiton II, capiton III, capiton IV
Capital Under Management	EUR 820m
Number of Portfolio Companies	15
Examples of Portfolio Companies	Lahmeyer International, ZytoService, Nora Flooring, Schur Flexibles, Poli-Tape

**Investment Preferences**

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Size of Investment	EUR 5-100m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Majority Equity, Minority Equity

**Short Company Profile**

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capiton is an owner-managed financial investor that invests in large SMEs in Germany, Austria and Switzerland by means of MBOs and expansion financing. capiton's roots go back to the late 1970s.



## Capvis Equity Partners AG



### Contact Information

Address	Grabenstrasse 17 6340 Baar
Telephone No	+41 43 300 58 58
Fax No	+41 43 300 58 59
E-mail Address for General Enquiries	info@capvis.com
Format of Personal E-mail Addresses	forename.surname@capvis.com
Web Address	www.capvis.com

### Company Information

Key People	Mr. Rolf Friedli Mr. Daniel Flaig
Year of Establishment	1999
Number of Employees	30
Fund Names	Capvis I, Capvis II, Capvis III, Capvis IV
Capital Under Management	EUR 1.2bn
Number of Portfolio Companies	10
Examples of Portfolio Companies	ACP, arena, Bartec, Benninger, ETAVIS, Kaffee Partner, KVT, Lista, Orior, Phonak, sia Abrasives, Soudronic, Stadler Rail Group, Ticketcorner, Wittur, VAT, WMF

### Investment Preferences

Size of Investment	EUR 20-100m
Investment Stages	Buyout - Buyin
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing

### Short Company Profile

Capvis Equity Partners AG Baar, advising the Capvis Funds, is the leading private equity firm in Switzerland and a top player in German-speaking Europe. Capvis invests in well positioned medium-sized firms with headquarters in Switzerland, Germany or Austria, typically as part of succession solutions and corporate spin-offs. Capvis usually takes substantial ownership stakes in attractive firms with the aim to realize the identified potential of the company by supporting the management team to achieve its demanding objectives. Since 1990, the Capvis team has conducted 45 transactions with a volume of more than EUR 5 bn. Capvis Equity Partners was founded in 1999 as the successor organisation to SBC Equity Partners.

## Centralway Headquarters AG



### Contact Information

---

Address	Binzstrasse 18 8045 Zürich
Telephone No	
Fax No	
E-mail Address for General Enquiries	ventures@centralway.com
Format of Personal E-mail Addresses	
Web Address	www.centralway.com

### Company Information

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Key People	Mr. Martin Saidler Mr. Severin Jan Rügger Mr. Nicolas Ruffin Ms. Christina Rogge
Year of Establishment	1999
Number of Employees	100
Fund Names	CENTRALWAY
Capital Under Management	
Number of Portfolio Companies	12
Examples of Portfolio Companies	Numbrs, Sandbox, Buttercoin, Standard Treasury, Lending Club, SecureSafe

### Investment Preferences

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Size of Investment	
Investment Stages	Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors	Internet Technology, Finance
Geographical Focus	Europe, United States of America
Type of Financing	Equity Financing, Loans, Majority Equity, Minority Equity

### Short Company Profile

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## Centus Capital Ltd.

### Contact Information

---

Address	Täferstrasse 2a 5405 Baden-Dättwil
Telephone No	+41 56 484 55 33
Fax No	+41 56 484 55 30
E-mail Address for General Enquiries	info@centuscapital.com
Format of Personal E-mail Addresses	
Web Address	www.centuscapital.com

### Company Information

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Key People	Ms. Esther M. Deck
Year of Establishment	2002
Number of Employees	2
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Early-Stage, Expansion - Development Capital, Start-up Capital
Industrial Sectors	Biotechnology, Internet Technology, Medical - Life Sciences - Health Related, Communications other
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing

### Short Company Profile

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Centus Capital Ltd. is an independent investment company. We focus on innovative technology companies with a high growth potential in Switzerland, Germany and Austria. Innovative business models and a management team with expertise are important criterias when analyzing a company. We also offer advisory services in the field of corporate finance.

**CGS Management**  
**giesinger gloor lanz & co.**



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**Contact Information**

---

Address	Huobstrasse 14 8808 Pfäffikon
Telephone No	+41 55 416 16 40
Fax No	+41 55 416 16 41
E-mail Address for General Enquiries	info@cgs-management.com
Format of Personal E-mail Addresses	forename.surname@cgs-management.com
Web Address	www.cgs-management.com

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**Company Information**

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Key People	Dr. Rolf Lanz Mr. Peter Giesinger Mr. Peter Gloor
Year of Establishment	1995
Number of Employees	11
Fund Names	CGS II (Jersey) L.P., CGS III (Jersey) L.P.
Capital Under Management	CHF 300m
Number of Portfolio Companies	10
Examples of Portfolio Companies	Mould Technologies Holding AG, mdexx Holding GmbH, R&S International Holding AG, Top Werk GmbH

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**Investment Preferences**

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Size of Investment	EUR 10-80m
Investment Stages	Buyout - Buyin, Replacement Capital
Industrial Sectors	Construction - Building Products, Electronics, Industrial Automation, Industrial Products and Services, Machinery, Manufacturing, Plastic Industry
Geographical Focus	Europe
Type of Financing	Equity Financing, Majority Equity

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**Short Company Profile**

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Private Equity Partnership: investments in small and medium sized industrial companies

## Club Valaisan des Business Angels



### Contact Information

Address	c/o CCF SA Pré-fleuri 6 1951 Sion
Telephone No	+41 27 327 35 50
Fax No	+41 27 327 35 51
E-mail Address for General Enquiries	bizangels@ccf-valais.ch
Format of Personal E-mail Addresses	
Web Address	www.bizangels.ch

### Company Information

Key People	Mr. Christophe Beaud
Year of Establishment	1999
Number of Employees	2
Fund Names	Individual investors + CCF SA (Centre de Compétences Financières) - Financial Institution of VS Economic Development
Capital Under Management	Only direct investment of Angels, no pool
Number of Portfolio Companies	20
Examples of Portfolio Companies	Spiralps, Geroco, Augurix, Snowpulse

### Investment Preferences

Size of Investment	CHF 0.1-3m
Investment Stages	Seed Capital, business succession, well established companies
Industrial Sectors	All
Geographical Focus	Switzerland, companies based in Valais only
Type of Financing	Equity Financing

### Short Company Profile

The „CVBA“ is a private investors club based in Sion. It was founded in 1999 under the impulse of CCF well established, financial arm of the association for Valais economic development. The main objective is to finance start-up, and early stage companies based in Valais. The club aims also to share the know-how and the network of its members. CBVA members meet three times a year through a dinner during which the entrepreneurs present their project. The club presents around 10 investment opportunities per year to its members.

**CO-INVESTOR AG****Contact Information**

Address	Kreuzstrasse 26 8008 Zürich
Telephone No	+41 43 521 61 11
Fax No	+41 43 521 61 10
E-mail Address for General Enquiries	office@co-investor.com
Format of Personal E-mail Addresses	initial of forename.surname@co-investor.com
Web Address	www.co-investor.com

**Company Information**

Key People	Dr. Hans-Dieter Rompel Mr. Nicolai von Engelhardt
Year of Establishment	2000
Number of Employees	9
Fund Names	Mittelstand Co-Investment Portfolio, ESCF1, VRP I, VRP II, Co-Lux
Capital Under Management	≥ EUR 100m
Number of Portfolio Companies	16 direct Investments
Examples of Portfolio Companies	CCG Cool Chain Group, Wiesmann, Euroimmun, WTravel

**Investment Preferences**

Size of Investment	EUR 5–50m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Replacement Capital
Industrial Sectors	Chemical - Materials, Computer Related, Construction - Building Products, Consumer Related, Electronics, Energy, Environment, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Majority Equity, Minority Equity, Mezzanine

**Short Company Profile**

Co-Investor arranges exclusive direct investments, has a wealth of business expertise, offers privately funded equity financing, and is passionately committed to the small- and medium-sized business sector. Co-Investor is the right partner for companies seeking growth finance for their small or medium-sized business or for wealthy private investors wishing to invest directly in business enterprises in the German speaking region. The group also manages several funds for a more diversified investment approach, one of which in close cooperation with BHF-Bank, Germany.

## Creathor Venture



### Contact Information

Address	Schipfe 2 8001 Zürich
Telephone No	+41 44 271 13 58
Fax No	+49 6172 139 72 29
E-mail Address for General Enquiries	businessplan@creathor.de
Format of Personal E-mail Addresses	
Web Address	www.creathor.ch

### Company Information

Key People	Mr. Cédric Köhler Dr. Gert Köhler
Year of Establishment	2003
Number of Employees	14
Fund Names	Creathor Venture Fund
Capital Under Management	CHF 221m
Number of Portfolio Companies	26
Examples of Portfolio Companies	Joiz, Viewster, Iconicfuture, Kigo, Stylefruits, netbiscuits, Mobiles Republic, Phenex Pharmaceuticals, caprotec bioanalytics, SIRION Biotech, Accovion

### Investment Preferences

Size of Investment	CHF 0.1-10m
Investment Stages	Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors	Biotechnology, Consumer Related, Industrial Products and Services, Internet Technology, Medical - Life Sciences - Health Related, Telecommunications, Communications other
Geographical Focus	Austria, France, Germany, Scandinavia, Switzerland
Type of Financing	Equity Financing, Minority Equity

### Short Company Profile

As a leading European Venture Capital firm, Creathor Venture invests in technology-oriented companies and entrepreneurs. The focus is particularly on mobile, e-, m-, s-commerce, media, cloud, life science, mobile health and diagnostics. Regional focus is on Germany, Switzerland, Austria and Scandinavia. The current portfolio of more than 30 companies is actively supported in development, growth and internationalization by our team of 15 staff. The management team of Creathor Venture consists of the founder of the former Technologieholding VC GmbH, Dr Gert Köhler as well as Ingo Franz, Cédric Köhler and Karlheinz Schmelig. The team has built more than 200 technology companies successfully, conducted more than 20 international IPOs and has achieved exceptional returns for fund investors and the financed entrepreneurs in the past. Creathor Venture manages funds of more than CHF 221 million (USD 240 mio.) and currently has four Offices in Germany (near Frankfurt & Munich), in Zurich and in Stockholm.

## Credit Suisse Private Banking & Wealth Management



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### Contact Information

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Address	Paradeplatz 8 8070 Zürich
Telephone No	+41 44 333 11 11
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	<a href="http://www.credit-suisse.com">www.credit-suisse.com</a>

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### Company Information

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Key People	Mr. Sven-Christian Kindt Mr. Manuel Keiser
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Year of Establishment  
Number of Employees  
Fund Names  
Capital Under Management  
Number of Portfolio Companies  
Examples of Portfolio Companies

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### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

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### Short Company Profile

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Credit Suisse Private Banking & Wealth Management offers comprehensive advice and a broad range of financial solutions to private, corporate and institutional clients – from simple investment funds to multi-asset class solutions, including equities, fixed income products or alternative investments. In Switzerland, Credit Suisse also offers products and solutions for retail and business clients. Credit Suisse Private Banking & Wealth Management has a dedicated private equity team, which covers non-US private clients, ranging from (U)HNWIs and family offices to small Swiss institutions and asset managers. The team accesses the private equity asset class through fund of funds, basket of funds and single manager funds. It is interested in a broad range of private equity strategies, such as venture, growth capital, buyouts and distressed private equity. It will also consider real estate and infrastructure investments. Geographically speaking, Credit Suisse will invest in all regions, including emerging markets.



## Cross Equity Partners AG



### Contact Information

Address	Kreuzstrasse 60 8008 Zürich
Telephone No	+41 44 269 93 93
Fax No	+41 44 269 93 94
E-mail Address for General Enquiries	info@crossequity.ch
Format of Personal E-mail Addresses	initial of forename.surname@crossequity.ch
Web Address	www.crossequity.ch

### Company Information

Key People	Mr. Markus Reich Dr. Michael Petersen
Year of Establishment	2008
Number of Employees	7
Fund Names	Cross L.P.
Capital Under Management	CHF 100m
Number of Portfolio Companies	3
Examples of Portfolio Companies	Spirella SA, Micro-Macinazione SA, Bühler Thermal Processes AG

### Investment Preferences

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Majority Equity, Minority Equity

### Short Company Profile

Cross is a private equity firm with the mission to support the sustainable development of mid-sized companies in Switzerland, Germany and Austria through equity capital, industrial expertise and an extensive relationship network – amongst others in the context of succession of family-owned businesses and corporate spin-offs.

## Debiopharm Investment SA



### Contact Information

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Address	Ch. Messidor 5-7 1002 Lausanne
Telephone No	+41 21 331 29 30
Fax No	+41 21 331 29 31
E-mail Address for General Enquiries	private-equity@debiopharm.com
Format of Personal E-mail Addresses	
Web Address	www.debiopharm.com

### Company Information

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Key People	Ms. Alexandra Le Coz Sanchez Mr. Alexandre Peyraud Mr. Axel Favre
Year of Establishment	
Number of Employees	20
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	Depending on investment stages
Investment Stages	All
Industrial Sectors	All
Geographical Focus	France, Switzerland, Western Europe
Type of Financing	Equity Financing, Minority Equity

### Short Company Profile

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The financial arm of Debiopharm Group, Debiopharm Investment SA (formerly Debiomanagement SA) main objectives are the preservation of capital of Debiopharm Group, and the generation of regular financial incomes for Debiopharm Group's companies (<https://www.debiopharm.com/about-us/debiopharm-investment-s-a.html>).

**Deloitte AG****Deloitte.****Contact Information**

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Address	General Guisan-Quai 38 8022 Zürich
Telephone No	+41 58 279 64 06
Fax No	+41 58 279 99 33
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	initial of forenameSurname@deloitte.ch
Web Address	www.deloitte.ch

**Company Information**

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Key People	Mr. Konstantin von Radowitz Mr. Jan-Dominik Remmen Dr. Jürg Glesti
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

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Deloitte is a leading accounting and consulting company in Switzerland and provides industry-specific services in the areas of audit, tax, consulting and corporate finance. With approximately 1'100 employees at six locations in Basel, Bern, Geneva, Lausanne, Lugano and Zurich (headquarters), Deloitte serves companies and institutions of all legal forms and sizes in all industry sectors. Deloitte's Corporate Finance practice works with leading private equity investors, funds and portfolio companies delivering value across the entire private equity life cycle.

## DR VEDULA GOPINATH

### Contact Information

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Address	H501 APARNA SAROVAR 50046 hyderabad ap India
Telephone No	0091 404 007 1614
Fax No	
E-mail Address for General Enquiries	vgopinath2@yahoo.com
Format of Personal E-mail Addresses	
Web Address	

### Company Information

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Key People	Dr. Vedula Gopinath
Year of Establishment	2011
Number of Employees	3
Fund Names	loan funds from malaysia
Capital Under Management	USD 13m
Number of Portfolio Companies	3
Examples of Portfolio Companies	loan for a pharma co. equity for a power project

### Investment Preferences

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Size of Investment	USD 50m
Investment Stages	Start-up Capital
Industrial Sectors	All
Geographical Focus	Asia
Type of Financing	Equity Financing, Loans

### Short Company Profile

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Indian born male corporate attorney and chartered company secretary researcher in CG working for over three decades with various companies including associating with an international law firm and overseas contracts. Held board level jobs including CEO for 5 years Experience with proven results in law, and governance. I have personal and professional integrity and work to achieve targets and objectives and often bettering targets and deadlines. Board expertise: strategic planning; constitutional matters; dispute resolution; CSR matters; statutory and regulatory compliance; h.r. policies, and systems laying, ; audit and risk management. Dr Vedula Gopinath vgopinath2@yahoo.com M-00919848227926

**ECM Equity Capital Management GmbH****Contact Information**

Address	Taunusanlage 18 D-60325 Frankfurt am Main
Telephone No	+49 69 971020
Fax No	+49 69 9710224
E-mail Address for General Enquiries	info@ecm-pe.de
Format of Personal E-mail Addresses	
Web Address	www.ecm-pe.de

**Company Information**

Key People	Mr. Axel Eichmeyer Mr. Florian Kähler Mr. Christopher Peisch
Year of Establishment	1994
Number of Employees	
Fund Names	German Equity Partners I-IV
Capital Under Management	EUR 680m
Number of Portfolio Companies	21
Examples of Portfolio Companies	Bergmann Automotive, MediFox, Maredo, Kamps; for further information please refer to the ECM website

**Investment Preferences**

Size of Investment	EUR 10-100m
Investment Stages	Buyout - Buyin, Delisting, Expansion - Development Capital, Privatisation, Replacement Capital
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Majority Equity

**Short Company Profile**

ECM Equity Capital Management GmbH is an independent private equity firm headquartered in Frankfurt am Main, Germany. ECM served or serves as the manager of/advisor to respectively the private equity funds German Equity Partners I-IV with aggregate capital under management in excess of EUR 680m. The investment focus is on mid-sized companies in the manufacturing and services sectors in addition to the wholesale and retailing industries. Preferred acquisition targets are companies with revenues of EUR 20m to EUR 250m. The funds typically structure their investments as management buyouts.

## Emerald Technology Ventures AG



### Contact Information

Address	Seefeldstrasse 215 8008 Zürich
Telephone No	+41 44 269 61 00
Fax No	+41 44 269 61 01
E-mail Address for General Enquiries	info@emerald-ventures.com
Format of Personal E-mail Addresses	forename.surname@emerald-ventures.com
Web Address	www.emerald-ventures.com

### Company Information

Key People	Ms. Gina Domanig Mr. Hans Dellenbach
Year of Establishment	2000
Number of Employees	12
Fund Names	Emerald Cleantech Fund I LP, Emerald Energy Fund I LP, Emerald Cleantech Fund II LP
Capital Under Management	EUR 300m
Number of Portfolio Companies	44
Examples of Portfolio Companies	EnOcean, Synapsense, TaKaDu, River Basin Energy

### Investment Preferences

Size of Investment	EUR 2-7m
Investment Stages	Early-Stage, Expansion - Development Capital, Start-up Capital
Industrial Sectors	Chemical - Materials, Construction - Building Products, Energy, Environment, Industrial Automation, Industrial Products and Services, Other, Transportation
Geographical Focus	Europe, Israel, North America
Type of Financing	Equity Financing

### Short Company Profile

Launched in 2000, Emerald Technology Ventures today manages three funds as well as two mandates all focusing on venture capital in the Cleantech sectors, namely, energy, materials, water and agricultural technologies. Emerald was a pioneer in Europe and today, with EUR 300 mio under management, is the largest player in Europe and one of the key players in North America. Emerald has offices in Zurich and Toronto.

**ENEX Group Management SA****Contact Information**

Address	Hufgasse 11 8008 Zürich
Telephone No	+41 79 756 22 14
Fax No	
E-mail Address for General Enquiries	info@enexgroup.ch
Format of Personal E-mail Addresses	
Web Address	www.enexgroup.ch

**Company Information**

Key People	Mr. Sebastien Koechli Mr. Arthur Davis
Year of Establishment	2005
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Turnaround - Restructuring
Industrial Sectors	Consumer Related, Energy, Industrial Products and Services, Internet Technology, Manufacturing, Telecommunications
Geographical Focus	Canada, Denmark, Germany, Italy, Netherlands, North America, Spain, Sweden, Switzerland, United Kingdom, United States of America
Type of Financing	All

**Short Company Profile**

ENEX Group is a private investment company and corporate advisory firm based in Switzerland. We make investments in high impact entrepreneurial companies to fund growth strategies, acquisitions and consolidations. We also provide corporate advisory services related to corporate finance and management.

**Equistone Partners Europe (Schweiz) AG**



**Contact Information**

Address	General-Guisan-Quai 34 8027 Zürich
Telephone No	+41 44 289 80 90
Fax No	+41 44 289 80 91
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@equistone.ch
Web Address	www.equistone.de

**Company Information**

Key People	Mr. Philippe Stüdi Mr. Marc Erni Ms. Daniela Herger
Year of Establishment	1979
Number of Employees	3
Fund Names	Equistone Partners Europe Fund I, II, III and IV
Capital Under Management	Equistone Partners Europe Fund I (EUR 1.25bn), Fund II (EUR 1.65bn), Fund III (EUR 2.45bn), Fund IV (EUR 1.5bn)
Number of Portfolio Companies	over 50
Examples of Portfolio Companies	avocis, Jack Wolfskin, Schild, CU Chemie Uetikon, Global blue

**Investment Preferences**

Size of Investment	CHF 25-125m
Investment Stages	Buyout - Buyin
Industrial Sectors	All
Geographical Focus	France, Germany, Switzerland, United Kingdom, Austria
Type of Financing	Majority Equity

**Short Company Profile**

Equistone Partners Europe (Equistone), vormalig Barclays Private Equity, zählt europaweit zu den führenden Beteiligungsgesellschaften. Der Fokus des 1979 in Grossbritannien gegründeten Unternehmens liegt auf dem Erwerb von mittelständischen Unternehmen mit einem jährlichen Umsatzvolumen zwischen CHF 40 und 400 Millionen. Seit Bestehen hat Equistone mehr als 500 Transaktionen getätigt. Allein in den vergangenen zehn Jahren summierte sich das Investitionsvolumen von Equistone in Management Buy-Outs, Management Buy-Ins, Spin-Offs und Wachstumsfinanzierungen auf mehr als zehn Milliarden Euro. Die Investoren sind eine Vielzahl internationaler institutioneller Anleger. Equistone zählt inzwischen sechs Büros in Grossbritannien und Kontinentaleuropa mit 35 Investment Professionals.



## ErfindungsVerwertung AG EVA



### Contact Information

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Address	Hochbergerstrasse 60c 4057 Basel
Telephone No	+41 61 283 84 85
Fax No	+41 61 283 84 86
E-mail Address for General Enquiries	info@eva-basel.ch
Format of Personal E-mail Addresses	Forename.Surname@eva-basel.ch
Web Address	www.eva-basel.ch

### Company Information

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Key People	Dr. Peter E. Burckhardt Dr. Fritz Wittwer
Year of Establishment	1996
Number of Employees	2
Fund Names	
Capital Under Management	
Number of Portfolio Companies	11
Examples of Portfolio Companies	BioVersys, Cardiolyxn, AOT, Inofea, mininavident, Cellec Biotech

### Investment Preferences

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Size of Investment	
Investment Stages	Seed Capital, Start-up Capital
Industrial Sectors	Biotechnology, Medical - Life Sciences - Health Related
Geographical Focus	Switzerland
Type of Financing	Loans, Minority Equity

### Short Company Profile

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EVA – the Basel Life-Sciences start-up agency bridges research, business ideas and markets. EVA provides start-up support for the first phase of establishing innovative Life Science companies. EVA provides investors with contacts to audited projects and to verifiably good, implementable business ideas.

## Ernst & Young AG



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### Contact Information

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Address	Maagplatz 1 8010 Zürich
Telephone No	+41 58 286 31 11
Fax No	+41 58 286 30 04
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@ch.ey.com
Web Address	www.ey.com/ch

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### Company Information

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Key People	Mr. Joel Coelho Mr. Hannes Schobinger Mr. Marc Reinhardt
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

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### Short Company Profile

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With its local team of 150 people in Transaction Advisory Services, Transaction Tax and Transaction Legal and a global network of 175'000 professionals, EY provides expert and interdisciplinary assistance in corporate transactions and M&A lead advisory. Our people understand the assurance, tax, transaction and advisory needs of businesses across 150 countries worldwide. We are able to offer seamless and consistent quality support in all phases of a transaction including Due Diligence, Valuation & Business Modeling, Mergers and Acquisitions Lead Advisory, Corporate Restructuring, Real Estate as well as corresponding accounting, tax and legal advice.

**ESO Advisors AG****Contact Information**

Address	Oberdorfstrasse 10 8024 Zürich
Telephone No	+41 44 200 38 20
Fax No	+41 44 200 38 28
E-mail Address for General Enquiries	info@esocapital.com
Format of Personal E-mail Addresses	initials@esocapital.com
Web Address	www.esocapital.com

**Company Information**

Key People	Ms. Olya Klüppel
Year of Establishment	2006
Number of Employees	17
Fund Names	European Special Opportunities Fund V FCP SIF
Capital Under Management	EUR 350m
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	EUR 5-40m
Investment Stages	Expansion - Development Capital, Mezzanine - Bridge Finance, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Europe
Type of Financing	Loans, Minority Equity, Mezzanine, Senior Debt

**Short Company Profile**

ESO is a European special situations investment management group focused on privately-originated, off-the-run situations backed by the assets of European small and medium enterprises (SMEs), financial assets and real estate.

## EUROFIN CAPITAL SA



### Contact Information

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Address	Rue Etraz 4 1002 Lausanne
Telephone No	+41 58 710 72 00
Fax No	+41 58 710 72 01
E-mail Address for General Enquiries	info@eurofincapital.com
Format of Personal E-mail Addresses	initial of forenameSurname@eurofincapital.com
Web Address	www.eurofincapital.com

### Company Information

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Key People	Mr. Alexandre Cadosch Mr. Bruno Ramos
Year of Establishment	2004
Number of Employees	14
Fund Names	
Capital Under Management	CHF 1.7bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	Agriculture - Forestry - Fishing, Construction - Building Products, Finance - Insurance - Real Estate, Internet Technology, Medical - Life Sciences - Health Related, Services
Geographical Focus	United States of America, Western Europe
Type of Financing	Equity Financing, Loans

### Short Company Profile

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Eurofin Capital is an investment office based in Lausanne and London. We combine our Swiss heritage with the financial market expertise of our international executive team to help clients grow and protect their wealth. Eurofin Capital covers the full spectrum of asset classes, including Equities, Bonds, Private Equity, Real Estate and Venture Capital.

**Fabrel Lotos AG****Contact Information**

Address	Seestrasse 50 6052 Hergiswil
Telephone No	+41 41 632 68 58
Fax No	+41 41 632 68 40
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@fabrel.ch
Web Address	www.fabrelotos.ch

**Company Information**

Key People	Mr. Marc Müller Mr. Urs Pfyffer
Year of Establishment	1999
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	3
Examples of Portfolio Companies	RONDO Group, Utz Group, Buss Group

**Investment Preferences**

Size of Investment	CHF 25-100m
Investment Stages	Buyout - Buyin
Industrial Sectors	Manufacturing
Geographical Focus	Switzerland
Type of Financing	Majority Equity, Minority Equity

**Short Company Profile**

Fabrel Lotos participates on a long-term basis with equity holdings and entrepreneurial know-how in medium sized companies with sound economics and good growth prospects. As a Swiss long-term equity partner, Fabrel Lotos enables these companies to pursue their ambitious goals at the same time as retaining their independence.

**FIDES Business Partner AG****Contact Information**

Address	Hirschengraben 28 8008 Zurich
Telephone No	+41 44 241 30 00
Fax No	+41 44 241 30 19
E-mail Address for General Enquiries	office@fidesbusinesspartner.ch
Format of Personal E-mail Addresses	initial of forenameSurname@ fidesbusinesspartner.ch
Web Address	www.fidesbusinesspartner.ch

**Company Information**

Key People	Mr. Johannes Gugl Mr. René Steiner Mr. Giulio De Lucia
Year of Establishment	2011
Number of Employees	12
Fund Names	Fides Capital I
Capital Under Management	
Number of Portfolio Companies	5
Examples of Portfolio Companies	Blattmann Schweiz AG, Invertag AG, Typotron, Extremprint

**Investment Preferences**

Size of Investment	CHF 3-10m
Investment Stages	Buyout - Buyin, Turnaround - Restructuring
Industrial Sectors	Chemical - Materials, Computer Related, Consumer Related, Electronics, Energy, Environment, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing, Medical - Life Sciences - Health Related, Other, Services, Telecommunicat
Geographical Focus	Austria, Germany, Italy, Switzerland
Type of Financing	Equity Financing, Majority Equity

**Short Company Profile**

FIDES Business Partner invests in underperforming companies and unlock upside potentials with hands-on operational experience, market know-how and specific competences in restructuring and turnaround management. Our core investment strategy is the active turnaround of companies in special situations which demonstrate viable market opportunities, a stable and committed client base with tangible and realizable operational improvement potentials. Our main regional investment focus is Switzerland. We only invest in mature companies with liquidity, operational or balance sheet problems but which have sound core business fundamentals.

**FONDIA****FONDIA**  
INVESTMENT MANAGEMENT**Contact Information**

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Address	Rue du Port 12 1204 Geneve
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E-mail Address for General Enquiries	info@fondiagroup.com
Format of Personal E-mail Addresses	
Web Address	www.fondiagroup.com

**Company Information**

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Key People	Mr. Umberto Ronsisvalle Mrs. Sunita Shah
Year of Establishment	
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	All
Industrial Sectors	Finance - Insurance - Real Estate
Geographical Focus	Austria, Benelux, Canada, Europe, Luxembourg, Middle East, Switzerland, United States of America
Type of Financing	All

**Short Company Profile**

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FONDIA is an investment management platform that provides advices and solutions to private investors and families to enhance their wealth-management process with special focus on real estate. Typically working alongside accountants, lawyers and tax advisers FONDIA finds cross-border ways to protect and grow the investor or family wealth through intelligent and tax-efficient strategies across jurisdiction, safety conveying assets into the hands of future generations.

**gcp gamma capital partners -  
The Venturepreneurs**



**Contact Information**

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Telephone No	+43 1 513 10 72
Fax No	+43 1 513 10 72 - 200
E-mail Address for General Enquiries	office@gamma-capital.com
Format of Personal E-mail Addresses	initial of forename.surname@gamma-capital.com
Web Address	www.gamma-capital.com

**Company Information**

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Key People	Dr. Burkhard Feurstein
Year of Establishment	2002
Number of Employees	10
Fund Names	GXT, iLab24, gamma II, gamma III, GMP
Capital Under Management	approx. EUR 36.3m
Number of Portfolio Companies	16
Examples of Portfolio Companies	42matters, CNSystems, Henn, Opvizor, Organica, Sensix, Wikitude, Inode, 123people, fatfoogoo

**Investment Preferences**

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Size of Investment	EUR 0.5-5m
Investment Stages	Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors	New Media, Software & IT Services, Electronics, Medtech, Cleantech
Geographical Focus	Austria, Czech Republic, Germany, Hungary, Poland, Slovakia, Slovenia, Switzerland
Type of Financing	Equity Financing, Minority Equity

**Short Company Profile**

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GCP – Group - gamma capital partners – the Venturepreneurs is a dynamic venture capital player in the D-A-CH & CEE-region. GCP currently advises three high-tech vc-funds as well as one mezzanine funds. All funds are backed by leading Austrian institutional and private investors and invest in tech- as well as growth oriented firms in Austria, Germany, Switzerland and neighbouring CEE countries. The VenturePreneurial approach is active advice for managing growth. GCP focuses in Venture capital on dynamic growth firms in emerging technology sectors such as ICT, life sciences medical equipment, electronics and new materials.



## Gilde Buy Out Partners AG



### Contact Information

Address	Mühlebachstrasse 8 8008 Zürich
Telephone No	+41 43 268 20 30
Fax No	+41 43 268 20 35
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	surname@gilde.com
Web Address	www.gilde.com

### Company Information

Key People	Mr. Ralph Wyss Mr. Rogier Engelsma Dr. Alexander Friedrich
Year of Establishment	1982
Number of Employees	9
Fund Names	Gilde Buy Out Fund I-IV
Capital Under Management	CHF 2.5bn
Number of Portfolio Companies	18
Examples of Portfolio Companies	Eismann, Spandex, Powerlines, TMC, Roompot, Teleplan, Nedschroef, Plukon Food Group, Gamma Holding, CID Lines

### Investment Preferences

Size of Investment	
Investment Stages	Buyout - Buyin, Delisting
Industrial Sectors	All
Geographical Focus	Austria, Belgium, Germany, Luxembourg, Netherlands, Switzerland
Type of Financing	Equity Financing, Majority Equity

### Short Company Profile

Gilde Buy Out Partners is one of Europe's foremost mid-market private equity investors, with managed funds in excess of CHF 2.5bn. Gilde operates in the Benelux as well as Germany, Switzerland and Austria. With offices in Zurich, Frankfurt, Utrecht and Brussels as well as its multinational team, Gilde is truly entrenched in these markets and can offer local expertise coupled with European reach and execution skills. Since its inception in 1982, Gilde has invested in over 250 companies across a diverse range of sectors. At CHF 1'000m (EUR 800m) its current investment fund Gilde Buy Out Fund IV is among the largest dedicated mid-market buyout investment funds in Europe.

**GLEX AG****Contact Information**

Address	Hauptstrasse 35 5737 Menziken
Telephone No	+41 62 511 91 30
Fax No	+41 62 511 91 34
E-mail Address for General Enquiries	info@glexenergy.ch
Format of Personal E-mail Addresses	initial of forenameSurname@glexenergy.ch
Web Address	www.glexenergy.ch

**Company Information**

Key People	Mr. Percy Oliver Mueller Mr. Simon Lacy Hicks Mr. Michael Horwarth
Year of Establishment	2013
Number of Employees	8
Fund Names	
Capital Under Management	CHF 250m
Number of Portfolio Companies	
Examples of Portfolio Companies	3

**Investment Preferences**

Size of Investment	CHF 85m
Investment Stages	Mezzanine - Bridge Finance
Industrial Sectors	Energy, Industrial Products and Services, Transportation
Geographical Focus	Worldwide
Type of Financing	Equity Financing, Loans, Mezzanine, Senior Debt

**Short Company Profile**

GLEX™ AG is a member of a globally operating energy group, with trading positions and stockholdings in the conventional energy, green energy and downstream markets. GLEX™ proposes energy and commodity related services and is a conductor of structured finance transactions in the energy and commodity markets. Mostly managing a diversified basket in COMEX, ICE, LME and NYMEX as well as NYSE-EURONEXT traded titles. GLEX™ is holding stakes in strategic, energy and infrastructure related businesses, and is an issuer of securities and syndicated loan structures in joint venture with regulated institutions. The GLEX group focus lays not only in the EMEA and ASEAN Markets but also on emerging countries and semi-emerging African markets with promising growths perspectives. GLEX™ also provides certified business intelligence and settlement systems to the Swiss market represented by the GEB (Glex Energy Benchmark). Institutions, private investors and analysts can rely on the GLEX™ expertise with a 10 year heritage of the whole group in the global energy markets featuring an alternative benchmark compared to established indexes. GLEX™ AG is operating in accordance with the SECA standards such as corporate governance guidelines and the SECA code of conduct.

## Global Equity Associates AG



### Contact Information

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Address	Börsenstrasse 18 8001 Zürich
Telephone No	+41 44 215 50 00
Fax No	
E-mail Address for General Enquiries	info@gea-ag.ch
Format of Personal E-mail Addresses	www.gea-ag.ch
Web Address	

### Company Information

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Key People	Mr. Christian Walliker Mr. Andreas Burkhardt Mr. Oliver Lars Dombrowsky
Year of Establishment	
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Switzerland
Type of Financing	All

### Short Company Profile

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**Go Beyond Investing**



**Contact Information**

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Fax No	+41 44 575 35 84
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Format of Personal E-mail Addresses	forename.surname@go-beyond.biz
Web Address	www.go-beyond.biz

**Company Information**

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Key People	Ms. Brigitte Baumann Mr. Balz Roth
Year of Establishment	2005 started as an Angel Investing Academy; Launched our Business Angel Network in 2007
Number of Employees	5
Fund Names	We are a European Business Angel Network working with individual investors, family offices, professional groups and corporations.
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	Poken, Generation Plume, Barefoot Power, Lemoptix, Gramitech, Ecospeed, The Faction Collective, Sensimed, Arktis, Sensima, Eyeducation, Trinnov Audio, Geroco

**Investment Preferences**

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Size of Investment	EUR 0.2-5m
Investment Stages	Seed Capital, Start-up Capital
Industrial Sectors	All
Geographical Focus	France, Italy, Poland, Switzerland, United Kingdom, United States of America
Type of Financing	Equity Financing

**Short Company Profile**

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**GCM Grosvenor**


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**Contact Information**


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Address	Europaallee 41 8021 Zürich
Telephone No	+41 44 214 61 48
Fax No	+41 44 214 61 49
E-mail Address for General Enquiries	eriner@gcmlp.com
Format of Personal E-mail Addresses	initial of ForenameSurname@gcmlp.com
Web Address	www.grosvenorcapitalmanagement.com

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**Company Information**


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Key People	Ms. Eliane Riner (Switzerland) Mr. Arnaud Lipkowicz (London) Mr. Roger Ammoun (London)
Year of Establishment	1971, 2000 (private equity)
Number of Employees	1 (CH), 380 (Global)
Fund Names	
Capital Under Management	USD 44bn (USD 20bn private markets)
Number of Portfolio Companies	
Examples of Portfolio Companies	Co-Investment Programs

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**Investment Preferences**


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Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance
Industrial Sectors	Consumer Related, Energy, Environment, Finance - Insurance - Real Estate, Industrial Automation, Industrial Products and Services, Infrastructure, Medical - Life Sciences - Health Related, Telecommunications, Communications other, Transportation
Geographical Focus	Europe, Germany, Japan, North America, Switzerland, United Kingdom, United States of America, Western Europe
Type of Financing	All

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**Short Company Profile**


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GCM is a leading manager of private markets fund investment and co-investment programs. GCM specializes in developing customized private markets programs that address the specific needs of investors. GCM has a strong, comprehensive and proactive deal sourcing process that relies on several different channels to help generate quality deal flow across the private equity spectrum. GCM sources approximately 500 managers a year, which are benchmarked against the funds in the proprietary database and other relevant private markets indices. GCM has a large, dedicated private markets investment team, with approximately 45 experienced professionals.

## GRP Gloor Ruggli Partner



### Contact Information

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Address	Freiestrasse 204 8034 Zürich
Telephone No	+41 43 344 40 00
Fax No	+41 43 344 40 01
E-mail Address for General Enquiries	marcel.gloor@grplegal.ch
Format of Personal E-mail Addresses	forename.surname@grplegal.ch
Web Address	www.grplegal.ch

### Company Information

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Key People	Mr. Marcel Gloor Mr. Sandro Ruggli
Year of Establishment	1999
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Worldwide
Type of Financing	All

### Short Company Profile

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Mid-sized commercial law firm specializing in private mergers & acquisitions and private equity transactions with locations in Zurich and Rapperswil-Jona; our office in Rapperswil-Jona provides notarial services in accordance with local practice.

**Gut Corporate Finance AG**

gut | corporate finance

**Contact Information**

---

Address	Lavaterstrasse 45 8002 Zürich
Telephone No	+41 43 222 62 10
Fax No	+41 43 222 62 11
E-mail Address for General Enquiries	info@gutcf.ch
Format of Personal E-mail Addresses	initial of forenameSurname@gutcf.ch
Web Address	www.gutcf.ch

**Company Information**

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Key People	Dr. Alexander Gut Mr. Marc Berger
Year of Establishment	2007
Number of Employees	7
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	
Industrial Sectors	All
Geographical Focus	
Type of Financing	

**Short Company Profile**

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Corporate finance advisory covering the focusing areas: M&A, Finance, Valuations, Restructuring

**HBM Partners AG****Contact Information**

Address	Bundesplatz 1 6300 Zug
Telephone No	+41 43 888 71 71
Fax No	+41 43 888 71 72
E-mail Address for General Enquiries	info@hbmpartners.com
Format of Personal E-mail Addresses	forename.surname@hbmpartners.com
Web Address	www.hbmpartners.com

**Company Information**

Key People	Dr. Ulrich W. Geilinger Dr. Andreas Wicki
Year of Establishment	2001
Number of Employees	20
Fund Names	HBM Healthcare Investments, HBM BioCapital I / II LP
Capital Under Management	USD 1bn
Number of Portfolio Companies	over 20
Examples of Portfolio Companies	Basilea, Brahms, Esbatech, PTC, PharmaSwiss, MTM

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors	Medical - Life Sciences - Health Related
Geographical Focus	United States of America, Western Europe, India
Type of Financing	Equity Financing, Loans

**Short Company Profile**

HBM Partners is a globally active and independent healthcare-focused investment advisor with approximately USD 1 billion in assets under management. HBM focuses on developments-stage, growth and buy-out financings of private companies as well as investments in public companies. Core target sectors are pharma & biotech, medical devices and diagnostics. HBM partners advises HBM Healthcare Investments AG, HBM BioCapital I & II and further public-equity funds.



**Helbling Business Advisors AG**

 The logo for Helbling, featuring the word "helbling" in a lowercase, sans-serif font. Below the text is a thick blue horizontal bar.
**Contact Information**

Address	Hohlstrasse 614 8048 Zürich
Telephone No	+41 44 743 84 44
Fax No	+41 44 743 84 10
E-mail Address for General Enquiries	hba@helbling.ch
Format of Personal E-mail Addresses	forename.surname@helbling.ch
Web Address	www.helbling.ch

**Company Information**

Key People	Mr. Michael Weindl Mr. Harry Leonhardt
Year of Establishment	1993
Number of Employees	over 80
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

The M&A Practice of Helbling Business Advisors is part of Helbling group, which employs over 450 professionals in Switzerland, Germany, USA and China. Our M&A Practice provides its clients with professional advisory services in the areas of Mergers & Acquisitions and Corporate Finance. Our offices are located in Zürich, Düsseldorf, München and Stuttgart. Helbling Business Advisors is member of Corporate Finance International (thecfigroup.com), a leading international group of M&A investment banking boutiques for midmarket transactions.

**HELVESTA AG**



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**Contact Information**

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Address	Dreikönigstrasse 34 8002 Zürich
Telephone No	+41 44 500 55 55
Fax No	+41 44 500 55 50
E-mail Address for General Enquiries	info@helvesta.ch
Format of Personal E-mail Addresses	initial of ForenameSurname@helvesta.ch
Web Address	www.helvesta.ch

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**Company Information**

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Key People	Mr. A. Boulad
Year of Establishment	2011
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Europe
Type of Financing	Equity Financing

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**Short Company Profile**

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Helvesta is a Swiss community of entrepreneurs and business leaders. We support businesses by providing capital, mentoring skills and sharing commercial know-how, in order to provide a point of access to the best new investment opportunities that other entrepreneurs can share in.

## Hitz & Partner Corporate Finance AG

**HITZ & PARTNER**  
CORPORATE FINANCE

### Contact Information

Address	Münzgasse 6 6003 Luzern
Telephone No	+41 43 222 62 22
Fax No	+41 43 222 62 23
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@hitzpartner.ch
Web Address	www.hitzpartner.ch

### Company Information

Key People	Mr. Stephan Hitz Mr. Cédric Vollmar Mr. Adrian Hodel
Year of Establishment	2003
Number of Employees	7
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

Size of Investment	
Investment Stages	None
Industrial Sectors	None
Geographical Focus	None
Type of Financing	

### Short Company Profile

Founded in 2003, Hitz & Partner Corporate Finance AG is an independent Corporate Finance advisory specialist based in Lucerne, Switzerland. The scope of services includes a wide range of mergers, acquisitions and corporate finance advisory services including succession planning. With an industry focus on leisure, in particular travel (on- and offline), consumer goods, e-commerce, media and transportation, Hitz & Partner has a global network to key decision makers and longstanding expertise within the industry. Personalized and outstanding services and a high level of confidentiality are the key characteristics of Hitz & Partner. For further information go to [www.hitzpartner.ch](http://www.hitzpartner.ch) or write us an eMail to [info@hitzpartner.ch](mailto:info@hitzpartner.ch).

## ICS Partners GmbH



### Contact Information

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Address	Baarerstrasse 78 6301 Zug
Telephone No	+41 41 712 00 39
Fax No	+41 41 727 80 91
E-mail Address for General Enquiries	info@icspartners.net
Format of Personal E-mail Addresses	initial of forenam.Surname@icspartners.net
Web Address	www.icspartners.net

### Company Information

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Key People	Mr. Carsten Paris Mr. Ingo Zemke
Year of Establishment	2005
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	EUR 10-50m equity
Investment Stages	Buyout - Buyin, Mezzanine - Bridge Finance, Replacement Capital
Industrial Sectors	Agriculture - Forestry - Fishing, Chemical - Materials, Consumer Related, Electronics, Energy, Finance - Insurance - Real Estate, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing, Services, Transportation
Geographical Focus	Austria, Germany, Switzerland, Western Europe
Type of Financing	Equity Financing, Majority Equity, Mezzanine

### Short Company Profile

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## IMC Investment & Management Consultants AG

### Contact Information

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Address	Neuwis 10 8700 Küsnacht
Telephone No	+41 44 914 24 34
Fax No	+41 44 914 24 35
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	initial of forenameSurname@imcag.ch
Web Address	www.imcag.ch

### Company Information

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Key People	Mr. Markus P. Stebler
Year of Establishment	1979
Number of Employees	2
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	CHF 2-30m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Turnaround - Restructuring
Industrial Sectors	Consumer Related, Electronics, Energy, Environment, Industrial Products and Services, Manufacturing, Medical - Life Sciences - Health Related
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Minority Equity, Mezzanine

### Short Company Profile

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IMC AG was founded in 1979 and is a privately financed investment advising & consulting firm. We focus on identifying investment opportunities for private equity investors as well as on advising companies with high growth potential in finding their expansion financing.

**IntraLinks**



**Contact Information**

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Address	Bahnhofstrasse 52 8001 Zürich
Telephone No	+49 151 240 96 342
Fax No	
E-mail Address for General Enquiries	info@intralinks.com
Format of Personal E-mail Addresses	initial of forenameSurname@intralinks.com
Web Address	www.intralinks.com

**Company Information**

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Key People	Mr. Oliver Manojlovic Mr. Carsten Kilz Mr. Roland Gabriel
Year of Establishment	
Number of Employees	31
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	None
Industrial Sectors	All
Geographical Focus	Europe
Type of Financing	None

**Short Company Profile**

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For more than a decade, IntraLinks’ enterprise-wide solutions have been facilitating the secure, compliant and auditable exchange of critical information, collaboration and workflow management inside and outside the enterprise. For simplifying business processes such as board of director communications, post-merger integration, acquisition management, corporate finance and fundraising, the IntraLinks platform can help improve operational efficiency and reduce time and costs while adding increased security and control to processes. More than 2,000,000 users across 50,000 organizations around the world rely on IntraLinks, including 50 of the 50 top global banks, 10 of the top 10 life sciences companies, 25 of the top 25 law firms, and 14 of the 15 largest private equity firms.

**Invision Private Equity AG****Contact Information**

Address	Grafenastrasse 7 6304 Zug
Telephone No	+41 41 729 01 01
Fax No	+41 41 729 01 00
E-mail Address for General Enquiries	info@invision.ch
Format of Personal E-mail Addresses	surname@invision.ch
Web Address	www.invision.ch

**Company Information**

Key People	Mr. Frank Becker Mr. Martin Staub Mr. Marco Martelli Mr. Gerhard Weisschädel
Year of Establishment	1997
Number of Employees	13
Fund Names	Invision I - V
Capital Under Management	EUR 500m
Number of Portfolio Companies	6
Examples of Portfolio Companies	Swiss Education Group, Pfeifer, HSO Wirtschafts Schule, Kraft & Bauer, RSD, Boost Group, Feintechnik, César Ritz

**Investment Preferences**

Size of Investment	EUR 10-50m
Investment Stages	Growth Buyout - Buyin, Replacement Capital
Industrial Sectors	All
Geographical Focus	Austria, Europe, Germany, Switzerland
Type of Financing	Equity Financing

**Short Company Profile**

Invision is a leading Swiss-based private equity firm focusing on small and medium-sized businesses across Europe, particularly in the DACH region. Since 1997, Invision has invested in more than 50 businesses, helping companies realize their growth potential and positioning them for long-term success. We offer customized financing and succession solutions to companies and entrepreneurs. We strive to further develop these businesses by putting our investment know-how, personal network, and operational expertise actively to work. In 2013, Invision closed its latest fund, Invision V, with commitments of EUR 285m.

**Jones Lang LaSalle AG**



**Contact Information**

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Address	Prime Tower - Hardstrasse 201 8005 Zürich
Telephone No	+41 44 215 75 00
Fax No	+41 44 215 75 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@eu.jll.com
Web Address	www.joneslanglasalle.ch

**Company Information**

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Key People	Mr. Jan Eckert Mr. Patrik Stillhart Mr. Lukas Nebehay
Year of Establishment	
Number of Employees	20
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	
Industrial Sectors	None
Geographical Focus	None
Type of Financing	

**Short Company Profile**

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Jones Lang LaSalle AG in Zurich is the Swiss subsidiary of the Jones Lang LaSalle group. Its experienced real estate corporate finance team provides specialist services for property companies, property owners and investors. Such services include the management of complex property and corporate transactions (share and asset deals), the evaluation of real estate assets and companies, as well as strategic and organisational consultancy services relating to the ownership, development and management of property.



## Kepler Corporate Finance



### Contact Information

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Address	Route de Crassier 11 1262 Eysins
Telephone No	+41 22 994 13 54
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@keplercf.com
Web Address	

### Company Information

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Key People	Mr. Dominik Belloin Mr. Dan Dysli Mr. Patrick Grandfils
Year of Establishment	2009
Number of Employees	30
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

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## Korn Ferry International

### Contact Information

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Address	Hardstrasse 201 8005 Zürich
Telephone No	+41 43 366 77 12
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	<a href="http://www.kornferry.com">www.kornferry.com</a>

### Company Information

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Key People	Mr. Yannick Binvel Mr. Wolfgang Schmidt-Soelch
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

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executive recruitment, leadership development programs, enterprise learning, succession planning and recruitment outsourcing

**KPMG AG****Contact Information**

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Address	Badenerstrasse 172 8004 Zürich
Telephone No	+41 58 249 34 81
Fax No	+41 58 249 44 28
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	initial of forenameSurname@kpmg.com
Web Address	www.kpmg.ch

**Company Information**

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Key People	Mr. Tobias Valk Mr. Patrik Kerler Mr. Timo Knak
Year of Establishment	
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

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Corporate Finance & Real Estate (M&A lead advisory, Debt advisory, Buyout modelling, Valuations) / Transaction Services (Financial due diligence, Strategic and commercial due diligence, Buyer and vendor due diligence) / Legal and Tax Advisory (Due diligence, Transaction structuring, Legal documentation) / Corporate Restructuring (Operational and financial restructuring, Postmerger integration) / Audit (Audit services, Fund performance audit services)

## Leonardo & Co. AG



### Contact Information

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Address	Löwenstrasse 3 8022 Zürich
Telephone No	+41 44 200 48 20
Fax No	+41 44 200 48 90
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@leonardo-co.com
Web Address	www.leonardo-co.com

### Company Information

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Key People	Mr. Leonid Baur Mr. Stephan Brücher
Year of Establishment	1789
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

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Leonardo & Co. is a European investment banking firm focusing exclusively on independent and objective advice in mergers & acquisitions, equity, debt financing and restructuring.

**LFPE S.A.****Contact Information**

Address	Rue de Rive 1 1204 Geneve
Telephone No	+41 22 319 79 00
Fax No	+41 22 319 79 19
E-mail Address for General Enquiries	contact@lfpesa.ch
Format of Personal E-mail Addresses	forename.surname@lfpesa.ch
Web Address	

**Company Information**

Key People	Mr. Marc Brugger
Year of Establishment	2006
Number of Employees	7
Fund Names	LFPE SCA, SICAR and others
Capital Under Management	EUR 300m
Number of Portfolio Companies	over 100
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	EUR 2-50m
Investment Stages	Buyout - Buyin, Mezzanine - Bridge Finance
Industrial Sectors	Chemical - Materials, Construction - Building Products, Consumer Related, Electronics, Energy, Environment, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing, Services, Telecommunications, Transportation
Geographical Focus	Austria, Belgium, Benelux, Continental Europe, Europe, Finland, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Poland, Portugal, Scandinavia, Spain, Sweden, Switzerland
Type of Financing	Equity Financing, Majority Equity, Minority Equity, Mezzanine, Senior Debt

**Short Company Profile**

LFPE S.A. is an investment advisory company for dedicated investment vehicles which target small and midsize buy-out funds and direct investments in Europe. LFPE S.A. is part of the French LFPI Group, Paris.

**LGT Capital Partners Ltd.****Contact Information**

Address	Schützenstrasse 6 8808 Pfäffikon
Telephone No	+41 55 415 96 00
Fax No	+41 55 415 96 99
E-mail Address for General Enquiries	lgt.cp@lgt.com
Format of Personal E-mail Addresses	forename.surname@lgt.com
Web Address	www.lgt-capital-partners.com

**Company Information**

Key People	Dr. Roberto Paganoni Dr. Hans Markvoort Mr. Ivan Vercoutere
Year of Establishment	1997
Number of Employees	300*
Fund Names	Crown family of funds, Castle family of funds
Capital Under Management	USD 45bn*
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	USD 5-200m
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	Equity Financing

**Short Company Profile**

LGT Capital Partners is a leading institutional alternative asset manager, currently managing over USD 45 billion\* in private equity and other alternative investments on a global basis. LGT Capital Partners' team of over 300 professionals\* with 35 nationalities is responsible a.o. for the investment management of the Crown and Castle alternative investment programs. The firm is headquartered in Pfäffikon SZ in Switzerland and has affiliated offices in New York, Beijing, Dubai, Dublin, Hong Kong, London and Tokyo.

\* After the planned merger with LGT Capital Management



**Capital Partners**  
your partner for alternative investments

# Leading the way in alternative investing

- **Outstanding track record**  
16 years experience in generating attractive risk-adjusted returns
- **Significant asset base**  
USD 45 billion in alternative assets under management
- **Strong alignment of interests**  
Team and shareholder invest in own programs
- **Large, international team**  
300 people representing 32 nationalities, speaking 28 languages
- **Global client base**  
400 sophisticated institutional investors in over 30 countries

Pfaeffikon | New York | Dublin | London | Dubai | Beijing | Hong Kong | Tokyo  
[lgt.cp@lgt.com](mailto:lgt.cp@lgt.com) | [www.lgtcp.com](http://www.lgtcp.com)

Note: Numbers reflect the status of the firm after the planned merger with LGT Capital Management



## Lincoln Hills Capital SA



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### Contact Information

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Address	Rue du Marché 18 1204 Geneva
Telephone No	+41 22 311 50 00
Fax No	+41 22 311 50 01
E-mail Address for General Enquiries	info@lincolnhillscapital.ch
Format of Personal E-mail Addresses	
Web Address	www.lincolnhillscapital.ch

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### Company Information

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Key People	Mr. Enrico Gaspare Mr. Giuliano Polidori
Year of Establishment	2012
Number of Employees	2
Fund Names	Vista Equity Partners Funds
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

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Size of Investment	USD 10m
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	Computer Related, Internet Technology
Geographical Focus	Europe, United States of America
Type of Financing	Equity Financing, Majority Equity, Senior Debt

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### Short Company Profile

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We are acting as introducers and Swiss distributors to Regulated Qualified Investors of Vista Equity Partners' funds. Vista Equity Partners is a leading US-based Private Equity investment firm which focuses exclusively on the enterprise software sector across many industries.



**LN Consulting Sagl****Contact Information**

Address	Via Lucino 3 6932 Lugano-Breganzona
Telephone No	+41 91 220 70 00
Fax No	+41 91 980 39 25
E-mail Address for General Enquiries	info@lombardnetwork.com
Web Address	www.lombardnetwork.com

**Company Information**

Key People	Dr. Federico Foscale Ms. Carole Gonzalez Foscale Mr. Francesco Rizzo
Year of Establishment	
Number of Employees	7
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Replacement Capital, Seed Capital, Start-up Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Europe, Italy, Switzerland, United States of America
Type of Financing	All

**Short Company Profile**

Lombard Network Group is an independent holding operating mainly as corporate finance advisor (debt and equity) in favour of SMEs, and also as investor in public and private equities. It operates since 2006, dealing with the analysis of the financial needs of companies seeking equity capital (private equity, venture capital, strategic Investors / Industrial) and debt (banks, leasing, factors); it also advises its clients to operations such as IPOs, M&A, restructuring and debt consolidation. It invests in minority stake in private and public equities. The main companies of the Group are LN Consulting Sagl and LN Marchant SA, based in Lugano, with representative offices in London and Milan.

**Mazars**



**Contact Information**

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Address	Mühlebachstrasse 20 8008 Zürich
Telephone No	+41 44 384 84 44
Fax No	+41 44 384 84 45
E-mail Address for General Enquiries	office.zurich@mazars.ch
Format of Personal E-mail Addresses	forename.surname@mazars.ch
Web Address	www.mazars.ch

**Company Information**

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Key People	Mr. Vincenzo Braiotta
Year of Establishment	1975
Number of Employees	85
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

**Short Company Profile**

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## Merieux développement



### Contact Information

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Address	Rue Bourgelat, 17 F-69002 Lyon
Telephone No	+33 4 78 87 37 00
Fax No	+33 4 78 87 37 02
E-mail Address for General Enquiries	info@merieux-developpement.com
Format of Personal E-mail Addresses	forename.surname@merieux-developpement.com
Web Address	www.merieux-developpement.com

### Company Information

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Key People	Dr. Valérie Calenda
Year of Establishment	2009
Number of Employees	5
Fund Names	
Capital Under Management	EUR 70m
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Early-Stage, Expansion - Development Capital, Start-up Capital
Industrial Sectors	Biotechnology, Medical - Life Sciences - Health Related
Geographical Focus	Canada, Europe, Israel, North America, United Kingdom, United States of America
Type of Financing	Equity Financing, Majority Equity, Minority Equity

### Short Company Profile

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Mérieux Développement is a healthcare investment company. Identifying scientific and technological innovation, supporting entrepreneurs and companies which, given their potential, will contribute to the medicine of the future, and providing them with the means and time to carry out their projects – these are the objectives of Mérieux Développement.

**Milestone Investisseurs GP Sàrl****Contact Information**

Address	14 Floral Street GB-WC2E 9DH London
Telephone No	+44 207 420 8800
Fax No	+44 207 420 8827
E-mail Address for General Enquiries	erick.rinner@milestone-capital.com
Format of Personal E-mail Addresses	
Web Address	www.milestone-capital.com

**Company Information**

Key People	Mr. Erick Rinner Mr. Olivier Antomarchi Ms. Claire Gomard
Year of Establishment	1989
Number of Employees	3
Fund Names	Milestone 2012 LP
Capital Under Management	EUR 250m
Number of Portfolio Companies	6
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	EUR 10-30m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors	Computer Related, Consumer Related, Electronics, Energy, Environment, Finance - Insurance - Real Estate, Food - Beverages, Industrial Products and Services, Internet Technology, Manufacturing, Medical - Life Sciences - Health Related, Services, Telecommun
Geographical Focus	Belgium, Benelux, France, Ireland, Luxembourg, Switzerland, United Kingdom
Type of Financing	Majority Equity

**Short Company Profile**

Milestone is a private equity firm based in London with presence in Geneva, Paris and Bruxelles, investing in management buy-outs and buy-ins valued between €20m and €100m with strong growth potential through organic growth and/or add-on acquisitions.

**MilleniumAssociates AG**

 The logo for Millenium Associates AG features the word "millenium" in a lowercase, sans-serif font with a blue-to-purple gradient. Below it, the word "associates ag" is written in a smaller, black, lowercase sans-serif font.
**Contact Information**

Address	Kreuzstrasse 54 8008 Zürich
Telephone No	+41 58 710 47 00
Fax No	+41 58 710 47 20
E-mail Address for General Enquiries	info@milleniumassociates.com
Format of Personal E-mail Addresses	forename.surname@milleniumassociates.com
Web Address	www.milleniumassociates.com

**Company Information**

Key People	Mr. Ray Soudah Mr. Jan-Arne Farstad Mr. Andreas Bünter
Year of Establishment	2000
Number of Employees	over 10
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Delisting, Privatisation, Replacement Capital
Industrial Sectors	All
Geographical Focus	Africa, Asia, Europe, North America
Type of Financing	Equity Financing

**Short Company Profile**

MilleniumAssociates AG is an international M&A and Corporate Finance Advisory firm based in Switzerland and the UK. Founded in 2000 and owned by its partners and management, it is uniquely positioned to offer independent and non-conflicting advice to its clients. Its Corporates & Entrepreneurs Practice specialises in supporting corporates and entrepreneurial business owners all over the world in their expansion or divestment strategies, as well as consulting for organisations seeking to determine their ideal strategic options including partnerships, alliances and IPO plans, while its Financial Services Practice focuses on transactions for the global financial services industry, in particular the global wealth, asset management, private banking and private equity sectors.

## MMP Mück Management Partners AG



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### Contact Information

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Address	Sägereistrasse 7 8834 Schindellegi
Telephone No	+41 44 787 02 82
Fax No	+41 44 787 02 81
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	surname@mueck-partners.com
Web Address	www.mueck-partners.com

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### Company Information

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Key People	Mr. Rainer Mück
Year of Establishment	2007
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

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Size of Investment	
Investment Stages	
Industrial Sectors	All
Geographical Focus	Europe
Type of Financing	All

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### Short Company Profile

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We are a highly solution oriented management consultancy well-versed in developing personal and tailor-made solutions for our customers. Our goal is to provide you with the key to optimize your financial and administrative activities on a long-term basis. Due to our long-standing involvement in international companies and consultancies we have on inside knowledge of our customers needs and can present you with the perfect answer to your problems. Our major business areas are Mergers & Acquisitions and Governance & Compliance Advisory.

## MSM Investorenvereinigung



### Contact Information

Address	Stadthausstrasse 12 8400 Winterthur
Telephone No	+41 52 269 21 12
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	name@msmgroup.ch
Web Address	www.msmgroup.ch

### Company Information

Key People	Dr. Jürg Martin
Year of Establishment	2001
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

Size of Investment	
Investment Stages	
Industrial Sectors	
Geographical Focus	Switzerland
Type of Financing	

### Short Company Profile

MSM Investorenvereinigung is an association (a "business angel's club") with its seat in Winterthur. Its purpose is to inform and support its members in investing in non quoted companies, particularly in such who create jobs in Switzerland. The club promotes common investing by its members and third parties and offers appropriate legal tools when needed. Die MSM Investorenvereinigung ist ein Verein (ein "Business Angels Club") mit Sitz in Winterthur. Er bezweckt die Information und Unterstützung der Mitglieder bei der Anlage von Geldern in nicht börsennotierte Gesellschaften, namentlich solche, die in der Schweiz neue Arbeitsplätze schaffen. Der Verein fördert das gemeinsame Investieren durch Vereinsmitglieder und Dritte in solche Unternehmen und stellt bei Bedarf geeignete rechtliche Strukturen zur Verfügung.

## Neuberger Berman

NEUBERGER	BERMAN
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### Contact Information

Address	Börsenstrasse 26 8001 Zürich
Telephone No	+41 44 219 77 50
Fax No	+41 44 219 77 67
E-mail Address for General Enquiries	info.swissteam@nb.com
Format of Personal E-mail Addresses	forename.surname@nb.com
Web Address	www.nb.com

### Company Information

Key People	Mr. Fabio Castrovillari Ms. Melina Tan Mr. Peter Von Lehe
Year of Establishment	1987 (private equity), 1939 (Neuberger Berman)
Number of Employees	200 (PE), over 2'000 globally
Fund Names	Private Investment Portfolios, Secondaries, Co-Investments, Healthcare Credit, Hedge Fund Minority Stakes, Private Debt, Custom Separate Accounts
Capital Under Management	USD 20bn (private equity), USD 242bn (Neuberger Berman)
Number of Portfolio Companies	Over 300 private equity funds, over 115 direct investments
Examples of Portfolio Companies	

### Investment Preferences

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Worldwide
Type of Financing	All

### Short Company Profile

NB Private Equity, the private equity group of Neuberger Berman, has been an active and successful private equity investor since 1987, and has served sophisticated institutional investors globally. NB Private Equity manages approximately \$20 billion of investor commitments across primary fund of funds, secondary, co-investments and direct-yielding investments through commingled funds as well as custom separate accounts. NB Private Equity has a global presence with approximately 70 investment professionals, and is supported by approximately 130 investor services professionals, including our back office services affiliate, Capital Analytics. Established in 1939, Neuberger Berman is one of the world's largest private, independent, employee-controlled asset management companies. Neuberger Berman is a leader in a broad range of global investment solutions - equity, fixed income and alternatives - to institutions and individuals through customized separately managed accounts, mutual funds and alternative investment products. The Firm has more than 2000 employees in 28 cities worldwide and, as of December 31, 2013, managed \$242 billion of assets. The senior members of the Zurich based client-team, have been working together at the firm for over 14 years and have been servicing Swiss investors for over 20 years.



## Neue Helvetische Bank AG



### Contact Information

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Address	Seefeldstrasse 215 8008 Zürich
Telephone No	+41 44 204 56 19
Fax No	+41 44 204 57 77
E-mail Address for General Enquiries	corporatefinance@nhbag.ch
Format of Personal E-mail Addresses	
Web Address	www.nhbag.ch

### Company Information

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Key People	Dr. Rolf Weilenmann Mr. Martin Spiess
Year of Establishment	2010
Number of Employees	30
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors	All
Geographical Focus	Austria, France, Germany, Italy, Switzerland
Type of Financing	Equity Financing, Senior Debt

### Short Company Profile

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Neue Helvetische Bank AG is a politically & financially independent bank, offering services in the areas of corporate finance, investment advisory services, asset management and research. Neue Helvetische Bank aims primarily to cater to the needs of entrepreneurs, former entrepreneurs and qualified private investors. In corporate finance, the services of Neue Helvetische Bank focus on medium-sized listed and non-listed companies in Switzerland and neighbouring countries. The competent team covers a broad range of services, including equity, debt, M&A and other corporate finance services and is market leader in smaller bond issues listed on SIX Swiss Exchange.

**Nextech Invest Ltd.****Contact Information**

Address	Scheuchzerstrasse 35 8006 Zürich
Telephone No	+41 44 366 66 11
Fax No	+41 44 366 66 10
E-mail Address for General Enquiries	info@nextechinvest.com
Format of Personal E-mail Addresses	surname@nextechinvest.com
Web Address	www.nextechinvest.com

**Company Information**

Key People	Dr. Alfred Scheidegger Dr. Thilo Schroeder
Year of Establishment	1998
Number of Employees	7
Fund Names	Nextech I Emerging Technologies, Nextech II Oncology, Nextech III Oncology
Capital Under Management	CHF 160m
Number of Portfolio Companies	8
Examples of Portfolio Companies	MacroGenics (IPO), Sunesis Pharmaceuticals (NASDAQ), Palyon, Tracon Pharmaceuticals, TetraLogic Pharmaceuticals (IPO), ImaginAb, MolecularMD, Blueprint Medicines

**Investment Preferences**

Size of Investment	EUR 2-7m
Investment Stages	Late Stage
Industrial Sectors	Biotechnology, Oncology
Geographical Focus	North America, Europe, Asia
Type of Financing	Equity Financing

**Short Company Profile**

Global investment management firm based in Zurich, Switzerland. Nextech Invest Ltd. currently advises two world-wide oncology Funds, launched in late 2006 and 2010, fully dedicated to foster leading cancer companies including therapeutics, diagnostics and medical devices. The fund is supported by a committed 6 member scientific advisory board led by Prof. David Livingston, Director of the Dana-Farber/Harvard Cancer Center.

**Novartis International AG****Contact Information**

Address	Novartis Campus 4002 Basel
Telephone No	+41 61 324 78 96
Fax No	+41 61 324 86 79
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@novartis.com
Web Address	www.venturefund.novartis.com

**Company Information**

Key People	Dr. Reinhard J. Ambros Ms. Martina Blank Dr. Anja König
Year of Establishment	
Number of Employees	
Fund Names	NOVARTIS VENTURE FUNDS
Capital Under Management	USD 800m
Number of Portfolio Companies	over 50
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	USD 15-20m
Investment Stages	Early-Stage, Seed Capital, Start-up Capital
Industrial Sectors	Medical - Life Sciences - Health Related
Geographical Focus	Europe, Switzerland, United States of America
Type of Financing	Equity Financing, Minority Equity

**Short Company Profile**

## NYGT Group



### Contact Information

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Address	3/F Pacific Place 3, 1 Queen's Road East, Admiralty, Hong Kong
Telephone No	+85 225 119 056
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	

### Company Information

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Key People	Dr. Kenneth Cheung Ms. Carol Mok
Year of Establishment	
Number of Employees	9
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	Equity Financing, Loans, Majority Equity

### Short Company Profile

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NYGT Group is a international investment management firm, with offices in New York, London, Hong Kong and Tokyo.

## Office Dr. Uli Sigg

### Contact Information

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Address	Schloss 6216 Mauensee
Telephone No	+41 41 921 30 18
Fax No	
E-mail Address for General Enquiries	info@abraxcap.com
Format of Personal E-mail Addresses	
Web Address	

### Company Information

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Key People	Mr. Manuel Salvisberg Ms. Yvonne Jaggi
Year of Establishment	
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Asia, Continental Europe
Type of Financing	All

### Short Company Profile

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Single family office focused on hands-on investing in growth companies in Europe and Asia.

**ONELIFE ADVISORS SA****Contact Information**

Address	Via Cantonale 1 6900 Lugano
Telephone No	+41 91 924 24 00
Fax No	+41 91 924 24 01
E-mail Address for General Enquiries	info@onelife.ch
Web Address	www.onelife.ch

**Company Information**

Key People	Dr. Enrico Braglia Dr. Massimo Colnago
Year of Establishment	2007
Number of Employees	5
Fund Names	Prospera Senectute Fund Sicav-SIF, Bright Technology Fund
Capital Under Management	USD 90m + EUR 30m
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	up to EUR 10m
Investment Stages	Buyout - Buyin, Delisting, Early-Stage, Expansion - Development Capital, Privatisation, Start-up Capital, Turnaround - Restructuring
Industrial Sectors	Biotechnology, Chemical - Materials, Computer Related, Electronics, Energy, Environment, Internet Technology, Medical - Life Sciences - Health Related, Telecommunications, Communications other
Geographical Focus	Continental Europe, Europe, Israel, Switzerland, Western Europe
Type of Financing	Equity Financing, Minority Equity, Majority Equity

**Short Company Profile**

Onelife pursues a new approach to Life Science and is becoming a recognized thought leader in the field of conjugating profit and sustainable growth for its clients, investors and partners. Onelife wants to make a real contribution to the manifold challenges in the Life Science sector capitalizing on its unique industry insights and expertise in three distinct areas: FUND Prospera Senectute Fund is an ethical Life Science sector fund focusing on healthy aging. The Fund benefits from Onelife unique view and expertise regarding science, demographic changes and in-depth knowledge of the industry. ADVISORY Onelife virtual network and sound experience in the Life Science sector provides clients such as financial institutions, Life Science companies and family offices with advices for improving evaluation and complex handling of pharmaceutical and biotechnology projects, bio-finance data or sophisticated cost controlling and investment decision processes. LICENSES Onelife supports investors and companies in strategic ventures including in/out license of Life Science projects, acquisition of new technologies and M&As.

## Orlando Management Schweiz AG



### Contact Information

Address	Hardturmstrasse 133 8005 Zürich
Telephone No	+41 44 271 55 50
Fax No	+41 44 271 55 54
E-mail Address for General Enquiries	info@orlandofund.ch
Format of Personal E-mail Addresses	surname@orlandofund.ch
Web Address	www.orlandofund.ch

### Company Information

Key People	Dr. Karl Spielberger Mr. Fabrice Nava
Year of Establishment	2001
Number of Employees	40
Fund Names	Special Situation Venture Partners
Capital Under Management	CHF 500m
Number of Portfolio Companies	over 10
Examples of Portfolio Companies	Toga Food, solvadis, Pallhuber, Flensburger Schiffbau, Staud

### Investment Preferences

Size of Investment	CHF 5-100m
Investment Stages	Buyout - Buyin, Replacement Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Austria, Germany, Italy, Switzerland
Type of Financing	Majority Equity

### Short Company Profile

Orlando is the exclusive advisor to the leading PE investor in continental Europe focussed on special situations.

**paprico ag**  
**partners for private capital & companies**



**Contact Information**

Address	Zugerstrasse 8a 6340 Baar
Telephone No	+41 43 344 38 00
Fax No	+41 43 344 38 01
E-mail Address for General Enquiries	info@paprico.ch
Format of Personal E-mail Addresses	forename.surname@paprico.ch
Web Address	www.paprico.ch

**Company Information**

Key People	Mr. Peter Letter Mr. Marco Fantelli
Year of Establishment	2012
Number of Employees	3
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	Sensimed SA, Swiss Diagnostic Solutions AG, Bogar AG, Silentsoft SA

**Investment Preferences**

Size of Investment	EUR 1.0-20.0m
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	Equity Financing, Mezzanine

**Short Company Profile**

paprico ag – partners for private capital & companies ist eine Spezialistin für unternehmerische Direktbeteiligungen im Private Equity und Venture Capital Markt der Schweiz und dem deutschsprachigen Raum mit einem fokussierten Leistungsangebot für Unternehmer sowie für Family Offices, private und institutionelle Investoren.

- Investmentmöglichkeiten in private Unternehmen: Wir erschliessen für Investoren Anlageopportunitäten im attraktiven Segment der unternehmerischen Direktbeteiligungen. Unseren Fokus legen wir auf Wachstumsunternehmen und etablierte Nischenplayer im Segment bis rund CHF 100 Mio. Umsatz in der Schweiz und Deutschland.
- Advisory für Private Equity Direktbeteiligungen: Wir unterstützen Investoren beim Aufbau, der Strukturierung und der Entwicklung neuer oder bestehender Unternehmensbeteiligungen und Private Equity Portfolios. Aktives Management sowie eine systematische Exit-Planung und Umsetzung erachten wir als wesentliche Erfolgsfaktoren.
- Kapital für Wachstum und Unternehmensnachfolge: Wir bieten Unternehmern und Unternehmen massgeschneiderte Lösungen zur Finanzierung von Wachstumsstrategien und Unternehmensnachfolgen mit Eigenkapital oder eigenkapitalähnlichen Finanzmitteln.



## Partners Group



### Contact Information

Address	Zugerstrasse 57 6341 Baar-Zug
Telephone No	+41 41 784 60 00
Fax No	+41 41 784 60 01
E-mail Address for General Enquiries	partnersgroup@partnersgroup.com
Format of Personal E-mail Addresses	forename.surname@partnersgroup.com
Web Address	www.partnersgroup.com

### Company Information

Key People	Mr. André Frei Mr. Christoph Rubeli Dr. Cyrill Wipfli Mr. Jürg Wenger
Year of Establishment	1996
Number of Employees	over 700
Fund Names	
Capital Under Management	over EUR 30bn in private equity, private debt, private real estate and private infrastructure
Number of Portfolio Companies	6000
Examples of Portfolio Companies	Fermaca, Universal Services of America, CSS Corp, Hofmann Menü Manufaktur, VAT Vakuumventile

### Investment Preferences

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

Partners Group is a global private markets investment management firm with over EUR 30 billion in investment programs under management in private equity, private real estate, private infrastructure and private debt. The firm manages a broad range of customized portfolios for an international clientele of institutional investors. Partners Group is headquartered in Zug, Switzerland and has offices in San Francisco, New York, São Paulo, London, Guernsey, Paris, Luxembourg, Milan, Munich, Dubai, Singapore, Shanghai, Seoul, Tokyo and Sydney. The firm employs over 700 people, is listed on the SIX Swiss Exchange (symbol: PGHN) with a market capitalization of CHF 6 billion and a major ownership by its partners and employees.

## Portfolio Advisors LLC



### Contact Information

Address	Seefeldstrasse 35 8008 Zurich
Telephone No	+41 44 200 35 00
Fax No	+41 44 200 35 01
E-mail Address for General Enquiries	jlandis@portad.com
Format of Personal E-mail Addresses	
Web Address	www.portad.com

### Company Information

Key People	Mr. Nicolas Von der Schulenburg Mr. Peter Schwanitz
Year of Establishment	1994
Number of Employees	76
Fund Names	PAPEF, PASF, PAREF, PACSF
Capital Under Management	USD 33bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	Equity Financing

### Short Company Profile

Portfolio Advisors, LLC is an independent, employee-owned firm that provides tailored private equity and real estate investment solutions through separately managed accounts and through commingled fund-of-funds programs. We invest on behalf of our clients and funds across the private equity spectrum and real estate landscape, through primary partnerships, secondary purchases and co-investments. More than 600 limited partners have invested in various Portfolio Advisors sponsored funds. Portfolio Advisors has been an Investment Advisor registered with the U.S. Securities and Exchange Commission since 1994, and is also a Qualified Professional Asset Manager. With offices in the U.S., Europe and Asia, Portfolio Advisors has established a global footprint in a global asset class.

## Private Equity Holding AG

Private Equity Holding AG



### Contact Information

Address	Innere Güterstrasse 4 6300 Zug
Telephone No	+41 41 726 79 80
Fax No	+41 41 726 79 81
E-mail Address for General Enquiries	info@peh.ch
Format of Personal E-mail Addresses	forename.surname@peh.ch
Web Address	www.peh.ch

### Company Information

Key People	Dr. Hans Baumgartner Mr. Peter Wolfers Mr. Peter Derendinger
Year of Establishment	1997
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	ABRY Partners VI, Bridgepoint Europe IV, Institutional Venture Partners XII

### Investment Preferences

Size of Investment	
Investment Stages	
Industrial Sectors	
Geographical Focus	Europe, United States of America, Western Europe
Type of Financing	Equity Financing

### Short Company Profile

Private Equity Holding Ltd. offers institutional and private investors the opportunity to invest in a broadly diversified private equity portfolio. The objective of Private Equity Holding Ltd. is to generate long term capital growth for its shareholders, and to deliver consistent net asset value growth. Private Equity Holding focuses on primary fund investments, acquisition of fund interests on the secondary market and direct co-investments. The company is managed by Alpha Associates, an independent private equity fund-of-funds manager and advisor, building and managing globally diversified private equity fund portfolios for institutional and private investors.



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**Contact Information**

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Address	Birchstrasse 160 8050 Zürich
Telephone No	+41 58 792 44 00
Fax No	+41 58 792 44 10
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@ch.pwc.com
Web Address	www.pwc.com/ch

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**Company Information**

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Key People	Mr. Adrian Keller Dr. Philipp Hofstetter Dr. Markus Neuhaus
Year of Establishment	
Number of Employees	184'000 in 157 countries
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**

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- Size of Investment
- Investment Stages
- Industrial Sectors
- Geographical Focus
- Type of Financing

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**Short Company Profile**

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PricewaterhouseCoopers provides clients with industry-focused assurance, tax & legal and advisory services. Transaction experts focus across the deal continuum to capture maximal value from mergers, acquisitions and capital market transactions while drawing on our broad range of skills for specific client needs in a flexible, fast and tailor-made manner. More than 184'000 people in 157 countries across our network connect their thinking, experience and solutions to develop fresh perspectives and practical advice that truly enhance value for clients.

**Redalpine Venture Partners AG****Contact Information**

Address	Pfingstweidstrasse 60 8005 Zürich
Telephone No	+41 44 271 15 30
Fax No	+41 44 271 15 32
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@redalpine.com
Web Address	www.redalpine.com

**Company Information**

Key People	Mr. Peter Niederhauser Dr. Michael Sidler
Year of Establishment	2007
Number of Employees	7
Fund Names	Redalpine Capital I
Capital Under Management	CHF 45m
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Early-Stage, Seed Capital, Start-up Capital
Industrial Sectors	Internet Technology, Medical - Life Sciences - Health Related, Communications other
Geographical Focus	Europe
Type of Financing	Equity Financing

**Short Company Profile**

## Redwood Capital Group



### Contact Information

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Address	17 rue du Cendrier 1201 Geneva
Telephone No	+41 22 518 07 83
Fax No	
E-mail Address for General Enquiries	mchaillet@redcapgroup.com
Format of Personal E-mail Addresses	Initial of forenameSurname@redcapgroup.com
Web Address	www.redcapgroup.com

### Company Information

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Key People	Mr. Martial Chaillet
Year of Establishment	2003
Number of Employees	25
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	over USD 5m
Investment Stages	Expansion - Development Capital
Industrial Sectors	Telecommunications, Digital Media and Technology
Geographical Focus	All
Type of Financing	Equity Financing, M&A

### Short Company Profile

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Redwood Capital Group, with offices in New York, Los Angeles, London and Geneva, is an investment banking firm serving the technology, communications, media, business services and other growth industries. The firm focuses on mergers & acquisitions, corporate finance, restructuring and valuation advisory services for its clients worldwide. Taking a relationship driven approach, the firm has a strong track record of delivering superior results for clients by leveraging a deep sector knowledge and transaction experience. Additional information can be found at [www.redcapgroup.com](http://www.redcapgroup.com).

**Remaco AG**

*The art of capital management, since 1947.*

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**Contact Information**


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Address	Hirzbodenweg 103 4020 Basel
Telephone No	+41 61 319 51 51
Fax No	+41 61 319 52 52
E-mail Address for General Enquiries	remaco@remaco.com
Format of Personal E-mail Addresses	forename.surname@remaco.com
Web Address	www.remaco.com

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**Company Information**


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Key People	Mr. Pascal Böni
Year of Establishment	1947
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**


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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

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**Short Company Profile**


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The Corporate Finance experts of Remaco AG advice small and medium-sized enterprises (SME). Their focus lies on family businesses and financial investors in national and international transactions such as mergers & acquisitions, succession plannings & company sales, management buy-outs (MBOs) & management buy-ins (MBIs), growth financings, company valuations, due diligence services, valuation services and private equity management. For further information go to [www.remaco.com](http://www.remaco.com).

## Sallfort Privatbank AG



### Contact Information

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Address	Dufourstrasse 25 4010 Basel
Telephone No	+41 61 225 96 58
Fax No	+41 61 225 96 30
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	initial of forenameSurname@sallfort.com
Web Address	www.sallfort.com

### Company Information

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Key People	Mr. Michael Bornhäuser
Year of Establishment	1991 / PE 2012
Number of Employees	40
Fund Names	
Capital Under Management	
Number of Portfolio Companies	5
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	USD 3-20m
Investment Stages	Expansion - Development Capital, Mezzanine - Bridge Finance, Start-up Capital
Industrial Sectors	Computer Related, Electronics, Internet Technology, Telecommunications, Communications other
Geographical Focus	All
Type of Financing	Equity Financing, Minority Equity, Mezzanine, Senior Debt

### Short Company Profile

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Please see [www.sallfort.com](http://www.sallfort.com) / Private Equity



# traditionell innovativ

Nur wer die richtigen Erkenntnisse aus der Vergangenheit ziehen kann, ist in der Lage, die Zukunft erfolgreich zu gestalten. Innovationen zum Vorteil unserer Kunden einzusetzen hat bei uns Tradition.



Sallfort  
Privatbank AG

Private Banking | Private Equity | Products & Services  
Sallfort Privatbank AG | Basel | Zürich | [www.sallfort.com](http://www.sallfort.com)

## SauterRentsch Investment Services



### Contact Information

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Address	Olivova 2096/4 CS-110 00 Prague 1
Telephone No	+420 222 233 323
Fax No	
E-mail Address for General Enquiries	info@sauter-rentsch.com
Format of Personal E-mail Addresses	
Web Address	www.sauter-rentsch.com

### Company Information

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Key People	Dr. Rudolf Rentsch Mr. Joachim Sauter
Year of Establishment	2010
Number of Employees	5 (overall)
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Austria, Central and Eastern Europe, Germany, Switzerland, Turkey
Type of Financing	All

### Short Company Profile

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SauterRentsch provides M&A advisory services for both buyers and sellers of businesses with a particular focus on mid-sized businesses. We thus serve as your single point of contact for investment projects in Central and Eastern Europe.

## Schweizerische Mobiliar Asset Management AG

**Die Mobiliar**  
*Asset Management*

### Contact Information

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Address	Bundesgasse 35 3001 Bern
Telephone No	+41 31 389 61 11
Fax No	+41 31 389 68 44
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@mobi.ch
Web Address	www.mobi.ch

### Company Information

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Key People	Ms. Gisela Jaeggi Mr. Stefan Mächler Mr. Beat Kunz
Year of Establishment	1999
Number of Employees	
Fund Names	
Capital Under Management	CHF 17bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment  
Investment Stages  
Industrial Sectors  
Geographical Focus  
Type of Financing

### Short Company Profile

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## SCM Strategic Capital Management AG



### Contact Information

Address	Kasernenstrasse 77b 8004 Zürich
Telephone No	+41 43 499 49 49
Fax No	+41 43 499 49 50
E-mail Address for General Enquiries	scm@scmag.com
Format of Personal E-mail Addresses	surname@scmag.com
Web Address	www.scmag.com

### Company Information

Key People	Dr. Stefan Hepp Mr. Ralph Aerni Mr. Sascha Zeitz
Year of Establishment	1996
Number of Employees	21
Fund Names	Segregated Institutional Client Accounts & Dedicated Fund-of-Funds
Capital Under Management	USD 3.7bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

### Short Company Profile

SCM Strategic Capital Management is an independent Swiss institutional investment advisor focusing on private equity, real estate, and infrastructure. The company offers customized advisory solutions and commingled pools, as well as investment controlling and reporting services. Since its foundation in 1996, SCM has advised on aggregate commitments of approximately USD 10 billion, and today ranks among the most important private market investors. Unbiased investment competence, tailored services, a global network, and international operations are key characteristics of the company. SCM is headquartered in Zurich, Switzerland, and has offices in Hong Kong, Seoul and Luxembourg.

**shaPE Capital AG****Contact Information**


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Address	Wolleraustrasse 41a 8807 Freienbach
Telephone No	+41 58 596 12 96
Fax No	+41 58 596 12 97
E-mail Address for General Enquiries	info@shape-capital.com
Format of Personal E-mail Addresses	forename.surname@shape-capital.com
Web Address	www.shape-capital.com

**Company Information**


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Key People	Dr. Simon Lamprecht
Year of Establishment	2001
Number of Employees	2
Fund Names	
Capital Under Management	CHF 80m
Number of Portfolio Companies	24
Examples of Portfolio Companies	

**Investment Preferences**


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Size of Investment	
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

**Short Company Profile**


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shaPE is a private equity investment company listed on the SWX Swiss Exchange that follows the principle of a Fund of Funds.

## SJ Berwin LLP



### Contact Information

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Address	Karlstraße 12, Karolinen Karree D - 80333 München
Telephone No	+49 (0) 89 89081-310
Fax No	+49 (0) 89 89081-100
E-mail Address for General Enquiries	sonya.pauls@sjberwin.com
Format of Personal E-mail Addresses	
Web Address	www.sjberwin.com

### Company Information

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Key People Ms. Sonya Pauls

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	None

### Short Company Profile

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SJ Berwin's 'excellent' team has a first class reputation for private equity, where it is considered 'the best in the market' especially in pushing boundaries and structuring more innovative solutions" Legal 500 SJ Berwin is at the core of international private equity. Operating from 11 offices across Europe, East Asia and the Middle East, we have one of the largest integrated global teams, with over 150 specialist lawyers advising leading players across the full private equity spectrum. The depth and breadth of our knowledge is unrivalled. We offer the full range of private equity services including Buyouts, Fund Formation, Secondaries, Spin-outs and Venture Capital, together with specialist advisers in our Acquisition Finance, Competition, Regulatory and Tax practices. We are recognised in the private equity world as being the 'go to' firm for the most complex and groundbreaking transactions. It is the combination of this innovation, technical expertise, depth, geographic coverage and our commitment to the private equity industry that differentiates SJ Berwin as the true market leader. We have never been satisfied just to advise - our lawyers place themselves at the centre of the industry through our lobbying and support of the sector as a whole.

## Social Commerce AG



### Contact Information

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Address	Schilfmattweg 7 6300 Zug
Telephone No	+41 91 791 09 85
Fax No	
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	
Web Address	<a href="http://www.social-commerce.ag">www.social-commerce.ag</a>

### Company Information

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Key People	Mr. Christian Daudert
Year of Establishment	2011
Number of Employees	4
Fund Names	
Capital Under Management	CHF 1.5m
Number of Portfolio Companies	6
Examples of Portfolio Companies	yoyo ag

### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	Internet Technology
Geographical Focus	Europe
Type of Financing	Equity Financing

### Short Company Profile

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Die Social Commerce AG hat sich der Kapitalisierung dieser Marktmechanismen verschrieben. Dazu unterstützen wir junge Unternehmen und Querdenker mit herausragenden Ideen bei der Umsetzung ihrer wirtschaftlichen Vision. Heute allgegenwärtige Technologie-Unternehmen wie Apple, Microsoft und Facebook gehen auf vordenkende Persönlichkeiten mit einer großen Idee zurück. Wir statten die nächsten Steves, Bills und Marks mit den nötigen Mitteln und Verbindungen aus, um ihre Idee in ein gewinnbringendes Unternehmen zu verwandeln.

## Solventa Management AG



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### Contact Information

Address	Rigistrasse 33 6330 Cham
Telephone No	+41 43 500 41 77
Fax No	
E-mail Address for General Enquiries	info@solventa.ch
Format of Personal E-mail Addresses	
Web Address	www.solventa.ch

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### Company Information

Key People	Mr. Dieter Lair Mr. Peter Loehmann
Year of Establishment	2013
Number of Employees	3
Fund Names	
Capital Under Management	11m
Number of Portfolio Companies	8
Examples of Portfolio Companies	

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### Investment Preferences

Size of Investment	15m
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Seed Capital, Start-up Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Asia, Europe, Switzerland
Type of Financing	All

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### Short Company Profile

Solventa Management AG is a Member of the Solventa Capital Group. The Focus lays in Early Stage Investments, and IPO candidates. Solventa also conducts pre-ipo price findings and placememnts.



**StartAngels Network****StartAngels Network****Contact Information**

Address	Zürichbergstrasse 150 8044 Zürich
Telephone No	
Fax No	
E-mail Address for General Enquiries	info@startangels.ch
Format of Personal E-mail Addresses	
Web Address	www.startangels.ch

**Company Information**

Key People	Mr. Peter H. Müller Mr. Laurenz Hüsler
Year of Establishment	2000
Number of Employees	approx 75 Members
Fund Names	
Capital Under Management	members invest
Number of Portfolio Companies	
Examples of Portfolio Companies	Newscron, Creoptx, Leman Microdevices, YouRehab, Attolight (B round), Bcomp, AOT, Nanotion, Viroblock (C round), Malcisbo, Credentis, Attolight (A round), Pearltec, Greenteg, Procedural, Virtamed, Arktis, Koaba, CovalX, Viroblock, Idencom, Selexis, Newave (IPO 2007), Xeltis, Colorant, Chromatic

**Investment Preferences**

Size of Investment	CHF 0.5–2m per company
Investment Stages	Early-Stage
Industrial Sectors	Medtech, life sciences, industrial hightech, ICT and electronics, micro and nanotech, cleantech
Geographical Focus	Switzerland
Type of Financing	Equity Financing

**Short Company Profile**

StartAngels Network is a platform for business angel investors. Our mission is to provide our members with investment opportunities in early-stage Swiss companies. Our network consists of ca. 70 members, who are or were active in various functions and industries. Our angel investors participate directly in the respective start-ups and assist them with coaching and contacts. StartAngels Network is a non profit organisation and does not make any investments.

## STS Swiss Treuhand Services AG



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### Contact Information

---

Address	Industriestrasse 47 6404 Zug
Telephone No	+41 44 586 28 80 / +41 79 508 46 15
Fax No	
E-mail Address for General Enquiries	info@swisstreuhandservices.ch
Format of Personal E-mail Addresses	Forename.surname@swisstreuhandservices.ch
Web Address	www.swisstreuhandservices.ch

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### Company Information

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Key People	Mr. Bryan Cook Mr. Manish Oza
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Year of Establishment	2010
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

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Size of Investment	
Investment Stages	Development
Industrial Sectors	All
Geographical Focus	Europe, Greater East Asia, India, Middle East
Type of Financing	Equity, Corporate Bonds

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### Short Company Profile

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STS Swiss Treuhand Services (STS) is a “One-source and Multi-Resource” corporate boutique based in Switzerland and also having a global network of partners around the world. STS provides business consulting in Switzerland, Europe, the Middle East, India and Asia.

STS provides its client’s access to service’s such as, International Finance and M & A advisory, Company formation, Cross Border Business Development, Asset Management, Trust and Fiduciary, in addition to Listing SME’s on various European exchanges ( via our global network ).

STS also supports international business activities with its Global Network possessing a wide range of expertise and the ability to draw on combined resources to service clients in all matters.

## SVC-AG für KMU Risikokapital



### Contact Information

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Address	Tessinerplatz 7 8070 Zürich
Telephone No	+41 44 333 43 46
Fax No	
E-mail Address for General Enquiries	info@svc-risikokapital.ch
Format of Personal E-mail Addresses	forname.surname@svc-risikokapital.ch
Web Address	www.svc-risikokapital.ch

### Company Information

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Key People	Mr. Johannes Suter Mr. Eric Gisiger
Year of Establishment	2010
Number of Employees	5
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	Switzerland
Type of Financing	Equity Financing, Loans, Minority Equity

### Short Company Profile

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SVC-AG für KMU Risikokapital [SVC-AG] ist eine 100% Tochtergesellschaft der Credit Suisse und hat eine strategische Kooperation mit dem Swiss Venture Club (SVC). Es stehen bis zu CHF 100 Mio. für Investitionen in Schweizer KMUs und Jungunternehmen, welche damit neue Arbeitsplätze schaffen bzw. sichern, als Risikokapital in Form von Eigenkapital oder Darlehen mit Erfolgsbeteiligung zur Verfügung. SVC-AG verfolgt langfristige Engagements mit einem Investitionshorizont von 4-7 Jahren im Regelfall mit Finanzierungen bis zu CHF 5 Mio. pro Unternehmen und Re-Investitionen der realisierten Gewinne.

## Swiss Capital Corporate Finance AG



### Contact Information

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Address	Talacker 41 8039 Zürich
Telephone No	+41 44 226 52 52
Fax No	+41 44 226 52 53
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	surname@swisscap.com
Web Address	www.swisscap.com

### Company Information

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Key People	Mr. Martin Menzi Mr. Kurt Rüegg
Year of Establishment	2008
Number of Employees	10
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

### Short Company Profile

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M&A, Debt and Equity Advisory

**TECHNOPARK® Zürich****Contact Information**

Address	Technoparkstrasse 1 8005 Zürich
Telephone No	+41 44 445 10 10
Fax No	+41 44 445 10 01
E-mail Address for General Enquiries	stiftung@technopark.ch
Format of Personal E-mail Addresses	
Web Address	www.technopark.ch

**Company Information**

Key People	Mr. Michael A. Meyer
Year of Establishment	1993
Number of Employees	8
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Seed Capital, Start-up Capital, Turnaround - Restructuring
Industrial Sectors	
Geographical Focus	
Type of Financing	

**Short Company Profile**

TECHNOPARK® Zürich creates the ideal environment for knowledge and technology transfer from scientific research to the business market. This generates market innovations. The visible consequences of these efforts are newly created jobs and a boost to the economic cycle. It is Switzerland's leading address and contact point for innovative and technology-oriented start-up businesses. The figures speak for themselves: on 47,000 m<sup>2</sup>, there are 290 companies and organisations providing 2000 jobs. TECHNOPARK® Zürich was opened in 1993 and is financed through private funds only. TECHNOPARK® Real Estate AG – funded by its shareholders SwissLife and Zürcher Kantonalbank, is the proprietor, operator and lessor. The TECHNOPARK® Zürich foundation selects and supports start-up businesses in their build-up process and pursues diverse projects geared towards implementing TECHNOPARK® Zürich's concept of technology transfer together with their world class partners ETH Zurich, University Zurich, CSEM and ZHaW.

## The Corporate Finance Group



### Contact Information

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Address	Beethovenstrasse 11 8002 Zürich
Telephone No	+41 44 287 22 55
Fax No	+41 44 287 22 56
E-mail Address for General Enquiries	info@tcfg.ch
Format of Personal E-mail Addresses	forename.surname@tcfg.ch
Web Address	www.tcfg.ch

### Company Information

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Key People	Mr. Beat Unternährer Mr. Peter Bohnenblust
Year of Establishment	2000
Number of Employees	20
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment  
Investment Stages  
Industrial Sectors  
Geographical Focus  
Type of Financing

### Short Company Profile

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TCFG was formed 14 years ago by 5 ex Partners of Ernst & Young with many years of experience in M&A / Corporate Finance. It is a leading international M&A firm with a strong focus on entrepreneur succession.

## The Riverside Company



### Contact Information

Address	Alter Hof 5 D-80331 München
Telephone No	+49 892 422 48 90
Fax No	+49 892 422 48 99
E-mail Address for General Enquiries	hed@riversideeurope.com
Format of Personal E-mail Addresses	
Web Address	www.riversideeurope.com

### Company Information

Key People	Mr. Dominik Heer Mr. Tony Cabral Mr. Peter Parmentier
Year of Establishment	1988
Number of Employees	40
Fund Names	Riverside Europe Fund (REF)
Capital Under Management	CHF 760m / EUR 509m (in Europa)
Number of Portfolio Companies	17 (in Europa)
Examples of Portfolio Companies	SIGG Switzerland, Rameder, Keymile, Transporeon

### Investment Preferences

Size of Investment	CHF 30-150m / EUR 20-120m (Entreprise Value)
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	All
Geographical Focus	Continental Europe
Type of Financing	Majority Equity

### Short Company Profile

Riverside ist eine der weltweit führenden Private-Equity Gesellschaften mit dem Fokus auf kleine und mittelständische Unternehmen. Von ihren weltweit 21 Standorten aus, darunter München, konzentriert sich Riverside auf die Übernahme und Weiterentwicklung von Unternehmen, die in ihrer Nische über eine marktführende Position verfügen und weiteres Wachstumspotential aufweisen. In Europa seit 1989 tätig, hat Riverside Europe in über 40 Unternehmen investiert und zählt damit zu den erfahrensten Investoren in diesem Buy-out-Segment.

## The Smart Cube (Switzerland) GmbH



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### Contact Information

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Address	Kornhausstrasse 3 9000 St. Gallen
Telephone No	+41 71 394 03 20
Fax No	
E-mail Address for General Enquiries	info@thesmartcube.com
Format of Personal E-mail Addresses	
Web Address	www.thesmartcube.com

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### Company Information

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Key People	Mr. Gautam Singh
Year of Establishment	2003
Number of Employees	3
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

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### Investment Preferences

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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	All

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### Short Company Profile

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The Smart Cube is a global professional services firm that specializes in delivering customized, high value Business and Investment Research as well as Marketing and Data Analytics Solutions to leading corporations and professional services firms worldwide



## UBS Global Asset Management



### Contact Information

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Address	Talacker 24 8098 Zürich
Telephone No	+41 44 237 21 01
Fax No	+41 44 237 21 21
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@ubs.com
Web Address	www.ubs.com

### Company Information

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Key People	Mr. Iwan Heiz Mr. Roland Hantke Dr. Oliver Mueller-Kaenel
Year of Establishment	
Number of Employees	15
Fund Names	
Capital Under Management	CHF 3.7bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	
Industrial Sectors	All
Geographical Focus	Europe, North America, Asia
Type of Financing	

### Short Company Profile

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Alternative Funds Advisory is a leading investor in private equity and infrastructure funds.

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**Contact Information**


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Address	8c, Av. de Champel 1211 Genève 12
Telephone No	+41 22 704 42 31
Fax No	+41 22 704 42 50
E-mail Address for General Enquiries	ecarlsson@unigestion.com
Format of Personal E-mail Addresses	initial of forenameSurname@unigestion.com
Web Address	www.unigestion.com

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**Company Information**


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Key People	Dr. Hanspeter Bader Mr. Christophe de Dardel Mr. Christian Dujardin
Year of Establishment	1971
Number of Employees	175
Fund Names	Unicapital Investments I, II, III, IV, V, Unigestion Secondary Opportunity II, III, Unigestion - Ethos Environmental Sustainability and tailored mandates
Capital Under Management	EUR 10.9bn
Number of Portfolio Companies	
Examples of Portfolio Companies	

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**Investment Preferences**


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Size of Investment	
Investment Stages	All
Industrial Sectors	All
Geographical Focus	All
Type of Financing	

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**Short Company Profile**


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At Unigestion, our single minded focus is to offer robust, tailor-made investment solutions to a limited number of sophisticated institutions and families. We have EUR 10.9 billion of assets under management, 93% managed on behalf of around 250 institutional investors and 7% on behalf of a few high net worth families. The stability of this client base allows for long-term vision. At Unigestion, we firmly believe downside protection and return asymmetry are the drivers of long-term performance for our clients. This philosophy is embedded into all our investment strategies: Equities, Hedge Funds, Private Assets and Cross Asset Solutions.

Established more than 40 years ago, Unigestion is a time tested organisation. We align our interests with those of our clients by investing our capital in the strategies we manage for them, thereby developing partnerships with them. We have a single focus on asset management and 72% of our equity belongs to our senior management, which protects us from potential conflicts of interests. The financial strength brought by more than EUR 150 million of shareholder equity is a guarantee of lasting solidity.

With 175 employees from 17 nationalities, Unigestion is headquartered in Geneva and has offices in major financial centers around the world: Zurich, London, Paris, New York, Toronto, Singapore and Guernsey. This international presence ensures both comprehensive local market research and good understanding of the local dynamics which govern our clients' activities and requirements.

## ValleyRoad Capital SA



### Contact Information

Address	Route de Cité-Ouest 2 1196 Gland
Telephone No	+41 22 906 10 20
Fax No	+41 22 906 10 21
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@valleyroadcapital.com
Web Address	www.valleyroadcapital.com

### Company Information

Key People	Mr. Pierre Kladny Mr. Patrick de Heney Mr. Staffan Ahlgren
Year of Establishment	2006
Number of Employees	8
Fund Names	CapD Private Equity Partners L.P.
Capital Under Management	CHF 60m
Number of Portfolio Companies	3
Examples of Portfolio Companies	Reuge SA

### Investment Preferences

Size of Investment	CHF 5m
Investment Stages	Buyout - Buyin, Expansion - Development Capital, Replacement Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	Central and Eastern Europe, Continental Europe, Far East, Switzerland
Type of Financing	All

### Short Company Profile

**VI Partners AG****Contact Information**

Address	Baarerstrasse 86 6302 Zug
Telephone No	+41 41 729 00 00
Fax No	+41 41 729 00 01
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename@vipartners.ch
Web Address	www.vipartners.ch

**Company Information**

Key People	Mr. Alain Nicod Mr. Arnd Kaltofen
Year of Establishment	2001
Number of Employees	6
Fund Names	Venture Incubator AG
Capital Under Management	EUR 83m
Number of Portfolio Companies	19
Examples of Portfolio Companies	Activaero, CeQur, Nextthink, Sequana, SonicEmotion

**Investment Preferences**

Size of Investment	
Investment Stages	
Industrial Sectors	Biotechnology, Computer Related, Industrial Automation, Internet Technology, Medical - Life Sciences - Health Related
Geographical Focus	Switzerland
Type of Financing	Equity Financing

**Short Company Profile**

Venture Incubator is a Swiss venture capital firm that supports university spin-offs as well as other promising start-up companies with capital, coaching, consulting and networks. We invest "Smart Money" to develop promising technology-based ideas for products and services into successful businesses. Venture Incubator started operations in 2001 and manages an investment fund of CHF 101 million. Our investors represent 10 blue-chip enterprises from industry and finance. In addition we have strategic partnerships with McKinsey & Company and the Swiss Federal Institute of Technology in Zürich (ETHZ).

## Vinci Capital SA



### Contact Information

---

Address	EPFL Innovation Park, Bâtiment C 1015 Lausanne
Telephone No	+41 21 693 92 34
Fax No	+41 21 693 92 30
E-mail Address for General Enquiries	sa@vincicapital.ch
Format of Personal E-mail Addresses	initial of ForenameSurname@vincicapital.ch
Web Address	www.vincicapital.ch

### Company Information

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Key People	Dr. Christian Waldvogel Mr. Xavier Paternot Mr. Claude Suard
Year of Establishment	2004
Number of Employees	7
Fund Names	Renaissance Technologies 2, 3, 4
Capital Under Management	over CHF 200m
Number of Portfolio Companies	9 as of December 2013
Examples of Portfolio Companies	Asic Robotics, CES, RSD, Datamars, Symetis

### Investment Preferences

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Size of Investment	EV up to CHF 100m
Investment Stages	Buyout - Buyin, Expansion - Development Capital
Industrial Sectors	Biotechnology, Chemical - Materials, Computer Related, Electronics, Energy, Environment, Industrial Automation, Industrial Products and Services, Internet Technology, Manufacturing, Medical - Life Sciences - Health Related, Telecommunications
Geographical Focus	Switzerland
Type of Financing	Equity Financing

### Short Company Profile

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Vinci Capital is a leading Swiss private equity firm with over MCHF 200 under management. Since 1998 we have provided equity to over 30 Swiss technology and industrial companies to finance innovation, growth and succession-buyouts.

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**Contact Information**


---

Address	Vadianstrasse 59 9000 St. Gallen
Telephone No	+41 71 226 88 00
Fax No	+41 71 226 88 01
E-mail Address for General Enquiries	a.marty@vmcap.com
Format of Personal E-mail Addresses	initial of forename.surname@vmcap.com
Web Address	www.vmcap.com

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**Company Information**


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Key People	Dr. Helmut Vorndran Mr. Willi Mannheims Mr. Andreas Marty
Year of Establishment	2000
Number of Employees	20
Fund Names	Ventizz Capital Fund I-IV
Capital Under Management	EUR 675m
Number of Portfolio Companies	10
Examples of Portfolio Companies	Zett Optics, SSP Technology, vitronet Holding, Soventix, exceet Group, Hasselblad, Thomas- Krenn, Rioglass Solar, Hochtief Offshore, Development Solutions

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**Investment Preferences**


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Size of Investment	EUR 10-100m
Investment Stages	Buyout - Buyin, Delisting, Expansion - Development Capital
Industrial Sectors	Computer Related, Electronics, Energy, Environment, Industrial Automation, Industrial Products and Services, Manufacturing, Medical - Life Sciences - Health Related, Telecommunications, Communications other
Geographical Focus	Germany, Switzerland, Austria
Type of Financing	Equity Financing

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**Short Company Profile**


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Vorndran Mannheims Capital (formerly known as Ventizz Capital Partners) was formed in 2000 and has offices in St. Gallen, Zurich, Dusseldorf, and Vienna. The company exclusively advises private equity funds which invest in buy-out transactions and high-growth opportunities in small and medium-sized high-tech companies in the german-speaking region. VMCap has 4 partners: Dr. Helmut Vorndran, Willi Mannheims, Dr. Björn Söndgerath and Andreas Marty. Together with a team of 16 professionals they currently advise four funds with capital totalling EUR 675 million. To date, VMCap has invested in 46 companies focusing on renewable energy, medical technology, information and communication technology and on other branches of industry offering high value-added. In addition to a large number of trade sales, VMCap has successfully placed four of its participating interests (Ersol, SAF, PV Crystalox Solar, exceet Group) on stock.

**WAI Alternative Investments AG****Contact Information**

Address	Angererstrasse 6 8002 Zürich
Telephone No	+41 44 205 94 44
Fax No	+41 44 205 94 49
E-mail Address for General Enquiries	contact@wai-investments.com
Format of Personal E-mail Addresses	forename.surname@wai-investments.com
Web Address	www.wai-investments.com

**Company Information**

Key People	Mr. Siegmur Thakur-Weigold
Year of Establishment	2005
Number of Employees	
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	
Industrial Sectors	
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	

**Short Company Profile**

## Waterland Private Equity GmbH



### Contact Information

Address	Neuer Zollhof 1 D-40221 Düsseldorf	Theatinerstrasse 14 D-80333 München
Telephone No	+49 211 6878 400	+49 892 4443 040
Fax No	+49 211 6878 4029	+49 892 4443 0420
E-mail Address for General Enquiries	info@waterland.de	
Format of Personal E-mail Addresses		
Web Address	www.waterland.de	

### Company Information

Key People	Mr. Joerg Dreisow Dr. Carsten Rahlfs
Year of Establishment	1999
Number of Employees	
Fund Names	Waterland Private Equity Fund II - V
Capital Under Management	EUR 2.5bn
Number of Portfolio Companies	26
Examples of Portfolio Companies	SENIOCare AG, FleetPro Passenger Ship Management AG, RHM Kliniken, A-Rosa Flussschiff

### Investment Preferences

Size of Investment	EUR 5-275m
Investment Stages	Buyout - Buyin
Industrial Sectors	Environment, Medical - Life Sciences - Health Related, Other
Geographical Focus	Austria, Belgium, Benelux, Central and Eastern Europe, Germany, Netherlands, Poland, Switzerland
Type of Financing	Majority Equity

### Short Company Profile

Waterland is an independent private equity investment group that supports entrepreneurs in realizing their growth ambitions. With substantial financial resources and committed industry expertise, Waterland enables its portfolio companies to achieve accelerated growth both organically and through acquisitions. Waterland is an active investor in the financial, strategic and operational sense. In the current dynamic business environment Waterland is a partner that provides entrepreneurs with a powerful position in the increasingly competitive international arena. In the meantime Waterland has made investments in over 250 companies. Waterland currently has € 2,5 billion of equity. In addition, Waterland has access to extensive leveraged finance funding.



**Wenger & Vieli AG**

wenger & vieli  
Attorneys at law

**Contact Information**

Address	Dufourstrasse 56 8034 Zürich
Telephone No	+41 58 958 58 58
Fax No	+41 58 958 59 59
E-mail Address for General Enquiries	mail@wengervieli.ch
Format of Personal E-mail Addresses	initial of forename.surname@wengervieli.ch
Web Address	www.wengervieli.ch

**Company Information**

Key People	Dr. Christian Wenger Dr. Wolfgang Zürcher Dr. Beat D. Speck
Year of Establishment	
Number of Employees	90
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

**Short Company Profile**

We are a leading Swiss law firm with about 40 lawyers located in Zurich and Zug. One of our particular strengths is in the area of Venture Capital and Private Equity. We advise investors through the whole life cycle of venture capital: on tax efficient offshore and domestic fund structures, acquisition of portfolio companies, exit routes and more. As a one-stop-shop we take care of tax structuring, intellectual property, regulatory, employment, general contract and corporate law. On top we offer the full range of all notarial services needed in the venture capital business.

## WP Wohlthat & Partner AG

### Contact Information

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Address	Hauptstrasse 67 8274 Tägerwilen
Telephone No	+41 76 379 73 19
Fax No	
E-mail Address for General Enquiries	anna@wohlthat.ag
Format of Personal E-mail Addresses	
Web Address	www.wohlthat.ag

### Company Information

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Key People	Ms. Anna Wohlthat
Year of Establishment	
Number of Employees	3
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

### Investment Preferences

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Size of Investment	
Investment Stages	Buyout - Buyin, Early-Stage, Mezzanine - Bridge Finance, Seed Capital, Start-up Capital
Industrial Sectors	Biotechnology, Environment, Finance - Insurance - Real Estate, Manufacturing, Medical - Life Sciences - Health Related
Geographical Focus	Central and Eastern Europe, Germany, Russia, Switzerland, Ukraine, Western Europe
Type of Financing	Equity Financing

### Short Company Profile

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[www.wohlthat.ag](http://www.wohlthat.ag)

**ZETRA International AG****Contact Information**

Address	Gottfried Keller-Strasse 5 8001 Zürich
Telephone No	+41 44 755 59 99
Fax No	+41 44 755 59 00
E-mail Address for General Enquiries	
Format of Personal E-mail Addresses	forename.surname@zetra-international.com
Web Address	www.zetra-international.com

**Company Information**

Key People	Mr. Frédéric de Boer Dr. Ralf Hermann Mr. Karel Strub Mr. Lukas G. Raschle
Year of Establishment	2001
Number of Employees	16
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	
Investment Stages	Buyout - Buyin, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Replacement Capital, Seed Capital, Start-up Capital, Turnaround - Restructuring
Industrial Sectors	All
Geographical Focus	
Type of Financing	

**Short Company Profile**

ZETRA International is an established and independent advisory company for Mergers & Acquisitions (M&A), Restructuring, and Real Estate, based in Zurich and Basel.

ZETRA International is the Swiss Partner and shareholder of Global M&A Partners, one of the world's leading partnerships of independent M&A firms.

Since 2008, ZETRA International has always been listed among the Top 4 and since 2013 among the Top 2 M&A-advisors in Switzerland.

ZETRA International professionals align a wide background of complementary skills (M&A, corporate finance, corporate restructuring, debt advisory, real estate, succession planning, strategy and business advisory, board membership etc.).

The team of ZETRA International has accumulated a strong track record (M&A, Restructuring and Real Estate) of value creation for clients.

**Zühlke Ventures AG****Contact Information**

Address	Wiesenstrasse 10a 8952 Schlieren
Telephone No	+41 44 733 66 11
Fax No	
E-mail Address for General Enquiries	ventures@zuehlke.com
Format of Personal E-mail Addresses	initial of ForenameSurname@zuehlke.com
Web Address	www.zuehlke.com/ventures

**Company Information**

Key People	Dr. Martin Rutishauser Dr. Walter Hürsch Dr. Patrick Griss
Year of Establishment	2010
Number of Employees	3
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	CHF 0.2-1m
Investment Stages	Early-Stage
Industrial Sectors	Computer Related, Consumer Related, Electronics, Industrial Products and Services, Internet Technology, Medical - Life Sciences - Health Related, Telecommunications, Communications other
Geographical Focus	Austria, Germany, Switzerland, United Kingdom
Type of Financing	Equity Financing

**Short Company Profile**

Zühlke Ventures is a specialist in the financing and acceleration of technology start-up companies. With strong commitment to the business success of the venture we work as equals with the entrepreneurial team and offer complementary skills and expertise in the initial start-up phase. As a wholly owned subsidiary of the Zühlke Group, we have access to a network of partners with comprehensive technology and management skills.

**Zürcher Kantonalbank****Contact Information**

Address	Corporate Finance / Structured Finance 8010 Zürich
Telephone No	+41 44 292 36 49
Fax No	+41 44 292 26 71
E-mail Address for General Enquiries	corporate.finance@zkb.ch
Format of Personal E-mail Addresses	forename.surname@zkb.ch
Web Address	www.zkb.ch

**Company Information**

Key People	Mr. Marcel Rütsche Mr. Adrian Schmidlin
Year of Establishment	1870
Number of Employees	4800
Fund Names	
Capital Under Management	
Number of Portfolio Companies	
Examples of Portfolio Companies	

**Investment Preferences**

Size of Investment	All (Seed and Start-up)
Investment Stages	All
Industrial Sectors	All
Geographical Focus	Switzerland
Type of Financing	Minority Equity, Mezzanine

**Short Company Profile**

ZKB offers all services of a large universal bank. The range of financial services includes conventional corporate banking, start-up financing, structured and syndicated loans, as well as succession consulting.

**Zurmont Madison Management AG****Contact Information**

Address	Eisengasse 15 8034 Zürich
Telephone No	+41 44 267 50 00
Fax No	+41 44 267 50 01
E-mail Address for General Enquiries	sekretariat@zurmontmadison.ch
Format of Personal E-mail Addresses	surname@zurmontmadison.ch
Web Address	www.zurmontmadison.ch

**Company Information**

Key People	Dr. Björn Böckenförde Mr. Werner Rudolf Schnorf Mr. Andreas Ziegler
Year of Establishment	2006
Number of Employees	8
Fund Names	Zurmont Madison Private Equity L.P.
Capital Under Management	CHF 250m
Number of Portfolio Companies	6
Examples of Portfolio Companies	Röder AG, SMB SA, CLS Communication AG, Bauwerk Parkett AG, CCS Customer Care & Solutions Holding AG, AKAtch Produktions- und Handels GmbH

**Investment Preferences**

Size of Investment	CHF 10-50m (equity)
Investment Stages	Buyout - Buyin
Industrial Sectors	Industrial Products and Services
Geographical Focus	Austria, Germany, Switzerland
Type of Financing	

**Short Company Profile**

Zurmont Madison Management AG was founded in 2006 based on the initiative of Zurmont Management AG and Madison Management AG, both private equity companies based in Zurich and active since 1986 and 2001 respectively. Zurmont Madison is managing Zurmont Madison Private Equity L.P. which is focused exclusively on controlling investments in the context of succession solutions and management buyouts or buyins of established mid-size companies in Switzerland, Germany and Austria. The current fund held its final close with commitments of CHF 250m in December 2008.

# Nachfolgefragen sind Vertrauenssache.

Sichern Sie die Zukunft Ihres Unternehmens gemeinsam mit einem erfahrenen Partner. Wir beteiligen uns im Zuge von Nachfolge- und Buyout-Lösungen an mittelständischen Unternehmen aus dem Industrie-, Konsumgüter- und Dienstleistungsbereich. Als aktive Partner des Managements denken und handeln wir nachhaltig.

Auswahl unserer Referenzen:

**CLS** COMMUNICATION

**schaetti**  
coating technology

**AKA**tech



**BAUWERK**<sup>®</sup>  
Parkett



Zurmont Madison Management AG  
Eisengasse 15, CH-8008 Zürich  
Telefon: +41 (0)44 267 5000

[www.zurmontmadison.ch](http://www.zurmontmadison.ch)

**Zurmont Madison**  
PRIVATE EQUITY

## **Associate Members**



## AAA - Corporate Finance Advisers AG

### Contact Information

Address	Europastrasse 18, 8152 Glattbrugg
Telephone No	+41 44 828 18 36
Web Address / Format of E-mail	www.aaa-cfa.com / forename.surname@aaa.cfa.com
Key People	Mr. Silvio Campestrini / Mr. Arturo Giovanoli
Year of establishment / Employees	2003 / 5

### Short Company Profile

Mergers & Acquisitions, Due Diligence, Corporate Finance, Arranging Finances also for properties

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## ABELS Avocats

### Contact Information

Address	1, r. Michel-Roset, 1201 Geneva
Telephone No	+41 22 715 07 00
Web Address / Format of E-mail	www.abels.pro / surname@abels.pro
Key People	Dr. Sébastien Bettschart / Dr. Stefan Eberhard
Year of establishment / Employees	2009 / 8

### Short Company Profile

ABELS is a Swiss boutique law firm focusing on business law whose lawyers have accumulated years of experience with top rated business law firms. ABELS' lawyers remain true to their core values: focus on their clients' needs and objectives, commitment to excellence and innovation, and adherence to the highest standards of professionalism. ABELS' corporate and M&A team advises clients on all types of acquisitions, mergers, private equity investments, restructuring and financing.

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## ABT Revisionsgesellschaft AG

### Contact Information

Address	Alte Steinhauserstrasse 1, 6330 Cham
Telephone No	+41 41 748 62 30
Web Address / Format of E-mail	www.abtcham.ch / -
Key People	Mr. Arthur Exer
Year of establishment / Employees	1983 / 7

### Short Company Profile

Wir sind ein auf Wirtschaftsprüfung und -beratung spezialisiertes Unternehmen. Unsere Kunden sind nationale und internationale Handels-, Produktions- und Dienstleistungsunternehmen. Im M&A Bereich haben wir grosse Erfahrung in der Durchführung von Due Diligence Prüfungen, bei der Erstellung von Business- und Finanzplänen sowie Bewertungen von KMU Unternehmen. International sind wir Mitglied von Nexia International.

## Adinvest AG

### Contact Information

Address	Rigistrasse 25, 8126 Zumikon
Telephone No	+41 44 202 21 55
Web Address / Format of E-mail	www.adinvest.ch / initial of forename.surname@adinvest.ch
Key People	Dr. Neil V. Sunderland
Year of establishment / Employees	1986 / -

### Short Company Profile

Corporate Finance and Investment Company active in: Europe through private syndications, Adinvest II (Luxembourg) SCS, SICAR; West Coast USA through Montreux Equity Partners (life sciences only) and in Australia through private syndications.

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## Advanta Capital Ltd

### Contact Information

Address	Europaallee 41, 8021 Zürich
Telephone No	
Web Address / Format of E-mail	www.advanta-capital.com / -
Key People	Dr. Christoph Künzle / Mr. Marcel Kaufmann
Year of establishment / Employees	2011 / approx. 10

### Short Company Profile

Advanta Capital is a specialized private equity and corporate finance firm exclusively focused on Natural Resources. Advanta Capital offers direct investments, asset management & advisory services targeting superior risk-adjusted returns, as well as tailored corporate finance solutions. Advanta Capital's investment solutions benefit from a strong secular growth trend in Natural Resources while preserving investors' capital. Advanta Capital maintains an extensive global network of investors, industry leaders, and companies seeking capital. Advanta Capital, headquartered in Zurich (Switzerland), serves a select group of sophisticated investors such as insurance companies, banks, corporations, endowments, pension funds, as well as family offices and high net worth individuals.

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## ALTENBURGER LTD legal + tax

### Contact Information

Address	Seestrasse 39, 8700 Küsnacht
Telephone No	+41 58 810 22 22
Web Address / Format of E-mail	www.altenburger.ch / surname@altenburger.ch
Key People	Mr. Riccardo Geiser / Mr. Massimo Calderan
Year of establishment / Employees	1978 / 40

### Short Company Profile

ALTENBURGER is a Swiss law firm with offices located in Zurich, Geneva and Lugano. For over 30 years, our firm has continuously built up authority and recognition as an international business law practice. We have the required expertise and experience to provide professional advice in all areas of international business law. As a full service firm, we offer a comprehensive range of services that constitute our practice areas. On the other hand, we have over many years of practice acquired specialist know-how in selected areas of law that now represent our key strengths such as Corporate / M&A, Banking & Financial Services, Tax, Insurance and Dispute Resolution.

## Alternative Capital Management AG

### Contact Information

Address	Dreikönigstrasse 45, 8002 Zürich
Telephone No	+41 44 787 33 33
Web Address / Format of E-mail	www.acmanagement.ch / forename.surname@acmanagement.ch
Key People	Mr. David Guggenheim / Mr. Markus Huber
Year of establishment / Employees	2005 / 12

### Short Company Profile

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## Aon M&A Solutions

### Contact Information

Address	Vulkanstrasse 106, 8048 Zürich Altstetten
Telephone No	+41 58 266 82 35
Web Address / Format of E-mail	www.aon.com / forename.surname@aon.ch
Key People	Mr. Roger Wirth / Mr. Hansjörg Pezzei
Year of establishment / Employees	1997 / 380

### Short Company Profile

Aon M&A Solutions is a global practice group providing innovative risk management, human capital and insurance solutions to clients undertaking acquisitions, mergers, divestures or organic growth strategies into new markets or territories. Our areas of expertise include risk management and investigative intelligence; due diligence services for private equity and corporate M&A; lender due diligence and advisory; tailored products for specific risks arising from a transaction; transaction strategy, planning and execution.

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## ARALON AG

### Contact Information

Address	Churer Strasse 135, 8808 Pfäffikon, SZ
Telephone No	+41 55 416 26 30
Web Address / Format of E-mail	www.aralon.ch / surname@aralon.ch
Key People	Dr. Werner Hane
Year of establishment / Employees	2005 / 5

### Short Company Profile

ARALON is a management consulting firm with following main fields of expertise: commercial due diligence, post merger integration, growth strategies, business modelling, turnaround and change management.

## Badertscher Rechtsanwälte AG

### Contact Information

Address	Grafenauweg 6, 6300 Zug
Telephone No	+41 41 726 60 60
Web Address / Format of E-mail	<a href="http://www.b-legal.ch">www.b-legal.ch</a> / <a href="mailto:surname@b-legal.ch">surname@b-legal.ch</a>
Key People	Dr. Thomas M. Rinderknecht
Year of establishment / Employees	1995 / 49

### Short Company Profile

As a medium-sized law firm, Badertscher Rechtsanwälte AG concentrates on selected business sectors and core competences in international and commercial law, such as tax-optimised international holding, trading and investment structures, M&A, IPOs, international business transactions, private equity and venture capital, advertising and marketing concepts, business successions and the management of international disputes.

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## Baker & McKenzie

### Contact Information

Address	Holbeinstrasse 30, 8034 Zürich
Telephone No	+41 44 384 14 14
Web Address / Format of E-mail	<a href="http://www.bakermckenzie.com">www.bakermckenzie.com</a> / <a href="mailto:forename.surname@bakermckenzie.com">forename.surname@bakermckenzie.com</a>
Key People	Mr. Martin Frey / Dr. Urs Schenker
Year of establishment / Employees	1949 / 180

### Short Company Profile

With offices in Zurich and Geneva, Baker & McKenzie is one of the largest law firms in Switzerland. Our team of more than 90 lawyers provides a full range of legal services in the Private Equity and M&A area.

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## WE MAKE COMPLEX ISSUES SIMPLE

You need PE advisors who understand more than legal requirements and investment options. They need to appreciate the diverse interests of the parties, the dynamics of the investment life cycle and other intangibles of value creation. They also need top quality project management skills and the ability to integrate cross-border teams.

At Baker & McKenzie Zurich, we make complex issues simple and deliver service that is pragmatic and matches business need. It's a value our clients notice and appreciate.

In particular, we can provide you with legal and tax advice with regard to the following PE transactions:

- Acquisitions and Divestitures
- Management Buy-outs
- Acquisition Finance
- Financial Restructurings and Reorganisations
- Venture Investments
- IPO's and Takeover Bids
- Funds Structuring
- Private Equity Real Estate Transactions

To learn more, please visit us at [www.bakermckenzie.com](http://www.bakermckenzie.com).

Primary contact for private equity and related transactions:

### Zurich

Martin Frey and Dr. Urs Schenker  
Holbeinstrasse 30, 8034 Zürich  
Tel: +41 44 384 14 14  
[martin.frey@bakermckenzie.com](mailto:martin.frey@bakermckenzie.com)

### Geneva

Martin Anderson and Denis Berdoz  
Rue Pedro-Meylan 5, 1208 Geneva  
Tel: +41 22 707 98 00  
[martin.anderson@bakermckenzie.com](mailto:martin.anderson@bakermckenzie.com)

## Banca dello Stato del Cantone Ticino

### Contact Information

Address	Viale H. Guisan 5, 6501 Bellinzona
Telephone No	+41 91 803 71 11
Web Address / Format of E-mail	<a href="http://www.bancastato.ch">www.bancastato.ch</a> / <a href="mailto:forename.surname@bancastato.ch">forename.surname@bancastato.ch</a>
Key People	Dr. Curzio De Gottardi
Year of establishment / Employees	- / -

### Short Company Profile

Universal bank

## Baumgartner Mächler Rechtsanwälte

### Contact Information

Address	Löwenstrasse 2, 8001 Zürich
Telephone No	+41 44 215 44 77
Web Address / Format of E-mail	www.bmlaw.ch / initials@bmlaw.ch
Key People	Dr. Dirk Hartmann / Mr. Philipp Mächler
Year of establishment / Employees	1999 / 20

### Short Company Profile

Baumgartner Mächler is specialised in legal advice to companies in complex business transactions. The firm provides legal advice to Swiss and international industrial and commercial companies, private equity companies, banks, insurances and other financial service providers as well as private individuals. Our focus is on creating solutions tailored to the requirements of our clients.

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## Bax Capital Advisors AG

### Contact Information

Address	Lindenstrasse 41, 8008 Zürich
Telephone No	+41 43 268 49 52
Web Address / Format of E-mail	www.baxcapital.com / surname@baxcapital.com
Key People	Mr. Alexander Pfeifer / Mr. Felix Rübel
Year of establishment / Employees	2003 / -

### Short Company Profile

Bax Capital is a Swiss private equity firm investing in established mid-sized companies (Sales 20 - 200m) in Switzerland and Germany, in the context of succession solutions, management buyouts or buyins, spin-outs and special situations.

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## BE Bio Energy Group AG

### Contact Information

Address	Zollikerstrasse 226, 8008 Zürich
Telephone No	+41 43 430 37 00
Web Address / Format of E-mail	- / forename.surname@bebioenergygroup.com
Key People	Mr. Martinus Brandal / Mr. Ola Ström
Year of establishment / Employees	2010 / 180

### Short Company Profile

BE Bio Energy Group AG is a privately owned partnership located in Switzerland. We focus on buying, operating and developing high quality, sustainable bioenergy assets in Europe. Our current portfolio is comprised of Nordic companies with long-term contracts to produce wood-based energy for municipalities, private industry and governmental agencies. Our unique skill set combines industrial knowledge, financial expertise, value-chain management, and sourcing capabilities with a hands-on management approach. This drives operational excellence along the entire value chain and creates long-term value for all stakeholders.

## Beck Group Ventures

### Contact Information

Address	2nd Floor, Berkeley Square House, GB - W1J 6BD London
Telephone No	+44 (0) 20 7887 1591
Web Address / Format of E-mail	www.the-beck-group.com / initial of forename.surname@the-beck-group.com
Key People	Ms. Madeleine Beck-Wagner / Mr. Lawrence Wragg
Year of establishment / Employees	- / -

### Short Company Profile

Independent placement advisors based in London with a strong network in the UK and Continental Europe. Raising private equity and venture capital for funds and fund of funds of between EUR 100 - 500 million, EUR 5-50 million for direct investments. Have multilingual team with strong industry and finance background and expertise.

## Binder Corporate Finance AG

### Contact Information

Address	Spitalgasse 32, 3001 Bern / Tödistrasse 46, 8002 Zürich
Telephone No	+41 31 326 18 18 / +41 44 268 45 35
Web Address / Format of E-mail	www.binder.ch / forename.surname@binder.ch
Key People	Dr. Peter M. Binder / Mr. Konrad Althaus / Dr. Roberto Tracia
Year of establishment / Employees	1995 / 10

### Short Company Profile

Mergers & Acquisitions, Corporate Finance, Management Coaching

## Blum&Grob Rechtsanwälte AG

### Contact Information

Address	Neumühlequai 6, 8021 Zürich
Telephone No	+41 58 320 00 00
Web Address / Format of E-mail	www.blumgrob.ch / initial of forename.surname@blumgrob.ch
Key People	Mr. Ralf Rosenow / Mr. Rolf Schilling
Year of establishment / Employees	2008 / 32

### Short Company Profile

Legal and tax advise in M&A-, MBO-, START-UP- restructuring and similar transactions, IPOs and subsequent rights issues.

## Borel & Barbey

### Contact Information

Address	rue de Jargonnant 2, 1211 Genève 6
Telephone No	+41 22 707 18 00
Web Address / Format of E-mail	<a href="http://www.borel-barbey.ch">www.borel-barbey.ch</a> / <a href="mailto:forename.surname@borel.barbey.ch">forename.surname@borel.barbey.ch</a>
Key People	Mr. Nicolas Killen
Year of establishment / Employees	1907 / 80

### Short Company Profile

The Firm was established in 1907 in Geneva. It provides advice notably in banking and finance law, corporate law, mergers & acquisitions as well as tax laws in domestic and cross-border transactions. It advises private equity funds and promoters.

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## Bruppacher Hug & Partner, Attorneys at Law

### Contact Information

Address	Zollikerstrasse 58, PO Box 173, 8702 Zollikon
Telephone No	+41 44 396 31 31
Web Address / Format of E-mail	<a href="http://www.bhp.ch">www.bhp.ch</a> / <a href="mailto:surname@bhp.ch">surname@bhp.ch</a>
Key People	Dr. C. Mark Bruppacher / Mr. Emanuel Shiwow
Year of establishment / Employees	1993 / -

### Short Company Profile

Company and Financial law

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## Bünter Management AG

### Contact Information

Address	Gewerbepark / Hintermättlistrasse 1-5, 5506 Mägenwil
Telephone No	+41 58 206 08 50
Web Address / Format of E-mail	<a href="http://www.buenter.ch">www.buenter.ch</a> / <a href="mailto:initials@buenter.ch">initials@buenter.ch</a>
Key People	Mr. Andreas Bünter
Year of establishment / Employees	2004 / 10

### Short Company Profile

Bünter Management AG helps companies improving their profit by lowering non-strategic costs, improving processes, sales management and guides through restructurings. As a member of Expense Reduction Analyst, it has direct access to more than 700 experts in 29 countries.



## Capvent AG

### Contact Information

Address	Dufourstrasse 24, 8008 Zürich
Telephone No	+41 43 500 50 70
Web Address / Format of E-mail	www.capvent.com / initials@capvent.com
Key People	Mr. Tom Clausen / Mr. Varun Sood
Year of establishment / Employees	2000 / 25

### Short Company Profile

Capvent is an exclusive Private Equity fund-of funds and Co-investor in companies in emerging Asia. We have offices in Zurich, India and China.

## Carey AG

### Contact Information

Address	Alderstrasse 49, 8034 Zürich
Telephone No	+41 43 499 11 44
Web Address / Format of E-mail	www.careygroup.ch / forename.surname@careygroup.ch
Key People	Mr. Rudolf Kaufmann
Year of establishment / Employees	2003 / 7

### Short Company Profile

As a part of Carey Group, Carey AG is an independent financial services firm focusing primarily on funds solutions and administration services. For any structuring requirements of formation of entities please make Carey AG your first stop.

## CFP Business Consulting AG

### Contact Information

Address	Zollstrasse 16, FL – 9494 Schaan
Telephone No	+423 373 87 22
Web Address / Format of E-mail	www.cfp-ag.com / forename.surname@cfp-ag.com
Key People	Mr. Wolfgang Schmid
Year of establishment / Employees	- / -

### Short Company Profile

CFP Corporate Finance, Controlling & Accounting Services is focused on continuous controlling and valuation services (shared service center for accounting and controlling), specifically for investment companies and private investors. CFP is assisting companies in organising their reporting based on international standard, assisting them in their planning process, to achieve their controlling objectives, in accordance with the investors requirements. CFP is offering clients “ready to use” solutions to speed up their management reporting and valuation of a reporting unit (value management & cockpit). Permanently updated figures and additionally (manually) entered value drivers allow the simulation of various scenarios on the spot, e.g. during meetings. STC Assurance & Advisory Services, our partner company, offers additionally transaction and auditing services regarding process- and riskmanagement.

## CMS von Erlach Henrici AG

### Contact Information

Address	Dreikönigstrasse 7, 8022 Zürich
Telephone No	+41 44 285 11 11
Web Address / Format of E-mail	www.cms-vep.com / forename.surname@cms-vep.com
Key People	Mr. Stefan Brunnschweiler
Year of establishment / Employees	1936 / 160

### Short Company Profile

CMS Switzerland is a member of CMS, which provides clients with specialist, business-focused advice in law and tax matters. Our 2800 legal professionals across the world, working in sector-based teams and trained in project management, are focused on our clients and fulfilling their objectives. CMS lawyers operate in 56 offices worldwide, offering real-world advice to clients operating in a challenging business climate and a fast-changing regulatory environment. All attorneys complete postgraduate studies and gain practical experience abroad, mostly in the United States or England. The lawyers offer advice in German, English, French, Italian and Spanish.

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## Confortis AG

### Contact Information

Address	Schaffhauserstrasse 432, 8050 Zürich
Telephone No	+41 44 300 15 88
Web Address / Format of E-mail	www.confortis.ch / forename.surname@confortis.ch
Key People	Mr. Georges Ruchti / Mr. Alfred Rüedi
Year of establishment / Employees	2005 / 11

### Short Company Profile

Confortis is a young, successful and independent consulting company with 11 full-time employees and a selection of about 10 freelancers located in Zurich. Our practical experience in the financial industry allows a very efficient and focused project execution with a minimum of client strain. Quality assurance is of utmost importance to Confortis. Confirmed client satisfaction has been at outstanding levels throughout.

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## Constellation Capital AG

### Contact Information

Address	Huobstrasse 3, 8808 Pfäffikon
Telephone No	+41 44 482 66 66
Web Address / Format of E-mail	www.constellation.ch / initial of forename.surname@constellation.ch
Key People	Mr. Marinus Schmitt
Year of establishment / Employees	1992 / 6

### Short Company Profile

Established in 1992, Constellation is a Swiss investor group advising private investors, family offices and institutional investors. Constellation is focused on majority investments in profitable service companies in German speaking Europe ("Asset Light"). Through a renowned group of experienced Industry Partners Constellation pursues an active value-adding investment approach at eye level with the entrepreneurs and managers. Thereby organic growth is supplemented by a Buy-&-Build strategy.

## CPV Partners

### Contact Information

Address	12, Avenue Industrielle, 1227 Carouge/Genève
Telephone No	+41 22 918 11 00
Web Address / Format of E-mail	www.cpvpartners.com / -
Key People	Mr. Damien Conus / Mr. Karim Piguet
Year of establishment / Employees	2011 / 10

### Short Company Profile

CPV Partners is a multi-awarded Swiss boutique law firm, active in the Lake of Geneva area and in the Western Switzerland, specializing in business and commercial law, as well as in sports law. CPV Partners has a wide breadth of experience and knowledge of entrepreneurs, equity investors, lenders, companies raising equity, shareholders and management teams, and provides them with cost-effective and high-impact advice on all types of corporate finance issues (acquisitions, mergers, private equity investments, restructuring and financing).

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## CTI Invest

### Contact Information

Address	Seehofstrasse 6, 8008 Zürich
Telephone No	+41 79 251 32 09
Web Address / Format of E-mail	www.cti-invest.ch / -
Key People	Mr. Jean-Pierre Vuilleumier / Dr. Christian Wenger
Year of establishment / Employees	2003 / 4

### Short Company Profile

Founded in 2003, CTI Invest is today the leading financing platform for High Tech Start-up companies in Switzerland. CTI Invest is closely linked to the Commission for Technology and Innovation CTI and has a mandate to organize events for the CTI Start-up companies. CTI Invest is organizing the following events:

- Match Making events (Swiss Venture Days in Zurich and Lausanne and also abroad)
- Networking events (CEO Day with 600 participants, Innovation Roundtables)

CTI Invest has about 80 members and is offering the following membership categories: Institutional Investors, Industrial Partners, Business Angel Clubs, Family Offices and Businessangels.

CTI Invest is a non-profit organization that is financing all its activities with annual membership fees and with sponsoring. CTI Invest is also involved in the annual publication of the Swiss Venture Guide (now available online: [www.swissventureguide.ch](http://www.swissventureguide.ch)) and the newsportal [www.startupticker.ch](http://www.startupticker.ch).

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## CTI Start-up

### Contact Information

Address	Effingerstrasse 27, 3003 Bern
Telephone No	+41 31 324 04 35
Web Address / Format of E-mail	www.ctistartup.ch / forename.surname@ctistartup.ch
Key People	Ms. Karin Zingg / Mr. Lutz Nolte
Year of establishment / Employees	1996 / 58

### Short Company Profile

CTI Start-up promotes immediate benefit of the scientific and technologies worked out by researches and entrepreneurs. Accompanies start-up companies in the domain of innovative high-technologies. Provides networking and multiple corporation.

## DealMarket

### Contact Information

Address	Schipfe 2, 8001 Zürich
Telephone No	+41 43 888 75 30
Web Address / Format of E-mail	www.dealmarket.com / forename@dealmarket.com
Key People	Mr. Urs Haeusler / Mr. Andri Gunnarsson
Year of establishment / Employees	2011 / 10

### Short Company Profile

DealMarket is an online platform enabling private equity buyers, sellers and advisors to maximize opportunities around the world – a one-stop shop for PE professionals. Our offering includes DealMarketPLACE, an unfiltered view of the global deal and advice marketplace, where searching is free and postings are the price of a cappuccino a day (with no commission). DealMarketSTORE offers affordable access to industry leading third party information and services on demand; and DealMarketOFFICE is our state of the art deal flow management tool, helping you organise and share your deals more efficiently. Competition for deals is intensifying, raising money is becoming tougher and the industry is becoming more global. Market participants are demanding greater transparency and connectivity - and DealMarket is the solution. Designed by PE professionals for PE professionals, the platform is easy to use, cost effective and secure, providing access, choice and control across the investment cycle.

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## Dr. Bjørn Johansson Associates Inc.

### Contact Information

Address	Utoquai 29, 8008 Zürich
Telephone No	+41 44 262 02 20
Web Address / Format of E-mail	www.johansson.ch / forename.surname@johansson.ch
Key People	Dr. Bjørn Johansson / Dr. Sylke Steiner
Year of establishment / Employees	1993 / 10

### Short Company Profile

Dr. Bjørn Johansson Associates is a global executive search firm that concentrates exclusively on recruiting CEOs, Presidents, Managing Directors and Board Members for select top-tier corporations and institutions.

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## Drooms (DRSdigital AG)

### Contact Information

Address	Industriestrasse 13c, 6300 Zug
Telephone No	+41 41 767 44 10 oder +41 41 767 44 14
Web Address / Format of E-mail	www.drooms.com / initial of forename.surname@drooms.com
Key People	Mr. Jan Hoffmeister / Mr. Roberto Mastrandrea
Year of establishment / Employees	2001 / 50

### Short Company Profile

Drooms ist der führende Anbieter von Datenraumlösungen, insbesondere für Online-due-Diligence, für grosse Portfoliotransaktionen im Immobilienbereich sowie für komplexe Transaktionen im Bereiche M&A. Kreditkonsortien, Non-performing Loans und IPO's. Unser Schwerpunkt liegt auf der Einrichtung und Verwaltung sicherer Datenräume, die sich an den Bedürfnissen unserer Kunden ausrichten sowie der Erbringung von Transaktions-Services.

## Dynamics Group AG

### Contact Information

Address	Utoquai 43, 8008 Zürich
Telephone No	+41 43 268 32 32
Web Address / Format of E-mail	www.dynamicsgroup.ch / -
Key People	Mr. Edwin van der Geest / Mr. Philippe Blangey
Year of establishment / Employees	2006 / 25

### Short Company Profile

Dynamics Group AG combines strategic (communication) advisory, communication management and research & analysis. We are a partner for private equity and public firms, private companies and Corporate Finance teams and boutiques. Team size as of today: 25 in Switzerland and > 25 in India, offices in Zurich, Bern and Geneva.

## Edgar Brandt Advisory SA

### Contact Information

Address	Route de Pré-Bois 20, 1215 Genève 15
Telephone No	+41 22 799 42 80
Web Address / Format of E-mail	www.eb-advisory.com / forename.surname@eb-advisory.com
Key People	Mr. Edgar Brandt / Mr. Christian Mustad
Year of establishment / Employees	2005 / 8

### Short Company Profile

Edgar Brand Advisory provides consulting services tailored to the specific needs of private equity investors. We support investors through all phases of their investments life-cycle.

## Effinance Private Equity AG

### Contact Information

Address	Theaterstrasse 4, 8001 Zürich
Telephone No	+41 44 396 10 00
Web Address / Format of E-mail	www.effinance.ch / initial of forename.surname@effinance.ch
Key People	Mr. Simon Schrämli
Year of establishment / Employees	2007 / 5

### Short Company Profile

Die Effinance Private Equity AG ist ein exklusives Unternehmen mit Sitz in Zürich, welches im Private Equity Business tätig ist. Unsere finanzielle und unternehmerische Unabhängigkeit erlaubt es uns, eigene Beteiligungen aufzubauen, zu betreuen und zu gegebener Zeit wieder zu veräussern. Dabei richtet sich unser Investmentfokus auf wachstumsstarke, nicht börsennotierte Unternehmen, welche in ihrer Branche aufgrund ihrer innovativen Überlegenheit einen deutlichen Wettbewerbsvorteil aufweisen. Wir analysieren die Zielgesellschaften die für eine Beteiligung in Frage kommen mit absoluter Sorgfalt und führen eine Due Diligence (Stärken-/Schwächen-Analyse) mit professionellen Beratern durch. Unser Investmentfokus richtet sich auf die Bereiche Biotechnologie, Medizintechnik, Life Science, Dienstleistungen, Immobilien und auf attraktive Industriebereiche. Die Zielgesellschaften müssen sich mit ihren Produkten in einem Wachstumsmarkt mit attraktiven Margen befinden. Der geographische Schwerpunkt liegt in der Schweiz. Unser Investitionshorizont liegt zwischen 2 und 5 Jahren. Eine enge Zusammenarbeit mit den Co-Investoren und eine starke Unterstützung des Managements sehen wir als unverzichtbaren Schlüssel zum Erfolg.

## Euro-Private Equity S.A.

### Contact Information

Address	Chemin du Pavillon 5, 1218 Geneva
Telephone No	+41 22 788 53 75
Web Address / Format of E-mail	www.euro-pe.com / initial of forenameSurname@euro-pe.com
Key People	Mr. Eric Deram / Mr. Marc-Antoine Voisard
Year of establishment / Employees	2005 / 15

### Short Company Profile

Euro Private Equity is a specialist in private equity, mezzanine and infrastructure investments. We deploy and manage tailored-made portfolio secondary funds as well as co-investments on a global basis. Our service is flexible, transparent and cost-efficient. We only select “active” fund managers who invest primarily in growing SMEs.

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## Executive Wealth Management

### Contact Information

Address	Seestrasse 19, 8700 Küsnacht
Telephone No	+41 44 913 19 00
Web Address / Format of E-mail	www.ewmglobal.com / forename.surname@ewmglobal.com
Key People	Dr. Wolfgang Schroter / Mr. Björn Wunderlich
Year of establishment / Employees	2001 / 43

### Short Company Profile

Executive Wealth Management (EWM) is a leading specialist in administering Carried Interest and Co-Investment plans for private equity companies. We have extensive experience in plan design, implementation, and administration of virtually any type of compensation plan (cash, equity, etc.). We serve local and global clients across the financial industry and are not restricted or influenced by pre-defined products or services. Our goal is to take over the administration challenges, allowing our clients to focus on their core business and competencies.

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## FRC Unternehmensberatung GmbH

### Contact Information

Address	Schladstrasse 12, 5425 Schneisingen
Telephone No	+41 56 241 24 38
Web Address / Format of E-mail	www.ruhier.ch / -
Key People	Mr. Felix Ruhier
Year of establishment / Employees	1993 / 1

### Short Company Profile

Beratung von KMU in finanziellen Belangen sowie Support bei Kauf, Verkauf, Sanierung, Finanzierungen und Nachfolgeregelungen.

## FRORIEP Rechtsanwälte

### Contact Information

Address	Bellerivestrasse 201, 8034 Zürich
Telephone No	+41 44 386 60 00
Web Address / Format of E-mail	www.froriep.com / initial of forenameSurname@froriep.ch
Key People	Dr. Beat M. Barthold
Year of establishment / Employees	1966 / 83

### Short Company Profile

corporate & commercial law, intellectual property, litigation, arbitration

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## FundStreet AG

### Contact Information

Address	Löwenstrasse 11, 8001 Zürich
Telephone No	+41 44 210 42 06 / 07
Web Address / Format of E-mail	www.fundstreet.com / forename.surname@fundstreet.com
Key People	Mr. Herbert Kahlich / Dr. Andreas Spahni
Year of establishment / Employees	1999 / 3

### Short Company Profile

Development and partnership of Swiss General and Limited Partnerships. Business / Management Consultancy along the value chain.

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## Gestassur SA

### Contact Information

Address	Nüscherstrasse 32, 8001 Zürich
Telephone No	+41 43 497 28 67
Web Address / Format of E-mail	www.gestassur.com / initial of forenameSurname@gestassur.com
Key People	Mr. Philip Waser
Year of establishment / Employees	1984 / 6

### Short Company Profile

Founded in 1984, Gestassur SA specializes in the analysis of professional and financial risks for Financial Institutions and in the transfer of these risks to an insurance structure.

## GHR Rechtsanwälte AG

### Contact Information

Address	Bahnhofstrasse 64, 8001 Zürich
Telephone No	+41 58 356 50 00
Web Address / Format of E-mail	www.ghr.ch / forenameSurname@ghr.ch
Key People	Mr. Michael Walther / Mr. Gerhard Roth
Year of establishment / Employees	1992 / 22

### Short Company Profile

GHR is a boutique law firm with offices in Zurich and Bern-Muri focussed exclusively on business law. Our fields of practices include Corporate, Mergers and Acquisitions, Private Equity, Banking, Capital Markets and Finance, Energy and Natural Resources, Tax, Intellectual Property and Technology, Insolvency and Restructuring, Litigation and Dispute Resolution. GHR is a recognized representative for the listing of securities at the SWX Swiss Exchange. In Addition, GHR is a member of LAW (Lawyers Associated Worldwide), an international network of independent commercial law firms, as well as of the Energy Law Group (ELG), an association of leading European law firms in the energy sector.

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## Hirzel.Neef.Schmid.Konsulenten

### Contact Information

Address	Gottfried Keller-Strasse 7, 8024 Zürich
Telephone No	+41 43 344 42 42 / +41 76 323 60 64
Web Address / Format of E-mail	www.konsulenten.ch / forename.surname@konsulenten.ch
Key People	Mr. Andreas S. Thommen / Mr. Aloys Hirzel
Year of establishment / Employees	1996 / 11

### Short Company Profile

Strategy, corporate communications & investor relations consultants

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## Homburger AG

### Contact Information

Address	Prime Tower, Hardstrasse 201, 8005 Zürich
Telephone No	+41 43 222 10 00
Web Address / Format of E-mail	www.homburger.ch / forename.surname@homburger.ch
Key People	Dr. Dieter Gericke / Dr. Jürg Frick
Year of establishment / Employees	1957 / 130

### Short Company Profile

Homburger has some 130 lawyers and tax experts experienced in m&a, private equity and financial transactions, fund structuring and regulations, banking, commercial projects, as well as dispute resolution. Homburger is dedicated to bringing its clients' endeavors to a success. Our practice teams include Corporate and Transactions (m&a, private equity, capital markets, corporate and commercial), Banking and Finance, Tax, Intellectual Property and Technology, Competition, Litigation and Arbitration.



## investiere / Verve Capital Partners AG

### Contact Information

Address	Grafenastrasse 9, 6300 Zug
Telephone No	+41 44 380 29 35
Web Address / Format of E-mail	www.investiere.ch / -
Key People	Mr. Steffen Wagner / Mr. Julien Pache
Year of establishment / Employees	2010 / 9

### Short Company Profile

investiere is a community of about 4'000 top investors and industry experts focused on startup companies, as well as being one of Europe's leading online startup investment platforms. Since 2010, 20 outstanding startups have used investiere to raise funds from private investors who bring relevant industry expertise and networks. Using the platform, the community itself identifies the most promising investment opportunities which are then further reviewed by experts from the relevant industry. A final selection is made by the investiere team and its partners after a careful due diligence. Once approved by the investiere team, investors can directly choose a specific startup and become a shareholder with all voting and property rights provided by the law. investiere systematically combines private investors with selected lead investors as well as institutional co-investors. investiere is operated by Verve Capital Partners AG with domicile Switzerland.

## IRF Communications AG

### Contact Information

Address	Rämistrasse 4, 8024 Zürich
Telephone No	+41 43 244 81 44
Web Address / Format of E-mail	www.irfcom.ch / forename@irfcom.ch
Key People	Mr. Martin Meier-Pfister / Dr. Michael Düringer
Year of establishment / Employees	- / 10

### Short Company Profile

IRF Communications is a leading financial PR consultancy based in Zurich with an established international network. IRF Communications offers customized communications solutions. Its team of specialists provides clients with professional expertise and contacts as well as support with public and investor relations. IRF Communications analyzes situations and requirements and communicates its results openly and transparently. The team of IRF Communications has a broad experience in financial communications as well as in capital market transactions such as IPOs, M&A or private equity investments.

## Kadmos Capital

### Contact Information

Address	1264 St-Cergue
Telephone No	+41 22 700 08 18
Web Address / Format of E-mail	www.kadmosgroup.org / director@kadmoscapital.ch
Key People	Mr. Michael Lupsor
Year of establishment / Employees	2008 / 2

### Short Company Profile

Independent consulting, advising and research on international finance, private equity and wealth management

## Kehrli & Zehnder Family Office Services AG

### Contact Information

Address	Gartenstrasse 33, 8002 Zürich
Telephone No	+41 44 222 18 18
Web Address / Format of E-mail	www.kehrlizehnder.com / forename.surname@keze.biz
Key People	Mr. Stephan Kehrli
Year of establishment / Employees	- / -

### Short Company Profile

Kehrli & Zehnder is an independent financial consulting company. Core competences: Comprehensive asset management and multi family office services.

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## Kellerhals Anwälte Bern

### Contact Information

Address	Effingerstrasse 1, 3001 Bern
Telephone No	+41 58 200 35 00
Web Address / Format of E-mail	www.kellerhals.ch / forename.surname@kellerhals.ch
Key People	Dr. Beat Brechbühl / Dr. Christian Witschi
Year of establishment / Employees	1975 / 150

### Short Company Profile

Kellerhals, a full service law firm with about 90 attorneys in 3 offices. The firm offers a full range of legal services for national and international clients in all areas of corporate and commercial law, with particular emphasis on M&A, financial and tax services including capital markets, litigation and arbitration.

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## KESSLER & CO Inc.

### Contact Information

Address	Forchstrasse 95, 8032 Zürich
Telephone No	+41 44 387 87 11
Web Address / Format of E-mail	www.kessler.ch / forename.surname@kessler.ch
Key People	Mr. Klaus Peretti / Dr. Martin Kessler
Year of establishment / Employees	1915 / 250

### Short Company Profile

Risk and insurance advice by our Private Equity and M&A Practice working closely with a client's risk management or M&A team complementing the traditional financial and legal due diligence. Our advice enables clients to better understand the risks in any given transactions, factoring them into negotiations and the pricing of a transaction. Services include Insurance Due Diligence and bespoke M&A Insurance Solutions, such as Warranty & Indemnity, Tax, Litigation Buy Out and Environment.

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## Kurmann Partners AG

### Contact Information

Address	Elisabethenanlage 25, 4051 Basel
Telephone No	+41 58 261 90 50
Web Address / Format of E-mail	www.kurmannpartners.com / forename.surname@kurmannpartners.com
Key People	Mr. Jürg Kurmann / Dr. Christoph Bieri
Year of establishment / Employees	1982 / 8

### Short Company Profile

Mergers & Acquisitions, Restructurings, International Acquisition Projects, Pre-IPO advisory and Strategy Reviews.

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## Lardi & Partners SA

### Contact Information

Address	Via Cantonale 19, 6901 Lugano
Telephone No	+41 91 923 34 52
Web Address / Format of E-mail	www.lardipartners.ch / forename.surname@lardipartners.ch
Key People	Mr. Adelio Lardi / Mr. Daniele Lardi / Mr. Marco Ghiringhelli
Year of establishment / Employees	1993 / -

### Short Company Profile

corporate finance advisor, auditing, tax, legal and strategy consulting

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## Lenz & Staehelin

### Contact Information

Address	30, route de Chêne, 1211 Geneva 17
Telephone No	+41 58 450 70 00
Web Address / Format of E-mail	www.lenzstaehelin.com / forename.surname@lenzstaehelin.com
Key People	Mr. Andreas Roetheli / Mr. Shelby du Pasquier
Year of establishment / Employees	- / -

### Short Company Profile

Lenz & Staehelin is Switzerland's largest law firm with more than 180 lawyers in 3 offices. It provides a full service to its clients on all legal, regulatory and tax aspects of the private equity industry, from fundraising and structuring of private equity houses to private equity investments and buyout transactions.

## LPX Group

### Contact Information

Address	Florastrasse 17, 8008 Zürich
Telephone No	+41 44 382 90 22
Web Address / Format of E-mail	www.lpx-group.com / -
Key People	Mr. Michel Degosciu
Year of establishment / Employees	- / -

### Short Company Profile

LPX Group has pioneered Listed Private Equity Indices and is an innovative index sponsor in the field of listed alternatives. The LPX Group index series provide the basis for a broad array of innovative financial products. With a great depth of experience a team of highly experienced professionals stands for excellence and rigorous consistency for both index sponsoring and equity research in the field of listed alternatives.

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## Lufin Partners AG

### Contact Information

Address	Adligenswilerstrasse 24, 6006 Luzern
Telephone No	+41 41 914 40 21
Web Address / Format of E-mail	- / forename.surname@lufin.ch
Key People	Mr. Urs Altorfer / Mr. Thomas Michel
Year of establishment / Employees	- / -

### Short Company Profile

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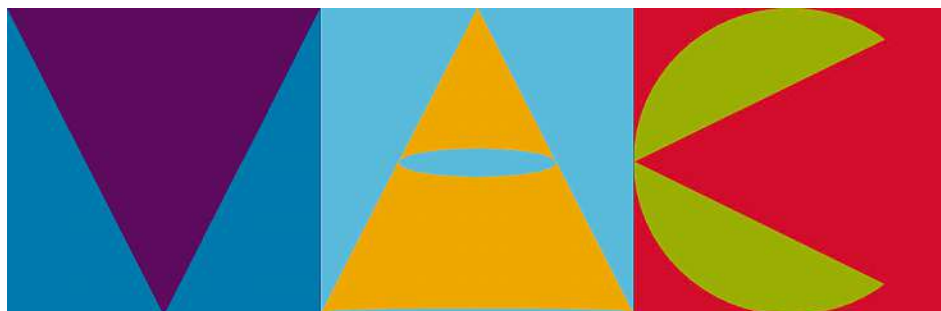
## Manager Assecuranz Compagnie AG

### Contact Information

Address	Neuhaus 190, 8762 Glarus Süd
Telephone No	+41 44 515 23 02
Web Address / Format of E-mail	www.managerassecuranz.ch / -
Key People	Mr. Harald Schaaff / Ms. Chantal Jansen
Year of establishment / Employees	2007 / 7

### Short Company Profile

MANAGER ASSECURANZ COMPAGNIE AG (MAC) is a Lloyds of London Coverholder (Assekurateur in German) specialized on providing tailor made insurance cover for fundsmangers, asset managers and similar entities iro D&O and professional liability and Crime cover. MAC Group was founded in Germany 2005 entered Switzerland in 2011. MAC has an own capacity of 30 Million SFR (or €) and has arranged AIFM compliant limits of up to 100 M SFR so far. MAC can arrange EU + Switzerland coverages through its Munich based sister company admitted in the EU or worldwide cover through its London network. MAC offers a substantially broader than average cover including for example cover for claims by the fund vs. the fund manager or cover for rectifying mistrades/errors before a claim is being made when there is a legal obligation to do so or when the supervisors demands such action. Policies are issued in german with english translation. We welcome a contact by your insurance brokers.



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Herr/Mr. Harald Schaaff

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- Working Groups
- Publications
- eNewsletter
- Lobbying
- Website

SECA has the objective to promote private equity and corporate finance activities in Switzerland. Members of the SECA include equity investment companies, banks, corporate finance advisors, auditing companies, management consultants, Lawyers and private investors.

More information on [www.seca.ch](http://www.seca.ch) or [info@seca.ch](mailto:info@seca.ch) / T: +41 41 757 67 77

## meyerlustenberger | lachenal

### Contact Information

Address	Forchstrasse 452, 8032 Zürich
Telephone No	+41 44 396 91 91 / +41 41 768 11 11
Web Address / Format of E-mail	www.mll-legal.com / forename.surname@ml-law.ch
Key People	Dr. Alexander Vogel / Dr. Christoph Heiz
Year of establishment / Employees	- / 84

### Short Company Profile

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## Migros Bank

### Contact Information

Address	Seidengasse 12, 8023 Zürich
Telephone No	+41 44 229 86 44
Web Address / Format of E-mail	www.migrosbank.ch / firstname.lastname@migrosbank.ch
Key People	Mr. Olivier Häner / Mr. Erich Fierz
Year of establishment / Employees	1958 / 1354

### Short Company Profile

Migros Bank operates with 64 branches in all cantons in Switzerland. Migros Bank is one of the largest mortgage lenders in Switzerland and is very active in retail and commercial banking.

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## Migros-Genossenschafts-Bund

### Contact Information

Address	Limmatstrasse 152, 8031 Zürich
Telephone No	+41 44 277 25 55
Web Address / Format of E-mail	www.migros.ch / forename.surname@mgb.ch
Key People	Mr. Beat Arbenz
Year of establishment / Employees	- / -

### Short Company Profile

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## Müller-Möhl Group

### Contact Information

Address	Weinplatz 10, 8022 Zürich
Telephone No	+41 43 344 66 66
Web Address / Format of E-mail	www.mm-grp.com / surname@mm-grp.com
Key People	Mr. Christophe Rouvinez
Year of establishment / Employees	2000 / -

### Short Company Profile

The Müller-Möhl Group manages the investment portfolio belonging to the community of Müller-Möhl heirs who are the 100% owners of the Group. The Müller-Möhl Group owns substantial minority stakes in selected companies. Besides these entrepreneurial direct investments, the Müller-Möhl Group manages a broadly diversified portfolio of securities.

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## Nellen & Partner

### Contact Information

Address	Redingstrasse 6, 9000 St. Gallen
Telephone No	+41 71 228 33 66
Web Address / Format of E-mail	www.nellen.ch / initial of forename.surname@nellen.ch
Key People	Mr. Curt Baumgartner
Year of establishment / Employees	1992 / 8

### Short Company Profile

Seeking and finding - a fine distinction! Our core business is the recruitment of senior management and specialists. Since 1992 we support our corporate clients with a comprehensive range of executive search services. We are exclusive Swiss partner of Glasford International [www.glasford.com](http://www.glasford.com).

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## Next Generation Finance Invest AG

### Contact Information

Address	Baarerstrasse 79, 6300 Zug
Telephone No	+41 41 712 23 53
Web Address / Format of E-mail	www.nextgfi.com / surname@nextgfi.com
Key People	Mr. Thomas Winkler / Mr. Marc P. Bernegger
Year of establishment / Employees	2009 / 4

### Short Company Profile

Next Generation Finance Invest invests in companies which are well positioned to benefit from new megatrends in finance (Finance 2.0 / fintech)

## Niederer Kraft & Frey AG

### Contact Information

Address	Bahnhofstrasse 13, 8001 Zürich
Telephone No	+41 58 800 80 00
Web Address / Format of E-mail	<a href="http://www.nkf.ch">www.nkf.ch</a> / <a href="mailto:forename.surname@nkf.ch">forename.surname@nkf.ch</a>
Key People	Dr. Andreas Casutt / Dr. Ulysses von Salis
Year of establishment / Employees	1936 / 90

### Short Company Profile

Niederer Kraft & Frey is one of the largest law firms in Switzerland. Our dedicated professionals have specialized knowledge and extensive experience in particular in the fields of financing, private equity and venture capital, mergers and acquisitions, corporate and employment law, stock exchange law (initial public offerings), tax as well as capital market and financial services regulation. Our wide range of national and international clients includes investment funds, banks, large and medium sized corporations, start-ups and management teams. Several of our professionals also serve on the board of directors of companies active various fields, including private equity.

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## Octadim GmbH

### Contact Information

Address	Im Ruostel 4, 8844 Euthal
Telephone No	+41 79 444 07 37
Web Address / Format of E-mail	<a href="http://www.octadim.com">www.octadim.com</a> / <a href="mailto:forename.surname@octadim.com">forename.surname@octadim.com</a>
Key People	Mr. Harald Fichtl
Year of establishment / Employees	- / -

### Short Company Profile

Octadim is a consulting company specialized in industrial production processes. Octadim's target clients are small and mid-sized companies. In addition to consulting we also take care of project management and interim management tasks. - Business turnaround - restructuring - Improvements - Commercial representations in Europe

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## Office for Business and Economic Development of the Canton of Zurich

### Contact Information

Address	Walchestrasse 19, 8090 Zürich
Telephone No	+41 43 259 49 92
Web Address / Format of E-mail	<a href="http://www.location.zh.ch">www.location.zh.ch</a> / <a href="http://www.finanzplatz-zuerich.ch">www.finanzplatz-zuerich.ch</a> / <a href="mailto:forename.surname@vd.zh.ch">forename.surname@vd.zh.ch</a>
Key People	Ms. Eva May / Mr. Beat Rhyner
Year of establishment / Employees	- / -

### Short Company Profile

The Office for Business and Economic Development is the contact point for resident companies as well as those interested in setting up a business in the Canton of Zurich. We facilitate, accelerate and establish networks to serve as a bridge between business and public administration. Our core competencies and activities are: - Assist companies with setting up an office in Zurich - Management of cluster initiatives and networks - Work permits for non-EU/EFTA citizen - Facilitation of administrative procedures.



## Paguasca Holding AG

### Contact Information

Address	6340 Baar
Telephone No	+41 41 766 05 35
Web Address / Format of E-mail	- / -
Key People	Mr. Daniel Guggenheim / Mr. Peter Guggenheim
Year of establishment / Employees	- / 4

### Short Company Profile

asset management, expansion financing

## Pestalozzi Attorneys at Law

### Contact Information

Address	Löwenstrasse 1, 8001 Zürich
Telephone No	+41 44 217 91 11
Web Address / Format of E-mail	www.pestalozzilaw.com / forename.surname@pestalozzilaw.com
Key People	Dr. Martin L. Müller / Mr. Franz Schubiger
Year of establishment / Employees	1911 / 160

### Short Company Profile

Pestalozzi has about 100 specialized lawyers and tax experts in Zurich and Geneva. Pestalozzi advises founders, investors and target companies on all legal, regulatory and tax aspects of private equity and corporate finance, including incorporation, fundraising, structuring of private equity investments, refinancing and all EXIT scenarios.

## Platinum Partners AG

### Contact Information

Address	Mühlegasse 29, 8001 Zürich
Telephone No	+41 43 244 74 24
Web Address / Format of E-mail	www.platinum-partners.com / initial of forenamesurname@platinum-partners.com
Key People	Mr. Alessandro Parenti
Year of establishment / Employees	2001 / 6

### Short Company Profile

See our Webpage

## Private Equity Invest AG

### Contact Information

Address	Bahnhofstrasse 69, 8001 Zürich
Telephone No	+41 44 215 70 00
Web Address / Format of E-mail	www.pei.ch / forename.surname@pei.ch
Key People	Mr. Markus Ritter
Year of establishment / Employees	2000 / 21

### Short Company Profile

Private Equity Invest is a private equity firm focused on identifying high-growth, quality-driven and innovative Swiss technology companies. We actively support these companies to execute their business model and achieve their goals.

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## RCI Unternehmensberatung AG

### Contact Information

Address	Landenbergstrasse 35, 6002 Luzern
Telephone No	+41 41 368 90 00
Web Address / Format of E-mail	www.rciub.ch / -
Key People	Mr. Simon Ottiger / Mr. Daniel Bühlmann
Year of establishment / Employees	- / -

### Short Company Profile

Mergers & Acquisitions Advisory Financial engineering Legal Advisory Tax Consulting Due Diligence

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## Remora Capital SA

### Contact Information

Address	Rue du Petit-Chêne 12, 1003 Lausanne
Telephone No	+41 21 213 03 12
Web Address / Format of E-mail	www.remora-partners.ch / -
Key People	Mr. Jean-Marc Le Doussal
Year of establishment / Employees	2009 / 7

### Short Company Profile

Remora Capital SA is a Lausanne-based holding company whose investment strategy consists in acting as lead investor in European innovative start-ups backed by robust intangible assets, in the healthcare, beauty care and nutrition industries.

## RobecoSAM

### Contact Information

Address	Josefstrasse 218, 8005 Zürich
Telephone No	+41 44 653 10 10
Web Address / Format of E-mail	www.robecosam.com / forename.surname@robecosam.com
Key People	Mr. Thierry Bertheau / Mr. Jeroen Afink
Year of establishment / Employees	1995 / 100

### Short Company Profile

RobecoSAM, gegründet 1995, ist ein Vermögensverwalter mit exklusivem Fokus auf Sustainability Investing. Das Angebot umfasst Asset Management, Indizes, aktiver Unternehmensdialog, Stimmrechtsausübung, Impact-Analysen und Nachhaltigkeitsbewertungen sowie Benchmarking Services. In Kooperation mit S&P Dow Jones Indices publiziert RobecoSAM die weltweit anerkannten Dow Jones Sustainability Indices (DJSI). Die in Zürich ansässige RobecoSAM beschäftigt rund 130 Mitarbeitende.

## Rock Lake Associates

### Contact Information

Address	Baarerstrasse 2, 6300 Zug
Telephone No	+41 41 723 10 16
Web Address / Format of E-mail	www.rock-lake.com / forename.surname@rock-lake.com
Key People	Mr. John C. Cook
Year of establishment / Employees	2009 / 12

### Short Company Profile

## Schellenberg Wittmer AG, Rechtsanwälte

### Contact Information

Address	Löwenstrasse 19, 8001 Zürich / 15 bis, rue des Alpes, 1201 Geneva
Telephone No	+41 44 215 52 52 / +41 22 707 80 00
Web Address / Format of E-mail	www.swlegal.ch / forename.surname@swlegal.ch
Key People	Dr. Oliver Triebold / Mr. Jean-Jacques Ah Choon
Year of establishment / Employees	- / 270

### Short Company Profile

Schellenberg Wittmer is one of the leading business law firms in Switzerland. Over 140 lawyers in Zurich and Geneva advise domestic and international clients on all aspects of business law. Schellenberg Wittmer's Mergers & Acquisitions, Private Equity and Venture Capital Group is one of the largest and most specialised practice groups in its field in Switzerland. The Team is seconded and actively supported by experienced lawyers from other practice groups of the firm, such as tax, IP/IT, real estate, competition/merger control, employment law/human resources, capital markets, banking and finance, and is complemented by the firm's litigation and arbitration practice group with extensive expertise in corporate and M&A matters.

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Schellenberg Wittmer AG ist eine der führenden Wirtschaftsanwaltskanzleien der Schweiz. Über 140 spezialisierte Juristinnen und Juristen in Zürich und Genf beraten in- und ausländische Klienten umfassend im gesamten Wirtschaftsrecht.

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**GENÈVE**

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T +41 22 707 8000

## Schneider Feldmann Ltd. – Patent and Trademark Attorneys

### Contact Information

Address	Beethovenstrasse 49, 8002 Zürich
Telephone No	+41 43 430 32 32
Web Address / Format of E-mail	www.schneiderfeldmann.ch / forename.surname@schneiderfeldmann.ch
Key People	Dr. Martin Schneider
Year of establishment / Employees	1960 / 23

### Short Company Profile

Schneider Feldmann Ltd. - Patent and Trademark Attorneys is an established law firm specialising in protecting, dealing with and defending intellectual property rights (IPR's), namely patents, trademarks, designs and copyrights. Schneider Feldmann Ltd. is experienced in advising in IPR transactions, including due diligence issues, and conducting valuations of patent or trademark portfolios.

## SIX Swiss Exchange AG

### Contact Information

Address	Selnaustrasse 30, 8021 Zürich
Telephone No	+41 58 399 22 45
Web Address / Format of E-mail	www.six-swiss-exchange.com / forename.surname@six-group.com
Key People	Mr. Marco Estermann
Year of establishment / Employees	1995 / 266

### Short Company Profile

SIX Swiss Exchange is one of the world's leading regulated stock exchanges and the most important exchange in Europe for the life science area. It is an important pillar of the Swiss Financial Center and helps create the best possible trading conditions, connecting investors, issuers and participants from across the world. SIX Swiss Exchange forms the efficient and transparent reference market for world-leading companies. Thanks to its high-performance SWXess platform, its trading partners benefit from latency periods of just microseconds. SIX Swiss Exchange ensures round-the-clock trading that is independent, fair and supervised, covering the attractive segments of equities, bonds, ETFs and ETPs; this increases trust and stability in the Swiss financial center. SIX Swiss Exchange is a company of SIX, which provides first-rate services worldwide in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions.

## Staiger, Schwald & Partner Rechtsanwälte

### Contact Information

Address	Genferstrasse 24, 8002 Zürich
Telephone No	+41 58 387 80 00
Web Address / Format of E-mail	www.ssplaw.ch / forename.surname@ssplaw.ch
Key People	Dr. Mark-Oliver Baumgarten / Mr. Mark Metzger
Year of establishment / Employees	1964 / 80

### Short Company Profile

Staiger, Schwald & Parnter is a respected Swiss law firm with strong Swiss client base and excellent international connections. Our professionals in our offices in Zurich advise companies, financial institutions and high net worth individuals in national and international M&A, venture capital, private equity, corporate and finance transactions, banking, capital markets, insurance and any type of commercial project. Driven by personality and commitment our professionals are dedicated to the client's goals.

## Startzentrum Zürich

### Contact Information

Address	Luegislandstrasse 105, 8051 Zürich
Telephone No	+41 44 455 60 60
Web Address / Format of E-mail	www.startzentrum.ch / forename.surname@startzentrum.ch
Key People	Mr. Gert Christen
Year of establishment / Employees	1999 / 5

### Short Company Profile

Starzentrum Zurich is the business incubator of the city and canton of Zurich. We support startup companies with a wide range of services. Including coaching, flexible office space, complete infrastructure, office administration, networking, training, and in the search for funding. Initial coaching is free of charge for entrepreneurs wishing to create a company in the city or canton of Zurich. We organize annual events such as [www.startupfair.ch](http://www.startupfair.ch) and [www.startupday.ch](http://www.startupday.ch).

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## Steiger Engineering AG

### Contact Information

Address	Alte Landstrasse 40, 8702 Zollikon
Telephone No	+41 44 383 46 85
Web Address / Format of E-mail	www.seag.ch / -
Key People	Mr. Alex E. Gall
Year of establishment / Employees	1967 / 2

### Short Company Profile

Mergers & Acquisitions and Corporate Finance

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## SUSI Partners AG

### Contact Information

Address	Feldeggstrasse 12, 8008 Zürich
Telephone No	+41 44 386 98 00
Web Address / Format of E-mail	www.susi-partners.ch / initial of forename.surname@susi-partners.ch
Key People	Mr. Tobias Reichmuth / Mr. Otto von Troschke
Year of establishment / Employees	2009 / 12

### Short Company Profile

SUSI Partners AG is a socially and ecologically responsible Swiss investment house offering quality products in the sustainable assets class intended for qualified investors seeking to diversify their portfolio. Each of our funds is guided by the following principles: - attractive returns - low or no correlation with the stock market - evident and measurable sustainable contribution (financial, ecological, social) SUSI seeks to deliver investment vehicles that minimize risk, provide complete transparency, and enable investors to achieve consistent, reliable returns throughout the long-term. As a responsible investment house, we believe that it is necessary to assess the possible future implications of each venture. We view sustainability not only as a best-in-class approach or as a negative screen, but also as a pledge to foster ecologically and socially responsible values in projects and assets. All of our investments contribute to the control of global warming and support sustainable economic growth.

## SUVA

### Contact Information

Address	Rösslimattstrasse 39, 6005 Luzern
Telephone No	+41 41 419 51 11
Web Address / Format of E-mail	www.suva.ch / forename.surname@suva.ch
Key People	Mr. Francesco Kälin
Year of establishment / Employees	1918 / 8

### Short Company Profile

Suva (Swiss National Accident Insurance Institution) is Switzerland's largest provider of accident insurance. Suva insures secondary business sector employees, unemployed persons and employees of the Swiss armed forces against occupational and non-occupational accidents and occupational diseases. Suva is a financially independent, non-profit company under Swiss public law with headquarters in Lucerne and 19 agencies throughout Switzerland.

## Swisscom Ventures

### Contact Information

Address	Alte Tiefenastrasse 6, 3050 Bern
Telephone No	+41 58 223 27 36
Web Address / Format of E-mail	www.swisscom.com/ventures / forename.surname@swisscom.com
Key People	Mr. Dominique Mégret / Mr. Carlo Grassi
Year of establishment / Employees	2005 / 4

### Short Company Profile

Swisscom is Switzerland's leading telecom provider, with 6.4 million mobile customers and around 1.9 million broadband connections. In 2013, the company's 20108 employees generated revenue of CHF 11.4 billion. As a value-creating investor, Swisscom Ventures invests in innovative areas that are strategic for Swisscom.

## Swissport International Ltd.

### Contact Information

Address	P.O. Box, 8058 Zurich-Airport
Telephone No	+41 43 812 29 02
Web Address / Format of E-mail	www.swissport.com / forename.surname@swissport.com
Key People	Mr. Roman Hermann / Mr. Johannes C. Spindler
Year of establishment / Employees	- / 35000

### Short Company Profile

Swissport International Ltd. provides ground services for around 224 million passengers and 4.1 million tonnes of cargo a year on behalf of some 700 client-companies in the aviation sector. With its workforce of around 55,000 personnel, Swissport is active at 255 airports in 44 countries on five continents and generates consolidated operating revenue of CHF 3.0 billion.

## Tavernier Tschanz

### Contact Information

Address	11-bis, rue Toepffer, 1206 Geneva
Telephone No	+41 22 704 37 00
Web Address / Format of E-mail	www.taverniertszanz.com / surname@taverniertszanz.com
Key People	Mr. Jacques Bonvin
Year of establishment / Employees	- / -

### Short Company Profile

Tavernier Tschanz is a leading Swiss law firm focusing on corporate and commercial practice. The firm's services cover general corporate matters, corporate transactions, banking and finance, new technologies, competition and tax, as well as international arbitration. Tavernier Tschanz's corporate transactions practise is built on the firm's strong expertise in corporate finance and M&A, advising clients on all types of transactions such as acquisitions, takeovers, mergers, LBOs, MBOs/MBIs/IPOs, private equity and venture capital investments, restructuring, divestitures, spin-offs, as well as general domestic and international finance operations.

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## UBS AG, Executives & Entrepreneurs

### Contact Information

Address	Bahnhofstrasse 45, 8098 Zürich
Telephone No	+41 44 234 90 21
Web Address / Format of E-mail	www.ubs.com / forename.surname@ubs.com
Key People	Dr. Jürg Muffler
Year of establishment / Employees	- / 65000

### Short Company Profile

UBS Executives & Entrepreneurs („E&E“) advises executives and entrepreneurs in all matters of their personal wealth. UBS E&E is the single point of contact, coordinates the engagement of different specialists and provides customized solutions: Succession planning, pension solutions, retirement solutions, tax planning, asset management, risk assessment, portfolio optimization, liability management, etc.

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## Vaccani, Zweig & Associates

### Contact Information

Address	Klausstrasse 43, 8034 Zürich
Telephone No	+41 43 499 20 80
Web Address / Format of E-mail	www.vzaconsultants.ch / initial of forename.surname@vzaconsultants.ch
Key People	Mr. Andrés F. Zweig / Mr. Amedeo C. Vaccani
Year of establishment / Employees	1994 / 10

### Short Company Profile

Active in Management Consulting, Mergers and Acquisitions, Technology Transfers and Management of Private Equity Investments



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## Valcor AG

### Contact Information

Address	Scheuchzerstrasse 36, 8006 Zürich
Telephone No	+41 44 350 19 05
Web Address / Format of E-mail	www.valcor.ch / surname@valcor.ch
Key People	Dr. Bruno Weber / Mr. Etienne Bernath
Year of establishment / Employees	1989 / 5

### Short Company Profile

Corporate Finance, Value Creating Strategies, M & A

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## ValCrea AG

### Contact Information

Address	Baarerstrasse 8, 6300 Zug
Telephone No	+41 41 712 25 15
Web Address / Format of E-mail	www.valcrea.com / forename.surname@valcrea.com
Key People	Mr. Juhani Anttila / Mr. Timo Vättö
Year of establishment / Employees	2005 / 7

### Short Company Profile

ValCrea is an advisory firm for corporate value creation. At the moment the company has 6 partners with over 100 years cumulated global strategic and execution know how at board, CEO and CFO level in industry and banking. The partners work for owners, Boards, executive management both in private and public companies as well as for private equity firms in improving the financial performance of their portfolio companies.

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## VISCHER AG

### Contact Information

Address	Schützengasse 1, 8021 Zürich
Telephone No	+41 44 254 34 00
Web Address / Format of E-mail	www.vischer.com / initial of forenameSurname@vischer.com
Key People	Dr. Robert Bernet / Dr. Jürg Luginbühl
Year of establishment / Employees	2000 / 100

### Short Company Profile

VISCHER is one of the leading law firms in Switzerland. We particularly provide legal services in the context of M&A and private equity transactions and assist companies during their various development stages (seed financing, early stage financing, growth financing and sale to additional capital investors, including management buyouts, leveraged buyouts, trade sales and IPOs).

## Walder Wyss Ltd.

### Contact Information

Address	Seefeldstrasse 123, 8034 Zürich
Telephone No	+41 44 498 98 98
Web Address / Format of E-mail	<a href="http://www.walderwyss.ch">www.walderwyss.ch</a> / initial of forenameSurname@walderwyss.ch
Key People	Mr. Enrico Friz / Mr. Luc Defferrard
Year of establishment / Employees	1972 / 150

### Short Company Profile

Walder Wyss is a law firm specializing in corporate and commercial law, corporate finance and M&A, banking law, intellectual property and competition law, dispute resolution and tax law.

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## Walker Risk Solution AG

### Contact Information

Address	An der Lorze 7, 6300 Zug
Telephone No	+41 41 511 78 88
Web Address / Format of E-mail	<a href="http://www.risksolution.ch">www.risksolution.ch</a> / forename.surname@risksolution.ch
Key People	Mr. Gregory Walker
Year of establishment / Employees	2010 / -

### Short Company Profile

Walker Risk Solution Ltd is a specialised insurance broker for the advisor, manager and distributor of PE/VC-and other (alternative) investment funds, and of fund of funds. We provide D&O and professional liability insurance, as well as tailored solutions to protect from key man risk, IP, political and credit risk. Further, we provide 'warranty and indemnity'-insurance enabling a 'clean exit' to private equity investors. Walker Risk Solution has offices in Zug and Zurich.

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## Walter & Partner

### Contact Information

Address	Seestrasse 86, 8803 Rüschlikon
Telephone No	+41 55 220 05 20
Web Address / Format of E-mail	<a href="http://www.walter-partner.ch">www.walter-partner.ch</a> / surname@walter-partner.ch
Key People	Mr. Dominik C. Walter
Year of establishment / Employees	1994 / 3

### Short Company Profile

Trusted M&A and corporate finance advisory representing buy-side and sell-side. Full service for MBO & MBI projects. Fundraising for business development. International strategic operations with trusted partners.

## Wincor Nixdorf AG

### Contact Information

Address	Stationsstrasse 5, 8306 Brüttisellen
Telephone No	+41 44 835 34 50
Web Address / Format of E-mail	<a href="http://www.wincor-nixdorf.ch">www.wincor-nixdorf.ch</a> / <a href="mailto:forename.surname@wincor-nixdorf.ch">forename.surname@wincor-nixdorf.ch</a>
Key People	Mr. Mario Parravicini
Year of establishment / Employees	- / 100

### Short Company Profile

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## Wineus AG

### Contact Information

Address	Stockerstrasse 45, 8027 Zürich
Telephone No	+41 44 562 10 67
Web Address / Format of E-mail	<a href="http://www.wineus.ch">www.wineus.ch</a> / <a href="mailto:forename.surname@wineus.ch">forename.surname@wineus.ch</a>
Key People	Dr. Yves Cheridito / Mr. Xavier Alonso
Year of establishment / Employees	2008 / 20

### Short Company Profile

Private equity company investing in selected industries (see homepage) and offering financial services such as M&A advice, financing solutions and investment also to external clients.



## **Individual Members & Honorary Members**

**Individual Members**

(without academic titles)

Forename	Surname	Company	Town	
Patrick	Markus	Aisher	Kinled Holding Limited	Schaan
Claude	Amiguet	Neode SA	Neuchâtel	
Rolf	Bachmann	Lazard GmbH	Zürich	
Jörg	Baldauf	Secuens AG	Pfäffikon	
Philip	Baumgartner		Meilen	
Urs	Bertschinger	Prager Dreifuss AG	Zürich	
Marc	Beuls		Arosa	
Thomas	Bieri	Acxit Capital Management Switzerland AG	Zürich	
Thomas	Billeter		Herrliberg	
Olivier	Bloch	Etude d'avocats Rossinelli Capt & Bloch	Lausanne	
David	Bodmer	Thelema AG	Baar	
Yves	Bonnard		Lausanne	
Peter	Both	Credit Suisse AG	Zürich	
Andreas	Bürge	b-impact AG	Zürich	
Max	Burger		Hong Kong	
Timothy	Campbell		Zürich	
Mario	Cesari	TBG Management S.A.M.	Monaco	
Jean-Luc	Chenau	Carrard & Associés	Lausanne	
Ulf	Claesson	BLR & Partners AG	Thalwil	
Silvano	Coletti	Chelonia SA	Lugano	
Philippe	de Vallière		Niederlenz	
Stefan	Deiss	Deiss Consulting Group	Zurich	
Frank M.	Dickoob		Zollikon	
Roland	Eschle	Crimson Capital	Zumikon	
Georg	Fallegger	Alternative Asset Broker Company (AABC)	Wallisellen	
Lukas	Fecker	Transactions & Restructuring KPMG LLP	London	
Claude	Florin	A3 Angels	Lausanne	
Herve	Flutto	Cofundit GmbH	Lausanne	
Patrik	Frei	Venture Valuation AG	Zürich	
Marius	Fuchs	QIC Performance Consulting AG	Baar	
Denise	Gänssmantel	Imprima	Zürich	
Thomas	Goossens	BCCC Avocats Sàrl	Genève	
Martin	Haemmig	CeTIM / Stanford University	Ennetbaden	
Fritz	Haselbeck	ZfU Zentrum für Unternehmensführung AG	Thalwil	
Matthias	Huber	Verium AG	Zürich	
Michel	Jaccard	id est avocats sàrl	Lausanne	
Rolf	Jeger	Jeger Consult	Wollerau	
Julian	Kaljuvee	Mosaic Capital Partners Ltd	Zollikon	
Ulrich	Kobelt		Luzern	

Forename	Surname	Company	Town
Eric F.	Kohn	Barons Financial Services SA	Genève
Cathy	Kroll		Wilén
Daniel	Kusio	BV Partners AG	Bern 7
Michele	Lamorte		Castagnola
Richard	Laville		Zürich
Florian	Lemberger	Swiss Finance & Property Funds AG	Zürich
Roberto	Maione	UniSun AG	Zürich
Solmzi	Makohliso		Pully
Oliver	Mayer		Zürich
Ferdinando	Mazzi	RIVERFIELD®	Zollikon
Peter	Moran	VorteQ Consulting Sagl	Massagno
Martin	Moser	Bratschi Wiederkehr & Buob	Bern
Michael M.	Mueller		Cham
David	Ng	Thhep International Pte Ltd	Singapore
Arndt	Oelgarth		Riehen
Magne	Orgland		Teufen AR
Uwe	Peter		Dresden
Thierry	Petersen	LPO Ventures AG	Blonay
Sandro	Pifaretti	SAG Services AG	Dietlikon
Georges	Racine	LALIVE	Geneva
Urs	Ramseier	CS CorpSana AG	Pratteln
Thomas	Reimann	Lutz Rechtsanwälte	Zürich
Charlton	Rhoads		Phoenix, AZ
Robin	Richiger		Herrliberg
Rainer A.	Rueppel		Rapperswil
Heinz	Ruff	Fisoma H.K.Ruff Consulting	Cham
Martin	Sägesser	Saegesser Transition Management (a.i.CEO)	Niederglatt
Jürgen	Schaubel		Baar
Alexander	Schlaepfer		Zollikon
Ernst	Schönbächler		Pfäffikon
Beat	Schönmann	Beluga Capital Inc.	Zürich
Johannes	Schwarz	Zugimpex International GmbH	Cham
Thomas	Scriven	H.I.G. European Capital Partners LLP	London
Bogy	Skowronski	CMS Corporate Management Services GmbH	Zürich
Max R.	Staelin		Basel
Reto	Stahl	Agitaris GmbH	Zug
Martin	Stehli	A.I.M. Group AG	Zürich
Volker	Straube	I.A.M.Straube	Küsnacht
Mairaj	Taufiq		Zürich
Hubertus	Thonhauser		Zug
Jos G.L.	Vandebroek	Vandebroek Ventures AG	Nänikon
Nayel Georges	Vidal	Emerging Capital Partners	Tunis
Markus	Vollstedt	Vollstedt Consulting GmbH	Hämikon

Forename	Surname	Company	Town
Peter	von Rohr		Winznau
Lucian	Wagner	EuroUS Ventures	Zürich
Zhi	Wang	Swiss China Consulting GmbH	Küsnacht
Matthias P.	Weibel	FAES Finanz AG	Wollerau
Winfried	Weigel	CleanTech Capital GmbH	Zug
Martin	Wiesli		Zürich
Christophe	Wilhelm	FBT Avocats	Genève 6
Carl	Wimmer	IHC Invest, Inc	Weesen
Hakan	Yüksel	ALIUM PARTNERS AG	Pfäffikon
Xinyin	Zhang		Basel
Biagio	Zoccolillo		Küsnacht
Christoph	Zuber	Growela AG	Lachen

### Honorary Members

(without academic titles)

Forename	Surname	Company	Town
Massimo S.	Lattmann	Venture Partners	Zürich
Hugo	Wyss		Chiasso



## **Young SECA Members**

## Young SECA Members

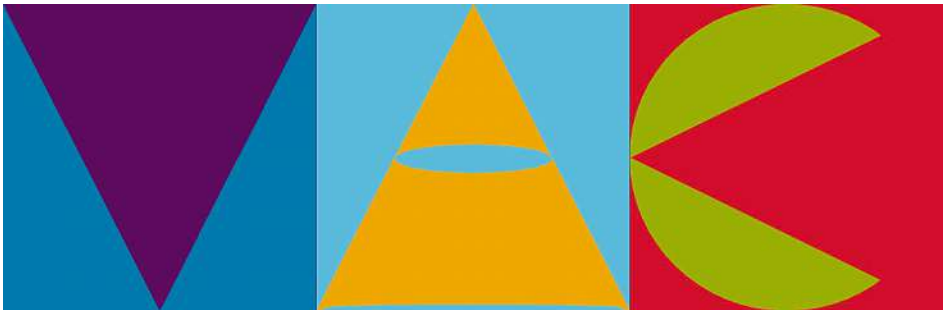
(without academic titles)

Forename	Surname	Company	Town
Silvan	Amberg		Zürich
Erik	Ånerud	BE Bio Energy Group AG	Zurich
Alexandre	Apelbaum		Crans
Melody	Atil	Scope Management SA	Geneva
Jonas	Baer	Venturetech AG	Winterthur
Steffen	Bassler		Kilchberg
Andreas	Baumann		Altdorf
Phil	Baumann		Zürich
Benjamin	Benaim	Seedstars	Carouge
Sandra	Berger		Luzern
Mark	Berger	Incuray AG	Rotkreuz
Marc P.	Bernegger	Next Generation Finance Invest AG	Zug
Luca	Bianchi	Niederer Kraft & Frey AG	Zürich
Tobias	Bischoff	The Real Economy Effect	Zürich
Gaudenz	Biveroni	Young & Professional AG	Zürich
Henrik	Bootz		Luzern
Marta	Bruska		Zürich
Michael	Burkhard	Bratschi Wiederkehr & Buob	Zug
Lukas	Burkhard	SCM Strategic Capital Management AG	Zürich
Pascal	Buschor		Zollikon
Jose	Camacho	UBS AG	Zurich
Sam	Chadwick		Zug
Yann Reynald	Corbaz	Swiss Private Equity & Services CORBAZ	Lausanne
Marina	Cvetkovic		Zürich
César	de la Cruz		Zürich
Michel	Degosciu	LPX Group	Zürich
Francesco	Dell'Endice		Zürich
Philipp	Dialer	Ernst & Young Switzerland	Zürich
Radoslav	Dimitrov		Basel
Moritz	Dullinger		Zurich
Nadim	Dziri		Geneve
Roman	Eggler	POLARIS Investment Advisory AG	Zürich
Peter Z.	Egli	CGS Management	Pfäffikon
Ion	Eglin	Bratschi Wiederkehr & Buob	Zug
Andreas	Enderlin		Zürich
Greg	Felder		Zürich
Rico	Florin		Pfäffikon SZ
Antonio	Fonduca		Stockholm
Alan	Frei	Astille GmbH	Zürich

Forename	Surname	Company	Town
Ueli	Friedli		Altstetten
Philippe	Fuchs		Wollerau
Praveen	Gajjala		Geneva
Stephanie	Galantine	The Real Economy Effect GmbH	Zurich
Kevin	Gamuzza		Herzogenbuchsee
Stephan	Gemke		St. Gallen
Vito	Gigante	UBS AG	Zürich
Emanuel	Gjergji	OMP24 AG	Flamatt
Cyril	Gradis		Rolle
Manuel	Grenacher	Coresystems AG	Windisch
Benjamin	Gubler	Condor Group AG	Zug
Gregory	Guissard	G2A	Genève
Flavio	Hagenbuch		Zürich
Mario	Haidacher	Portfolio Advisors	Zürich
Benjamin	Haltinner		Zollikerberg
Marc Oliver	Hauser		Zürich
Thomas	Hegnauer	Deutsche Bank AG	London
Ralph	Hennecke		Zürich
Reto	Hertler		Zürich
Adrian	Hodel		Ballwil
Stefan	Hradetzky	Hradetzky Consulting	Zürich
Alexander	Ilic	Dacuda AG	Zürich
Luca	Jagmetti	Bär & Karrer AG	Zürich
Christoph	Jenny		Zurich
Thomas	Jörg	diagonal gmbh	Zürich
Simon	Jungen		Zurich
Djehaf	Kadhafi		Geneva
Simon	Kaiser		Wermatswil
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Ishan	Mehta		Kloten
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Patrick	Mollet	Paxiro Group AG	Zug
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Olivier	Nicod	Gross & Associés	Lausanne
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Rainer	Ott	Capital Dynamics	Zug
Oscar	Pang		Lugano
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Giorgos	Samantas		St. Gallen
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Marc	Seidel		Zumikon
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Romain	Simon		Zürich
Lukas	Speiser		Zürich
Nicola	Sprenger		Egnach
Philipp	Stoll	Evoco AG	Zurich
Marina	Stoop	Credit Suisse	Zürich
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Michel	Sutterlüti	The Corporate Finance Group	Zurich
Shrirang	Tambe	Ourea Capital Advisors	Aargau
Anicet	Tanghe	AP Executive	Geneva
Nataliya	Taranova	ricoz GmbH	Zurich
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Daniel	Udry		Genève
Marc	Uhlmann		MuttENZ
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Cédric	Vollmar		Luzern
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Cédric	Waldburger	Tenderloin Ventures AG	Zug
Dominik	Wensauer		Zurich
Reto	Wettstein	BizTelligence GmbH	Windisch
Simona	Wöhrlé		Steinhausen
Dominik	Wurzer		Zürich
Rolf M.	Wüthrich	Wüthrich&Partner AG	Zürich
Bosen	Yi		St.Gallen
David Oliver	Zahnd	Gilde Buy Out Partners	Zürich



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## Chapter V

# Partner Associations

## AVCO - Austrian Private Equity and Venture Capital Association



### Contact Information

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Key People	Dr. Jürgen Marchart / Ms. Judith Greiner
Year of establishment / Employees	2000 / 2

### Short Company Profile

AVCO is the national association for Austria's private equity industry and corporate finance service providers. AVCO encompasses private equity houses – from venture capital and expansion financing to buy-outs – as well as various other institutions and companies which are interested in developing the private equity and venture capital industry in Austria – from service providers, banks, investors to portfolio companies and professionals. AVCO's purpose is to communicate private equity and venture capital activities and issues to the appropriate target audience and the general public. Furthermore, the exchange of ideas and cooperation between the members and the private equity and venture capital community is encouraged.

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## German Private Equity and Venture Capital Association e.V. (BVK)



### Contact Information

Address	Reinhardtstr. 27c, D-10117 Berlin
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Key People	Mr. Matthias Kues / Ms. Ulrike Hinrichs
Year of establishment / Employees	1989 /

### Short Company Profile

Bundesverband Deutscher Kapitalbeteiligungsgesellschaften - German Private Equity and Venture Capital Association (BVK) is the representative of the German private equity industry covering private equity firms, from venture capital through growth capital to buyouts, as well as institutional investors. It is the mission of the BVK to create best possible environmental conditions for the industry in Germany. This requires improving tax and legal environmental conditions for private equity in Germany in dialogue with political and administrative decision-makers, facilitating the access to capital sources, surveying the markets and analysing market trends, and supporting our members in exchanging their experience. To achieve this, systematic industry communication is fundamental like the BVK pursues it together with its almost 300 members.





## Chapter VI

# Articles of Association & Model Documentation

## **Articles of Association & Model Documentation**

The Articles of Association of SECA can be found on the SECA website. Furthermore, Members of SECA have the opportunity to download SECA Model Documentations. These can be retrieved in the member zone on the SECA homepage.

Check on [www.seca.ch](http://www.seca.ch)



## Chapter VII

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## Key People

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## Upcoming Events SECA

- **SECA Annual General Meeting**  
Wednesday, 7 May 2014, 15.30 - 17.15  
Zurich, Widder Hotel
- **SECA Evening Event: Vom Industrie- zum Beteiligungsgeschäft**  
Tuesday, 13 May 2014, 17.30 - 21.30  
Zurich, Baur au Lac
- **SECA Private Equity & Corporate Finance Conference**  
Tuesday, 24 June 2014, 09.00 - 18.00  
Zurich, Convention Point
- **SECA Evening Event: Legal & Tax**  
Wednesday, 10 September 2014, 17.00 - 20.00  
Zurich, Widder Hotel
- **TOP 100 Swiss Startups 2014**  
Wednesday, 17 September 2014, 17.00 - 22.00  
Zurich, Maag Werft 219
- **SECA Evening Event: Seed Money & Venture Capital**  
Wednesday, 15 October 2014, 17.00 - 20.00  
Zurich, Widder Hotel
- **Private Equity Workshop**  
Zug, Institute for Financial Services
- **SECA Evening Event: Corporate Finance**  
Wednesday, 19 November 2013, 17.00 - 20.00  
Zurich, Widder Hotel

## Upcoming Events Young SECA

- **9. Young SECA Get Together**  
Thursday, 21 August 2014, 19.00 - 22.00  
Zurich
- **10. Young SECA Get Together**  
Thursday, 20. November 2014, 19.00 - 22.00  
Zurich



